

Axiom of Choice and Zorn's Lemma in Dedekind Categories

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It is a fundamental fact that **Axiom of Choice** and **Zorn's Lemma** are mutually equivalent in set theory.

This talk aims to give a relational proof of the equivalence of the axiom of choice and Zorn's lemma in **Dedekind (Schröder) categories**.

A **Dedekind category** is an algebraic system generalising the category of binary relations.

A **morphism** in a Dedekind category will be denoted by a half arrow $\alpha : X \rightarrow Y$, and the composite of a morphism $\alpha : X \rightarrow Y$ followed by a morphism $\beta : Y \rightarrow Z$ will be written as $\alpha\beta : X \rightarrow Z$. Also we will denote the **identity morphism** on X as id_X .

Definition 1. A **Dedekind category** $\mathcal{D}$ is a category such that

DC1. Every hom-set

$\mathcal{D}(X, Y) = (\mathcal{D}(X, Y), \sqsubseteq, \sqcap, \sqcup, \Rightarrow, 0_{XY}, \nabla_{XY})$ is a **complete Heyting algebra** with the least morphism 0_{XY} and the greatest morphism ∇_{XY} .

DC2. A **converse operation** $\sharp : \mathcal{D}(X, Y) \rightarrow \mathcal{D}(Y, X)$ satisfies (a) $(\alpha\beta)^\sharp = \beta^\sharp\alpha^\sharp$, (b) $(\alpha^\sharp)^\sharp = \alpha$, (c) If $\alpha \sqsubseteq \alpha'$, then $\alpha^\sharp \sqsubseteq \alpha'^\sharp$.

DC3. **Dedekind formula** $\alpha\beta \sqcap \gamma \sqsubseteq \alpha(\beta \sqcap \alpha^\sharp\gamma)$ holds.

DC4. **Residual composition** $\alpha \ominus \beta$ is a morphism such that $\alpha^\sharp\delta \sqsubseteq \beta$ if and only if $\delta \sqsubseteq \alpha \ominus \beta$. $\square$

- $f^\sharp f \subseteq \text{id}_Y \quad \dots \quad \text{univalent},$
- $\text{id}_X \subseteq f f^\sharp \quad \dots \quad \text{total},$
- univalent and total $\quad \dots \quad \text{function},$
- $f^\sharp f = \text{id}_Y$ and $\text{id}_X \subseteq f f^\sharp \quad \dots \quad \text{surjection},$
- $f f^\sharp = \text{id}_X$ and $f^\sharp f \subseteq \text{id}_Y \quad \dots \quad \text{injection}.$

[**Schröder Category**] Every hom-set $\mathcal{D}(X, Y)$ is a complete **Boolean algebras**, that is, $\alpha = \neg\neg\alpha$ for all relations $\alpha : X \rightarrow Y$. (Where $\neg\alpha = \alpha \Rightarrow 0_{XY}$.)

[**Subobjects**] For all subidentities $u : X \rightarrow X$ (that is, $u \sqsubseteq \text{id}_X$) there exists an injection $i : S \rightarrow X$ such that $u = i^\sharp i$.

[**Power Objects**] For all objects Y there exists a membership relation $\exists_Y : \wp(Y) \rightarrow Y$ such that $(\exists_Y \ominus \exists_Y^\sharp) \sqcap (\exists_Y \ominus \exists_Y^\sharp)^\sharp \sqsubseteq \text{id}_{\wp(Y)}$ and for all relations $\alpha : X \rightarrow Y$ there is a unique function $\alpha^\circledast : X \rightarrow \wp(Y)$ such that $\alpha = \alpha^\circledast \exists_Y$.

The relation $\Xi_X = \exists_X \ominus \exists_X^\sharp : \wp(X) \rightarrow \wp(X)$ is called the **power order** on X .

There are three types of relational axiom of choice in Dedekind categories:

(AC1) For all total relations $\alpha : X \rightarrow Y$ there exists a function $f : X \rightarrow Y$ such that $f \sqsubseteq \alpha$.

(AC2) For all relations $\alpha : X \rightarrow Y$ there exists a partial function $f : X \rightarrow Y$ such that $f \sqsubseteq \alpha$ and $\text{dom}(f) = \text{dom}(\alpha)$.

(AC3) All surjections have sections, that is, for all surjections $f : X \rightarrow Y$ there exists a function $s : Y \rightarrow X$ such that $sf = \text{id}_Y$.

(AC1), (AC2) and (AC3) are mutually equivalent in (rational or tabular) Dedekind categories.

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Definition 2. A relation $\xi : X \rightarrow X$ is called a (partial) *order* if it is **reflexive** ($\text{id}_X \sqsubseteq \xi$), **transitive** ($\xi\xi \sqsubseteq \xi$) and **antisymmetric** ($\xi \sqcap \xi^\# \sqsubseteq \text{id}_X$).

Note that $\xi^\# \ominus \xi = \xi$ iff $\text{id}_X \sqsubseteq \xi$ and $\xi\xi \sqsubseteq \xi$.

Definition 3. A relation $\sigma : V \rightarrow X$ is said to be a *ξ -chain* if $\sigma^\#\sigma \sqsubseteq \xi \sqcup \xi^\#$, or equivalently if $\sigma \sqsubseteq \sigma \ominus (\xi \sqcup \xi^\#)$.

Definition 4. Let $\xi : X \rightarrow X$ be a relation and V an object.

- (a) ξ is *complete* on V if for all relations $\sigma : V \rightarrow X$ the supremum $\sup(\sigma, \xi) : V \rightarrow X$ is total.
- (b) ξ is *chain-complete* on V if for all ξ -chains $\sigma : V \rightarrow X$ the supremum $\sup(\sigma, \xi) : V \rightarrow X$ is total.
- (c) ξ is *inductive* on V if for all ξ -chains $\sigma : V \rightarrow X$ the upper bound $\sigma \ominus \xi : V \rightarrow X$ is total. $\square$

Definition 5. Let $\xi : X \rightarrow X$ be a relation. A function $x : V \rightarrow X$ is called ξ -*maximal* if $x\xi = x$. $\square$

(Zorn's Lemma) (ZL) If an order $\xi : X \rightarrow X$ is inductive on V , then there exists a ξ -maximal function $x : V \rightarrow X$.

Our goal is to show the following

Theorem A. (AC) if and only if (ZL).

Lemma 6. Let $\xi : X \rightarrow X$ be an order and $\sigma : V \rightarrow X$ a relation. If $\sigma \ominus \xi : V \rightarrow X$ is total and $\sigma \ominus \xi \sqsubseteq \sigma$ holds, then $\sigma \ominus \xi$ is a ξ -maximal function. $\square$

Let $\alpha : X \rightarrow Y$ be a relation. A function $\wp(\alpha) : \wp(X) \rightarrow \wp(Y)$ is a unique function such that $\wp(\alpha)\wp_Y = \wp_X\alpha$, that is, $\wp(\alpha) = (\wp_X\alpha)^\circ$.

$$\begin{array}{ccc} \wp(X) & \xrightarrow{\wp(\alpha)} & \wp(Y) \\ \wp_X \downarrow & & \downarrow \wp_Y \\ X & \xrightarrow{\alpha} & Y \end{array}$$

Proposition 7.

- (a) $\sup(\wp_X, \Xi_X) = \wp(\wp_X)$.
- (b) Ξ_X is complete on all objects V ,
- (c) The power object $\wp(X)$ forms a Boolean algebra (if $\mathcal{D}$ is a Schröder category). $\square$

For an injection $i : C \rightarrow \wp(X)$ we define two relations $\ni_i : C \rightarrow X$ and $\Xi_i : \wp(X) \rightarrow \wp(X)$ by $\ni_i = i \ni_X$ and $\Xi_i = i \Xi_X i^\sharp$. It is obvious that $\Xi_i = \ni_i \ominus \ni_i^\sharp$.

Lemma 8. Let $\delta : X \rightarrow X$ be a relation. If $i : C \rightarrow \wp(X)$ is an injection with $i^\sharp i = \text{id}_{\wp(X)} \sqcap [\ni_X \ominus (\ni_X \ominus \delta)^\sharp]$, then the induced power order Ξ_i is chain-complete on all objects V .

$$\begin{array}{ccccc}
 V & \xrightarrow{\sigma} & C & \xrightarrow{i} & \wp(X) \\
 & & \downarrow \Xi_i & & \downarrow \Xi_X \\
 & & C & \xrightarrow{i} & \wp(X)
 \end{array}$$

□

Proposition 9. Let $\xi : X \rightarrow X$ be a reflexive relation and $i : C \rightarrow \wp(X)$ an injection with

$i^\sharp i = \text{id}_{\wp(X)} \sqcap [\exists_X \ominus (\exists_X \ominus \delta)^\sharp]$, where $\delta = \xi \sqcup \xi^\sharp$.

- (a) If $s : V \rightarrow C$ is a function, then $s\exists_i$ is a ξ -chain.
- (b) If $f : C \rightarrow X$ is a partial function with $f \sqsubseteq \exists_i \ominus \xi$, then there exists a function $g : C \rightarrow C$ such that $g\exists_i = \exists_i \sqcup f$. □

Definition 10. Let $\xi : X \rightarrow X$ be a relation. A function $g : X \rightarrow X$ is called **ξ -adjunct** if $g \sqsubseteq \xi$ and $\xi \sqcap g\xi^\# \sqsubseteq \text{id}_X \sqcup g$.

Note. [Schröder] $\xi \sqcap g\xi^\# \sqsubseteq \text{id}_X \sqcup g \leftrightarrow g \sqsubseteq \neg(\xi < \xi <)$.

Proposition 11. [Schröder] Let $\xi : C \rightarrow C$ be an order and $f : C \rightarrow X$ a partial function. If $\xi = \varepsilon \ominus \varepsilon^\#$ for a relation $\varepsilon : C \rightarrow X$, then every function $g : C \rightarrow C$ satisfying $g\varepsilon = \varepsilon \sqcup f$ is **ξ -adjunct**. □

A relational version of the concept of towers will be defined as follows:

Definition 12. Let $\xi : X \rightarrow X$ be a relation and $g : X \rightarrow X$ a function. A relation $\tau : V \rightarrow X$ is called a (ξ, g) -tower if

- (T1) $\sup(\sigma, \xi) \sqsubseteq \tau$ for all ξ -chains $\sigma : V \rightarrow X$ with
 $\sigma \sqsubseteq \tau$,
 (T2) $\tau g \sqsubseteq \tau$.

□

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The universal relation ∇_{VX} is a (ξ, g) -tower and so (ξ, g) -towers do exist.

The meet of all (ξ, g) -towers $\tau : V \rightarrow X$ is also a (ξ, g) -tower and is the minimum (ξ, g) -tower.

Therefore for each object V the minimum (ξ, g) -tower $\tau_0 : V \rightarrow X$ exists.

The minimum (ξ, g) -tower τ_0 will play an important rôle to prove a fixpoint theorem below.

Now we will show a fixpoint theorem.

Theorem 13. [Schröder] Let $\xi : X \rightarrow X$ be an order, $g : X \rightarrow X$ a ξ -adjunct function and $\tau_0 : V \rightarrow X$ the minimum (ξ, g) -tower. Set $\mu = \tau_0 \ominus (\xi^\# \sqcup \xi)$ and $\nu = \tau_0 \sqcap [\mu \ominus (\xi^\# \sqcup g\xi)]$.

- (a) ν is a (ξ, g) -tower,
- (b) μ is a (ξ, g) -tower,
- (c) τ_0 is a ξ -chain,
- (d) $\sup(\tau_0, \xi)g \sqsubseteq \max(\tau_0, \xi)$,
- (e) If ξ is chain-complete, then $\sup(\tau_0, \xi)g = \sup(\tau_0, \xi)$.
 $\square$

Theorem B. [Schröder] $(AC) \rightarrow (ZL)$.

Proof. Assume that an order $\xi : X \rightarrow X$ is inductive on V . By the virtue of Lemma 6 it suffices to find a ξ -chain $\sigma : V \rightarrow X$ with $\sigma \ominus \xi \sqsubseteq \sigma$. Using the existence of subobjects [Subobjects] we can construct an injection $i : C \rightarrow \wp(X)$ with

$$i^\sharp i = \text{id}_{\wp(X)} \sqcap (\exists_X \ominus [\exists_X \ominus (\xi \sqcup \xi^\sharp)]^\sharp).$$

By Lemma 8 the induced order $\Xi_i = i\Xi_X i^\sharp : C \rightarrow C$ is chain-complete.

Define a relation $\alpha : C \rightarrow X$ by

$$\alpha = (\exists_i \ominus \xi) \sqcap \exists_i^-.$$

Then by (AC2) there is a partial function $f : C \rightarrow X$ such that $f \sqsubseteq \alpha$ and $\text{dom}(f) = \text{dom}(\alpha)$.

$$\begin{array}{ccc} & \wp(X) & \\ & \uparrow i & \searrow \exists_X \\ C & \xrightarrow[\quad f \quad]{\quad \alpha \quad} & X \end{array}$$

By Proposition 9(b) there exists a function $g : C \rightarrow C$ such that $g\exists_i = \exists_i \sqcup f$. Moreover, g is ξ -adjunct by Proposition 11.

Hence by Fixpoint Theorem 13(e) there exists a function $s : V \rightarrow C$ such that $sg = s$. It is easy to see $sf = 0_{VX}$ from

$$\begin{aligned} sf &\sqsubseteq sg\exists_i \sqcap s\exists_i^- & \{ f \sqsubseteq g\exists_i \text{ and } f \sqsubseteq \alpha \sqsubseteq \exists_i^- \} \\ &= s\exists_i \sqcap s\exists_i^- & \{ sg = s \} \\ &= 0_{VX}, & \{ s\exists_i^- = (s\exists_i)^- \} \end{aligned}$$

and so $s\alpha = 0_{VX}$ from

$$\begin{aligned} s\alpha &= s \operatorname{dom}(\alpha)\alpha & \{ \alpha = \operatorname{dom}(\alpha)\alpha \} \\ &= s \operatorname{dom}(f)\alpha & \{ \operatorname{dom}(\alpha) = \operatorname{dom}(f) \} \\ &= s(ff^\sharp \sqcap \operatorname{id}_C)\alpha \\ &= 0_{VX}. & \{ sf = 0_{VX} \} \end{aligned}$$

Therefore we have $s\alpha = (s\exists_i \ominus \xi) \sqcap (s\exists_i)^- = 0_{VC}$, that is, $s\exists_i \ominus \xi \sqsubseteq s\exists_i$. This completes the proof, because $\sigma = s\exists_i$ is a ξ -chain by Proposition 9(a). $\square$

In set theory the proof is an application of Zorn's lemma. However in relational case there is a certian gap between the maximality and the totality for partial functions.

So the relational proof requires some other idea

An **object** I is called a **unit** iff $0_{II} \neq \text{id}_I = \nabla_{II}$ and $\nabla_{XI} \nabla_{IY} = \nabla_{XY}$ for all objects X and Y .