

Architecture-Guided Test Resource Allocation Via Logic

Clovis Eberhart^{1,2} Akihisa Yamada³ Stefan Klikovits¹
Shin-ya Katsumata¹ Tsutomu Kobayashi^{4,1} Ichiro Hasuo¹
Fuyuki Ishikawa¹

¹National Institute of Informatics, Japan

²Japanese-French Laboratory for Informatics, Japan

³National Institute of Advanced Industrial Science and Technology, Japan

⁴Japan Science and Technology Agency, Japan

eberhart@nii.ac.jp

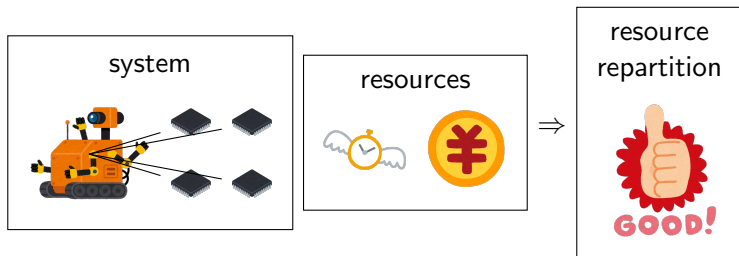
TAP 2021, June 21–22



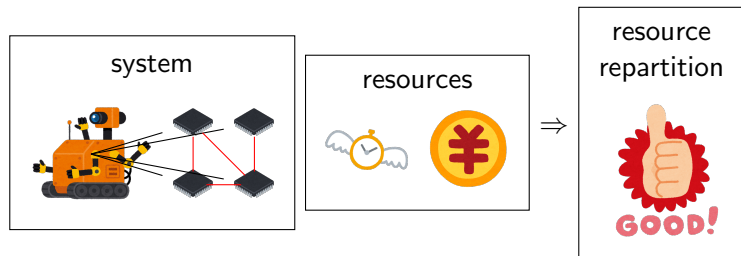
NII



Test Resource Allocation Problem



Test Resource Allocation Problem



Architecture

- more or less critical modules
- independent of reliability

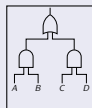
Architecture:

- should influence TRA
- not taken into account in most approaches

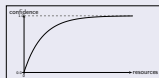
Our approach to the TRAP

Our approach

$$\frac{\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \vee B} \quad \frac{\Gamma \vdash C \quad \Gamma \vdash D}{\Gamma \vdash C \vee D}}{\Gamma \vdash (A \vee B) \wedge (C \vee D)}$$



- system: represented by **QCL proof** (e.g. via a **fault tree**)
- reliability of each module: given by **confidence functions**
- (limited) resources



Our solution

Solve an **optimisation** problem

Validation of approach: **experimental results** (Astrahl)

Applications

Applications

- complex systems (complex architecture)
- heterogeneous systems (different types of components)
- continuous development
- product line development

Disclaimer

- QCL: general framework for confidence
- this work: preliminary results
- needs more experimental results

Quantitative Confidence Logic Formulas

Quantitative Confidence Logic (QCL)

- confidence (not truth)
- positive and negative

Formulas: $\varphi ::= A \mid \top \mid \perp \mid \varphi \Rightarrow \varphi \ (\neg\varphi, \varphi \wedge \varphi, \varphi \vee \varphi)$

Formula with confidence

$\varphi: (t, f)$ with $(t, f) \in \{(t, f) \in [0, 1]^2 \mid t + f \leq 1\}$

- t : positive confidence
- f : negative confidence

Examples

- $\varphi: (0, 0)$: totally unknown
- $\varphi: (1, 0)$: true with total confidence
- $\varphi: (1/2, 1/2)$: total confidence in truth with probability 1/2

QCL Proof Rules

$$\frac{}{\Gamma, \varphi: (t, f) \vdash \varphi: (t, f)} \text{ (ax)} \quad \frac{}{\Gamma \vdash \varphi: (0, 0)} \text{ (unk)}$$

$$\frac{}{\Gamma \vdash \top: (1, 0)} \text{ (}\top\text{)} \quad \frac{}{\Gamma \vdash \perp: (0, 1)} \text{ (}\perp\text{)}$$

$$\frac{\Gamma \vdash \varphi: (t, f) \quad \Gamma \vdash \psi: (t', f')}{\Gamma \vdash \varphi \Rightarrow \psi: (f + t' - ft', tf')} \text{ (}\Rightarrow\text{)}$$

$$\frac{\Gamma \vdash \varphi \Rightarrow \psi: (t, f) \quad \Gamma \vdash \varphi: (t', f')}{\Gamma \vdash \psi: \left(1 - \frac{1-t}{t'}, \frac{f}{1-f'}\right)} \text{ (}\Rightarrow_{E,l}\text{) if } t' \neq 0 \text{ and } f' \neq 1$$

$$\frac{\Gamma \vdash \varphi \Rightarrow \psi: (t, f) \quad \Gamma \vdash \psi: (t', f')}{\Gamma \vdash \varphi: \left(\frac{f}{1-t'}, 1 - \frac{1-t}{f'}\right)} \text{ (}\Rightarrow_{E,r}\text{) if } t' \neq 1 \text{ and } f' \neq 0$$

Derivable Rules

$$\frac{\Gamma \vdash \varphi : (t, f)}{\Gamma \vdash \neg \varphi : (f, t)} (\neg_I)$$

$$\frac{\Gamma \vdash \varphi : (t, f) \quad \Gamma \vdash \psi : (t', f')}{\Gamma \vdash \varphi \wedge \psi : (tt', f + f' - ff')} (\wedge_I)$$

$$\frac{\Gamma \vdash \varphi : (t, f) \quad \Gamma \vdash \psi : (t', f')}{\Gamma \vdash \varphi \vee \psi : (t + t' - tt', ff')} (\vee_I)$$

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$$\frac{\Gamma \vdash \varphi: (t, f) \quad \frac{}{\Gamma \vdash \psi: (0, 0)} (unk)}{\Gamma \vdash \varphi \vee \psi: (t + 0 - t \cdot 0, f \cdot 0) = (t, 0)} (\vee_I)$$

QCL Proof Trees

Example

Simple CPS:

$$\frac{\frac{\Gamma \vdash \text{software}}{\Gamma \vdash \text{software}} \quad (ax) \quad \frac{\Gamma \vdash \text{hardware}}{\Gamma \vdash \text{hardware}} \quad (ax)}{\Gamma \vdash \text{software} \wedge \text{hardware}} \quad (\wedge_I).$$

QCL Proof Trees

Example

Simple CPS:

$$\frac{\frac{}{\Gamma \vdash \text{software}: (0.5, 0.2)} (ax) \quad \frac{}{\Gamma \vdash \text{hardware}: (0.3, 0.01)} (ax)}{\Gamma \vdash \text{software} \wedge \text{hardware}: (0.15, 0.208)} (\wedge_I).$$

QCL Proof Trees

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QCL:

- not about truth
- flow of confidence from hypotheses to conclusion

QCL, Dempster-Shafer Theory, and Fuzzy Logic

Dempster-Shafer Theory

- theory of belief
- major difference to Bayesian approaches: $t + f \leq 1$ (rather than $t + f = 1$)

Fuzzy logical features:

- product T -norm (interpretation of \wedge)
- probabilistic sum T -conorm (interpretation of \vee)
- involution (interpretation of \neg)

QCL vs Fuzzy Logic

- $\varphi: t$ with $t \in [0, 1]$, equivalent: $\varphi: (t, f)$ with $f = 1 - t$
- $\varphi: (t, f)$ with $t + f \leq 1$, equivalent: $\varphi: (t, u, f)$ with $u = 1 - t - f$

Probabilistic Interpretation of QCL

Interpretation in probability spaces: $\llbracket \varphi \rrbracket_\rho$ probability that φ holds (ρ gives probability of atomic variables).

$$\rho \vDash \varphi: (t, f) \iff \llbracket \varphi \rrbracket_\rho \in [t, 1 - f]$$

Lemma

For all rules, formulas φ and ψ that share no atomic propositions, and contexts ρ , if the premise sequents hold for ρ , then so does the conclusion.

Corollary

If φ is linear (each atomic proposition appears at most once) and a proof π of $\Gamma \vdash \varphi: c$ only uses base rules and introduction rules, then $\Gamma \vdash \varphi: c$ holds for all contexts ρ .

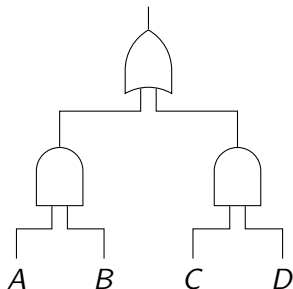
Fault Trees

Fault trees:

- industry standard
- represent fault propagation
- fault at root \iff failure

Quantitative analysis:

- assign fault probabilities to base events
- compute failure probability



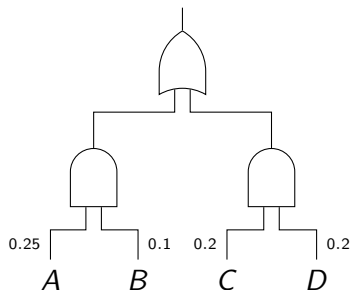
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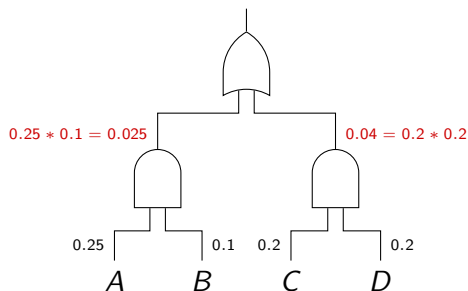
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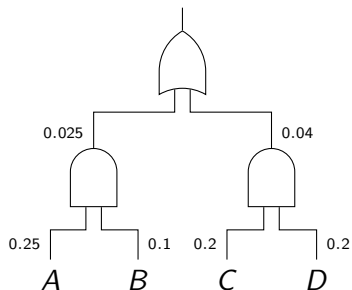
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Fault Trees

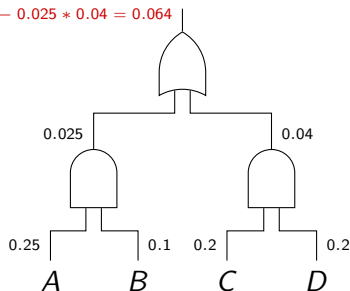
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$$0.025 + 0.04 - 0.025 * 0.04 = 0.064$$



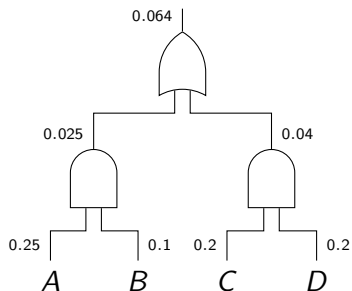
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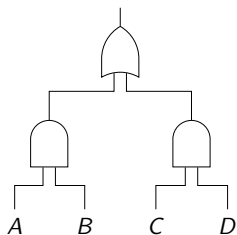
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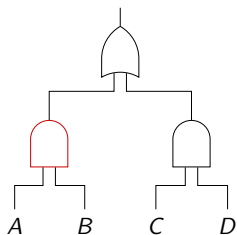
Translation to QCL Proof Trees



$$\frac{\frac{\overline{\Gamma \vdash A} \quad \overline{\Gamma \vdash B}}{\Gamma \vdash A \vee B} \quad \frac{\overline{\Gamma \vdash C} \quad \overline{\Gamma \vdash D}}{\Gamma \vdash C \vee D}}{\Gamma \vdash (A \vee B) \wedge (C \vee D)}$$

- dualisation: propagation of faults \rightarrow confidence
- Γ : contains hypotheses

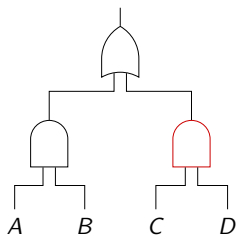
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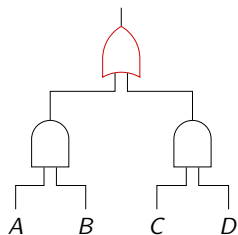
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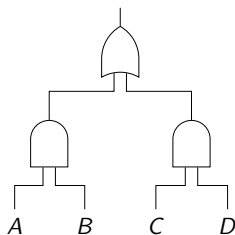
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- dualisation: propagation of faults \rightarrow confidence
- Γ : contains hypotheses

$$\Gamma \vdash (A \vee B) \wedge (C \vee D): ((t_A + t_B - t_A t_B)(t_C + t_D - t_C t_D), \\ f_A f_B + f_C f_D - f_A f_B f_C f_D)$$

$$\Gamma \vdash \varphi: (g_t(c_1, \dots, c_n), g_f(c_1, \dots, c_n))$$

Confidence Functions

Confidence function

$c: \mathbb{R}_+ \rightarrow \mathbb{C} (= \{(t, f) \in [0, 1]^2 \mid t + f \leq 1\})$

- takes resources (time, money, etc.)
- returns confidence

Given:

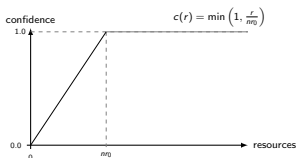
- proof of $\Gamma \vdash \varphi: (t, f)$
- confidence functions c_i 's for hypotheses in Γ
- resources r_i spent on hypotheses

positive confidence $t = g_t(c_1(r_1), \dots, c_n(r_n))$

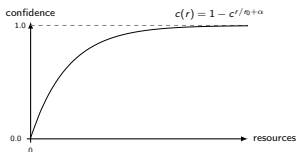
Examples of Confidence Functions

Here: negative confidence $f = 0$.

- complete test suite



- independent test suite



- SRGMs

TRAP as Optimisation Problem

TRAP

Given:

- fault tree
- confidence functions c_i for modules
- resources r_i spent on modules
- resources r to spend

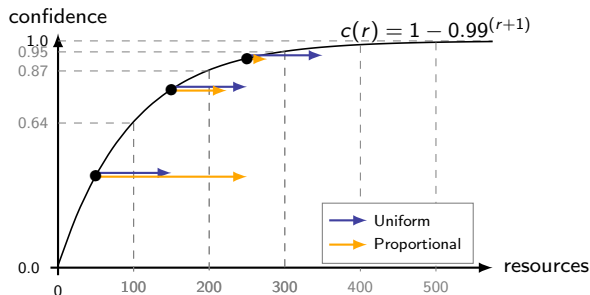
maximise $g_t(c_1(r_1 + r'_1), \dots, c_n(r_n + r'_n))$ under $\sum_{i=1}^n r'_i \leq r$

→ **constrained optimisation problem**

Experimental Competitors

Competitors:

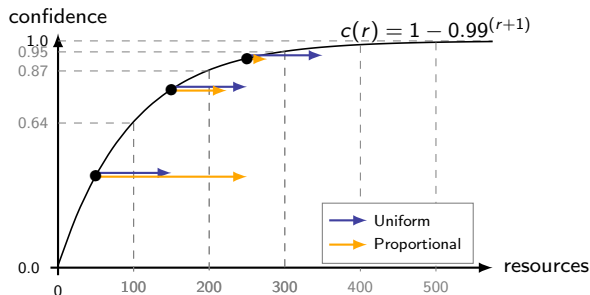
- uniform
- “inverse proportional”



Experimental Competitors

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- uniform
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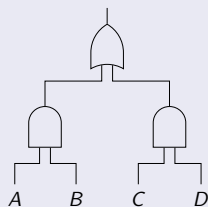


- naive
- architecture unaware

First Experiment

RQ1: how much confidence does our approach gain?

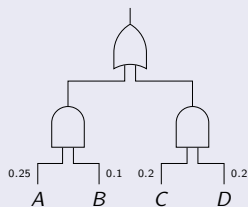
Method



First Experiment

RQ1: how much confidence does our approach gain?

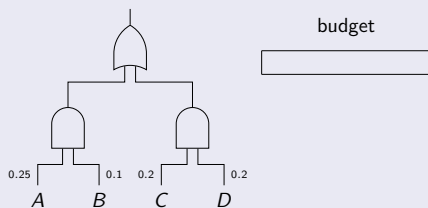
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First Experiment

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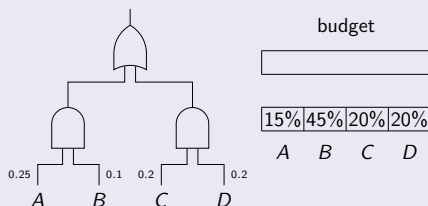
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First Experiment

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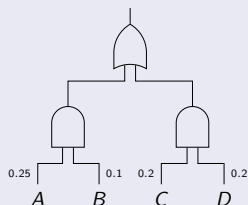
Method



First Experiment

RQ1: how much confidence does our approach gain?

Method



budget

15% 45% 20% 20%

A B C D

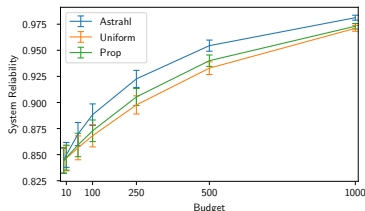
r : Astrahl's score

r' : competitor's score

relative difference:

$$\frac{(1 - r) - (1 - r')}{1 - r}$$

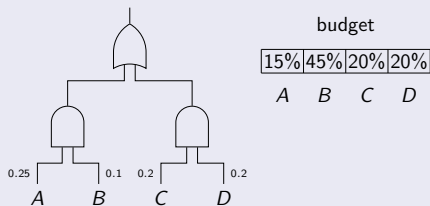
Budget	Astrahl		Uniform		Proportional	
	Score	Score	Diff %	Score	Diff %	
1	.8445	.8442	-0.19	.8442	-0.19	
10	.8498	.8465	-2.20	.8471	-1.80	
50	.8697	.8565	-10.13	.8593	-7.98	
100	.8884	.8682	-18.10	.8729	-13.89	
250	.9226	.8976	-32.30	.9053	-22.35	
500	.9544	.9329	-47.15	.9400	-31.58	
1000	.9812	.9711	-53.72	.9730	-43.62	



Second Experiment

RQ2: is the gain in confidence linked to a gain in reliability?

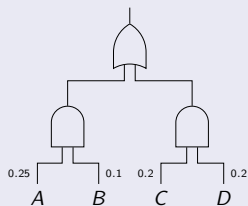
Method



Second Experiment

RQ2: is the gain in confidence linked to a gain in reliability?

Method



budget

15%	45%	20%	20%
-----	-----	-----	-----

A B C D

faults

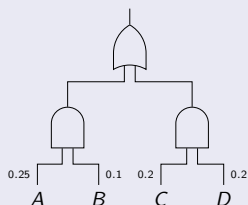
4	6	5	4
---	---	---	---

A B C D

Second Experiment

RQ2: is the gain in confidence linked to a gain in reliability?

Method



budget

15%	45%	20%	20%
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A B C D

faults

4	6	5	4
---	---	---	---

A B C D

tests

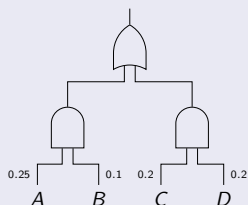
3	9	4	4
---	---	---	---

A B C D

Second Experiment

RQ2: is the gain in confidence linked to a gain in reliability?

Method



budget

15%	45%	20%	20%
-----	-----	-----	-----

A B C D

faults

4	6	5	4
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A B C D

tests

3	9	4	4
---	---	---	---

A B C D

final faults

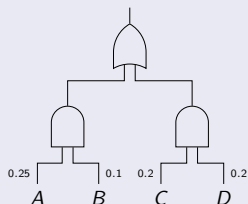
4	3	4	2
---	---	---	---

A B C D

Second Experiment

RQ2: is the gain in confidence linked to a gain in reliability?

Method



budget

15%	45%	20%	20%
-----	-----	-----	-----

A B C D

faults

4	6	5	4
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A B C D

tests

3	9	4	4
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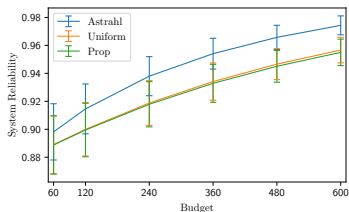
A B C D

final faults

4	3	4	2
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A B C D

Budget	Astrahl	Uniform		Proportional	
	Score	Score	Diff %	Score	Diff %
60	.8982	.8890	-9.04	.8887	-9.33
120	.9146	.9000	-17.10	.8995	-17.68
240	.9380	.9188	-30.97	.9179	-32.42
360	.9541	.9341	-43.57	.9329	-46.19
480	.9657	.9466	-55.69	.9451	-60.06
600	.9743	.9567	-68.48	.9550	-75.10



Future Experiments

Compare to architecture-aware TRA strategies

- parallel-series architecture → fault tree
- using same confidence functions for modules (SRGM)
- same function to optimise

Experiments on larger fault trees

- numerical optimisation less efficient
- should still be better than not taking architecture into account (even better)

Other Frameworks

Other TRAPs

- optimise $t + f$
- optimise resources (for fixed t / fixed $t + f$)

Dynamic TRAP

- take test results into account \rightarrow many faults = loss of confidence
- number of faults unknown \rightarrow not optimisation problem
- use Bayesian reasoning to guess number of faults

Others

- test prioritisation
- ...?

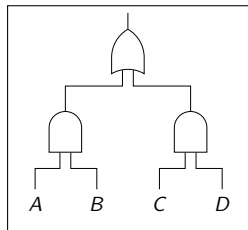
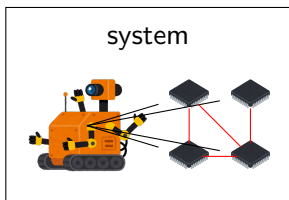
Conclusion

This work

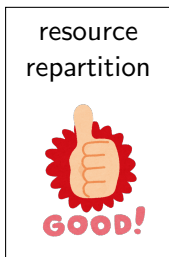
- Quantitative Confidence Logic proof rules
- translation fault tree \rightarrow proof tree
- **architecture-aware TRA strategy**
- experimental validation

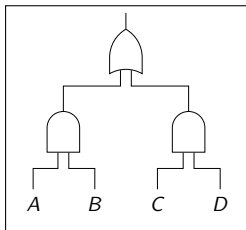
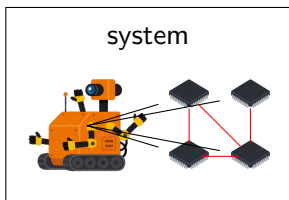
Future work

- logical side:
 - QCL and truth (e.g., $\vdash A \Rightarrow A: (1, 0)$)
 - QCL and time
 - equip logic with confidence
- practical side:
 - dynamic TRAP

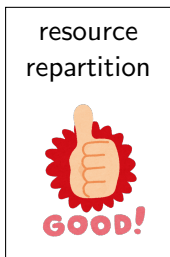


$$\frac{\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \vee B} \quad \frac{\Gamma \vdash C \quad \Gamma \vdash D}{\Gamma \vdash C \vee D}}{\Gamma \vdash (A \vee B) \wedge (C \vee D)}$$





$$\frac{\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \vee B} \quad \frac{\Gamma \vdash C \quad \Gamma \vdash D}{\Gamma \vdash C \vee D}}{\Gamma \vdash (A \vee B) \wedge (C \vee D)}$$



Thank you for your attention!