

# The directed homotopy hypothesis

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joint work with

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I.

## Grothendieck's homotopy hypothesis

« Topological spaces are the same  
as  $\infty$ -groupoids. »

# Topological spaces as $\infty$ -groupoids

$\infty$ -category = objects + 1-cells (= morphisms between objects) + 2-cells (= morphisms between 1-cells) + ...

objects	=	points
1-cells	=	paths (= 0-homotopies)
2-cells	=	(1-)homotopies
	=	$\vdots$
n-cells	=	(n-1)-homotopies

$\infty$ -groupoid =  $\infty$ -category whose n-cells are invertible up-to (n+1)-cells

Here : n-homotopies are invertible up-to (n+1)-homotopies

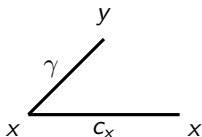
Ex : a path  $\gamma$  has  $t \mapsto \gamma(1 - t)$  as inverse up-to homotopy

# But what are exactly $\infty$ -groupoids?

Many ways to « model »  $\infty$ -groupoids

$\infty$ -groupoids	=	Kan complexes
n-cells	=	n-simplices
n-cells have inverse up-to (n+1)-cells	=	n-horns have (n+1)-fillers

Singular simplicial complex  $Sing : Top \longrightarrow Kan (\subseteq Simp)$

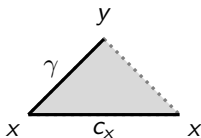


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# A formal statement of the homotopy hypothesis

## Theorem [Quillen 67] :

The Quillen-Serre model structure on topological spaces is Quillen-equivalent to the Kan-Quillen model structure on simplicial sets.

A few consequences :

- a topological space is weakly homotopy equivalent to the geometric realization of its singular simplicial complex (and so to a CW-complex)
- two topological spaces are weakly homotopy equivalent iff the geometric realization of their singular simplicial complex are weakly homotopy equivalent

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- two topological spaces are weakly homotopy equivalent iff the geometric realization of their singular simplicial complex are weakly homotopy equivalent

« Comparing topological spaces up-to weak homotopy equivalence is the same as comparing  $\infty$ -groupoids (up-to weak equivalence in the suitable model structure) »



## II.

A first proposal of directed homotopy hypothesis

# Topological spaces as $\infty$ -groupoids

$\infty$ -category = objects + 1-cells (= morphisms between objects) + 2-cells (= morphisms between 1-cells) + ...

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## Directed topological spaces as $\infty$ -groupoids

$\infty$ -category = objects + 1-cells (= morphisms between objects) + 2-cells (= morphisms between 1-cells) + ...

objects	=	points
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$\infty$ -groupoid =  $\infty$ -category whose n-cells are invertible up-to (n+1)-cells

Here : n-dihomotopies are invertible up-to (n+1)-dihomotopies

True for  $n \geq 1$ , but dipaths are not invertible up-to dihomotopy!

# Directed topological spaces as $(\infty,1)$ -categories

$\infty$ -category = objects + 1-cells (= morphisms between objects) + 2-cells (= morphisms between 1-cells) + ...

objects	=	points
1-cells	=	dipaths (= 0-dihomotopies)
2-cells	=	(1-)dihomotopies
		$\vdots$
n-cells	=	(n-1)-dihomotopies

$(\infty,1)$ -category =  $\infty$ -category whose n-cells are invertible up-to (n+1)-cells for  $n \geq 1$

Here : n-dihomotopies are invertible up-to (n+1)-dihomotopies for  $n \geq 1$

## Directed homotopy hypothesis : the motto ?

« Directed topological spaces are the same as  $(\infty, 1)$ -categories. »

## But what are exactly $(\infty, 1)$ -categories?

Many ways to « model »  $(\infty, 1)$ -categories :

- quasi-categories (= weak Kan complexes) [**Joyal**]
- enriched categories in Kan complexes [**Bergner**]
- ...

$(\infty, 1)$ -categories	=	enriched categories in Kan complexes
objects	=	objects
n-cells	=	$(n-1)$ -simplices of Hom-objects
n-cells have inverse	=	$(n-1)$ -horns of Hom-objects
up-to $(n+1)$ -cells for $n \geq 1$		have n-fillers for $n \geq 1$

# Weak equivalences of $(\infty, 1)$ -categories

Weak equivalence from  $\mathcal{C}$  to  $\mathcal{D}$  = enriched functor  $F$  from  $\mathcal{C}$  to  $\mathcal{D}$  such that :

- for every objects  $x, y$  of  $\mathcal{C}$ , the simplicial maps

$$F_{x,y} : \mathcal{C}(x, y) \longrightarrow \mathcal{D}(F(x), F(y))$$

induces a weak homotopy equivalence between the geometric realization (i.e. is a weak equivalence in the Kan-Quilled model structure)

- $F$  induces an equivalence of categories between the categories of components  $\pi_0(\mathcal{C})$  and  $\pi_0(\mathcal{D})$

Category of components  $\pi_0(\mathcal{C}) =$

- objects = objects of  $\mathcal{C}$
- morphisms from  $x$  to  $y$  = 0-simplices of  $\mathcal{C}(x, y)$  up-to 1-simplices



## One direction of a directed homotopy hypothesis ?

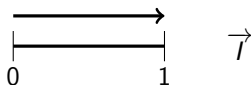
Singular trace category  $\mathbb{T} : dTop \longrightarrow KanCat \subseteq SimpCat$  **[Porter]**

$\mathbb{T}(X)$  = simplicially enriched category such that :

- objects = points of  $X$
- Hom-object from  $x$  to  $y$  = singular simplicial complex of  $\vec{T}(X)(x, y)$

« Can we compare (weak) dihomotopy types of directed spaces by their singular trace categories (up-to weak equivalence) ? »

## Not yet : the case of the directed segment



In any reasonable equivalence,  $\vec{I}$  is equivalent to a point  $*$

$\mathbb{T}(\vec{I})$  and  $\mathbb{T}(*)$  are not weakly equivalent :

- for  $x < y$ ,  $\vec{I}(\vec{I})(y, x)$  is empty while  $\vec{I}(*)(*, *)$  is not
- their category of components are not equivalent (one has empty Hom-sets while the other has not)

### III.

## The need for equivalences in directed algebraic topology

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... there are ((way) too) many equivalences of directed spaces ...

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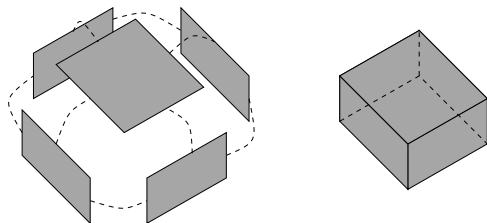
... too bad, there will be many others at the end of this talk.

## The simplest one

$X$  and  $Y$  are dihomotopy equivalent iff there are dmaps  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  such that  $f \circ g$  and  $g \circ f$  are dihomotopic to identities.

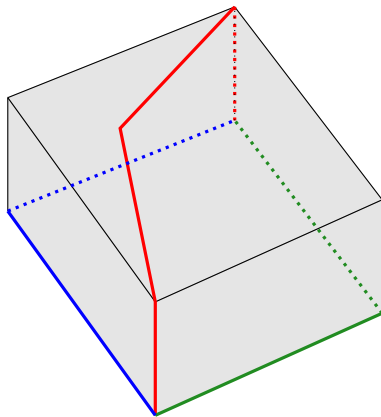
Ex :  $\vec{I}$  is dihomotopy equivalent to a point

Ex :



The Fahrenberg's matchbox is dihomotopy equivalent to a point while it should not.

Why?





## Reminder on classical algebraic topology

A (strong) deformation retract of  $X$  on a subspace  $A$  is a continuous map

$$H : X \longrightarrow P(X) = [[0, 1] \rightarrow X]$$

such that :

- for every  $x \in X$ ,  $H(x)(0) = x$ ;
- for every  $a \in A$ ,  $t \in [0, 1]$ ,  $H(a)(t) = a$ ;
- for every  $x \in X$ ,  $H(x)(1) \in A$ .

### Theorem :

Two topological spaces are homotopy equivalent iff there is a span of deformation retracts between them.

## Definition in directed algebraic topology

A **future** deformation retract of  $X$  on a sub-**d**space  $A$  is a continuous map

$$H : X \longrightarrow \vec{P}(X)$$

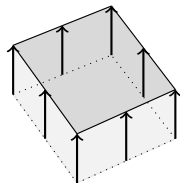
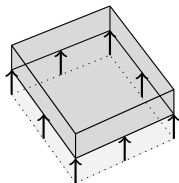
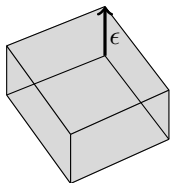
such that :

- for every  $x \in X$ ,  $H(x)(0) = x$ ;
- for every  $a \in A$ ,  $t \in [0, 1]$ ,  $H(a)(t) = a$ ;
- for every  $x \in X$ ,  $H(x)(1) \in A$ ;
- for every  $t \in [0, 1]$ , the map  $H_t : x \mapsto H(x)(t)$  is a dmap;
- for every  $\delta$  of  $A$  from  $z$  to  $H_1(x)$  there is a dipath  $\gamma$  of  $X$  from  $y$  to  $x$  with  $H_1(y) = z$  and  $H_1 \circ \gamma$  dihomotopic to  $\delta$ .

### Definition :

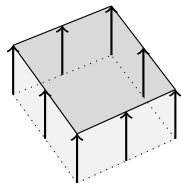
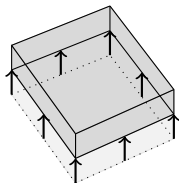
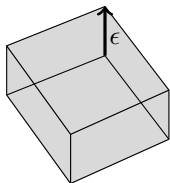
Two **d**spaces are **dihomotopy** equivalent iff there is a **zigzag** of **future and past** deformation retracts between them.

# Something's wrong, isn't it?



There is a future deformation retract from the matchbox to its upper face (and so to its upper corner)!

# Something's wrong, isn't it ?



There is a future deformation retract from the matchbox to its upper face (and so to its upper corner) !

Problem : the dipaths along which we deform do not preserve the fact that dipaths are not dihomotopic.

## Inessential dipaths

Idea from **[Fajstrup, Goubault, Haucourt, Raussen]** for category of components.

The set  $\mathfrak{I}(X)$  of inessential dipaths of  $X$  is the largest set of dipaths such that :

- it is closed under concatenation and dihomotopy ;
- for every  $\gamma \in \mathfrak{I}(X)$  from  $x$  to  $y$ , for every  $z \in X$  such that  $\vec{P}(X)(z, x)$ , the map  $\gamma \star \_ : \vec{P}(X)(z, x) \longrightarrow \vec{P}(X)(z, y) \quad \delta \mapsto \gamma \star \delta$  is a homotopy equivalence ;
- symmetrically for  $\_ \star \gamma$  ;
- $\mathfrak{I}(X)$  has the right and left Ore condition modulo dihomotopy :

$$\begin{array}{ccc}
 W & \xrightarrow{g'} & X \\
 \vdots & \text{mod. dihomot.} & \vdots \\
 Z & \xrightarrow{g} & Y
 \end{array}
 \quad
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 f' \in \mathfrak{I}(X) \\
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 \downarrow \\
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 \end{array}$$

Ex :  $\epsilon$  is not inessential in the matchbox

## Better definition in directed algebraic topology

A **future** deformation retract of  $X$  on a sub-**d**space  $A$  is a continuous map

$$H : X \longrightarrow \mathfrak{J}(X)$$

such that :

- for every  $x \in X$ ,  $H(x)(0) = x$  ;
- for every  $a \in A$ ,  $t \in [0, 1]$ ,  $H(a)(t) = a$  ;
- for every  $x \in X$ ,  $H(x)(1) \in A$  ;
- for every  $t \in [0, 1]$ , the map  $H_t : x \mapsto H(x)(t)$  is a **dmap** ;
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### Definition :

Two **d**spaces are **dihomotopy** equivalent iff there is a **zigzag** of **future and past** deformation retracts between them.

## A first invariance

### Theorem [Dubut 16] :

If two dspaces are dihomotopically equivalent, then their natural homology are bisimilar.

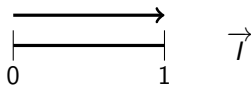
Since the natural homology of the matchbox is not bisimilar to the one of a point [Dubut, Goubault, Goubault-Larrecq 15], the matchbox cannot be dihomotopy equivalent to a point.

## IV.

# A new proposal of directed homotopy hypothesis



## The symptomatic case of the directed segment

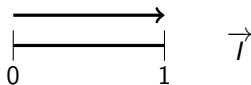


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Empty path spaces have a particular behavior that must be studied with care

## Reminder on enriched categories and functors

Let  $(V, U, \otimes)$  be a monoidal category.

A (small) enriched category  $\mathcal{C}$  on  $V$  consists in the following data :

- a set of objects  $Ob(\mathcal{C})$
- for every pair of objects  $A, B$ , an object  $\mathcal{C}(A, B)$  of  $V$
- for every triple of objects  $A, B, C$ , a morphism in  $V$

$$\circ_{A,B,C} : \mathcal{C}(A, B) \otimes \mathcal{C}(B, C) \longrightarrow \mathcal{C}(A, C)$$

- for every object  $A$ , a morphism in  $V$

$$u_A : U \longrightarrow \mathcal{C}(A, A)$$

satisfying some coherence diagrams (associativity, unity).

An enriched functor  $F : \mathcal{C} \longrightarrow \mathcal{D}$  on  $V$  consists in the following data :

- a function  $F : Ob(\mathcal{C}) \longrightarrow Ob(\mathcal{D})$ ;
- for every pair of objects  $A, B$  of  $\mathcal{C}$ , a morphism in  $V$

$$F_{A,B} : \mathcal{C}(A, B) \longrightarrow \mathcal{D}(F(A), F(B))$$

satisfying some coherence diagrams (composition, unity).

## A better definition to handle emptiness

Let  $(V, U, \otimes)$  be a monoidal category.

A (small) **partially** enriched category  $\mathcal{C}$  on  $V$  consists in the following data :

- a **preordered** set of objects  $Ob(\mathcal{C})$ ,  $\leq$
- for every pair of objects  $A \leq B$ , an object  $\mathcal{C}(A, B)$  of  $V$
- for every triple of objects  $A \leq B \leq C$ , a morphism in  $V$

$$\circ_{A,B,C} : \mathcal{C}(A, B) \otimes \mathcal{C}(B, C) \longrightarrow \mathcal{C}(A, C)$$

- for every object  $A$ , a morphism in  $V$

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satisfying some coherence diagrams (associativity, unity), **compatible with  $\leq$** .

An enriched functor  $F : \mathcal{C} \longrightarrow \mathcal{D}$  on  $V$  consists in the following data :

- a **monotonic** function  $F : Ob(\mathcal{C}) \longrightarrow Ob(\mathcal{D})$ ;
- for every pair of objects  $A \leq B$  of  $\mathcal{C}$ , a morphism in  $V$

$$F_{A,B} : \mathcal{C}(A, B) \longrightarrow \mathcal{D}(F(A), F(B))$$

satisfying some coherence diagrams (composition, unity), **compatible with  $\leq$** .

## From dTop to PeCat(HoTop) : the dipath category

$\mathbb{P}(X)$  = partially enriched category on  $HoTop$  :

- objects = points of  $X$  ;
- $x \leq y$  iff  $\vec{P}(X)(x, y) \neq \emptyset$  ;
- for  $x \leq y$ ,  $\mathbb{P}(X)(x, y) = \vec{P}(X)(x, y)$  ;
- composition = concatenation up-to homotopy ;
- unit = constant path.

We can have defined it with value in  $HoSimp$  or  $Ab$  by composing with singular simplicial complex or homology.

We recover the fundamental category  $\pi_1(X)$  by composing with the connected components functor.

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We have to define a category of « directed » components.



# Yoneda morphisms, category of directed components

A slight modification of **[Fajstrup, Goubault, Haucourt, Raussen]**

The set  $\mathfrak{Y}(\mathcal{C})$  of Yoneda morphisms of a category  $\mathcal{C}$  is the largest set of morphisms such that :

- it is closed under concatenation ;
- for every  $f : c \rightarrow c' \in \mathfrak{Y}(\mathcal{C})$ , for every object  $c''$  of  $\mathcal{C}$  such that  $\mathcal{C}(c', c'') \neq \emptyset$ , the function  $\_ \circ f : \mathcal{C}(c', c'') \rightarrow \mathcal{C}(c, c'')$   $g \mapsto g \circ f$  is a bijection ;
- symmetrically for  $f \circ \_ ;$
- it has right and left Ore conditions

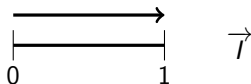
$$\begin{array}{ccc}
 & g' \in \mathcal{C} & \\
 & W \cdots \rightarrow X & \\
 f' \in \mathfrak{Y}(\mathcal{C}) \downarrow & & \downarrow f \in \mathfrak{Y}(\mathcal{C}) \\
 & Z \xrightarrow{g \in \mathcal{C}} Y & 
 \end{array}$$

$$\begin{array}{ccc}
 & g \in \mathcal{C} & \\
 & Z \xrightarrow{\quad} Y & \\
 f \in \mathfrak{Y}(\mathcal{C}) \downarrow & & \downarrow f' \in \mathfrak{Y}(\mathcal{C}) \\
 & X \cdots \rightarrow W & \\
 & g' \in \mathcal{C} & 
 \end{array}$$

$\overrightarrow{\pi}_0(\mathcal{C}) = \mathcal{C}[\mathfrak{Y}(\mathcal{C})^{-1}] = \mathcal{C}$  in which we inverse the morphisms in  $\mathfrak{Y}(\mathcal{C})$

$\overrightarrow{\pi}_0(X) = \overrightarrow{\pi}_0(\pi_1(X))$

## Example : the directed segment



$\mathbb{P}(\vec{I})$  is such that :

- $x \leq y$  is the usual ordering on  $I$  ;
- for every  $x \leq y$ ,  $\mathbb{P}(\vec{I})(x, y)$  is contractible.

The fundamental category  $\pi_1(\vec{I})$  is the poset  $(I, \leq)$ .

The category of components  $\pi_0(\vec{I})$  is the preordered set  $(I, I \times I)$ , which is equivalent to the category with one object and one morphism.

## Weak dihomotopy equivalence

We say that a dmap  $f : X \longrightarrow Y$  is a weak dihomotopy equivalence iff

- it induces an equivalence between the categories of **directed** components
- it induces a fully-faithful enriched functor between dipath categories i.e. for  $x \leq_x x'$ , the map

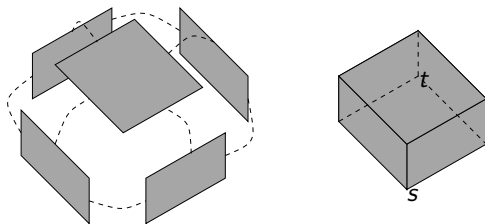
$$\mathbb{P}(f)_{x,x'} : \mathbb{P}(X)(x, x') \longrightarrow \mathbb{P}(Y)(f(x), f(x'))$$

which maps  $\gamma$  to  $f \circ \gamma$  is a homotopy equivalence.

We say that two dspaces are weakly dihomotopy equivalent iff there is zigzag of weak dihomotopy equivalence between them.

## Examples

$\vec{I}$  is weakly equivalent to a point.



$\mathbb{P}(s, t)$  is homotopy equivalent to a two point space, so the match box cannot be weakly equivalent to a point.

# Invariance

## Theorem [Dubut 16] :

If two dspaces are dihomotopy equivalent, then they are weakly dihomotopy equivalent.

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« One can compare dspaces by comparing their dipath category (up-to weak equivalence). »

« Are dspaces the same as partially enriched categories in HoTop (or HoSimp) ? »

# Conclusion

Summary :

- We have defined a dihomotopy equivalence, which behaves well on examples and for which natural homology is an invariant.
- We have defined a new structure, closed to  $(\infty, 1)$ -categories, and designed its weak equivalence, for which it is an invariant of dihomotopy equivalence.

Many open questions :

- Are there two weakly equivalent dspaces that are not dihomotopy equivalent ?
- Are there model structures on dspaces (or partially enriched categories) for which the weak equivalence is dihomotopy equivalence (or weak equivalence) ?
- Do we have a kind of geometric realization from partially enriched categories to dspaces in order to formulate a complete directed homotopy equivalence ?
- Are the partially enriched categories (in Top or Simp) a nice model of  $(\infty, 1)$ -categories ?



Thank you !