

Abstract Interpretation with Infinitesimals

Kengo Kido¹ Swarat Chaudhuri² Ichiro Hasuo¹

The University of Tokyo¹

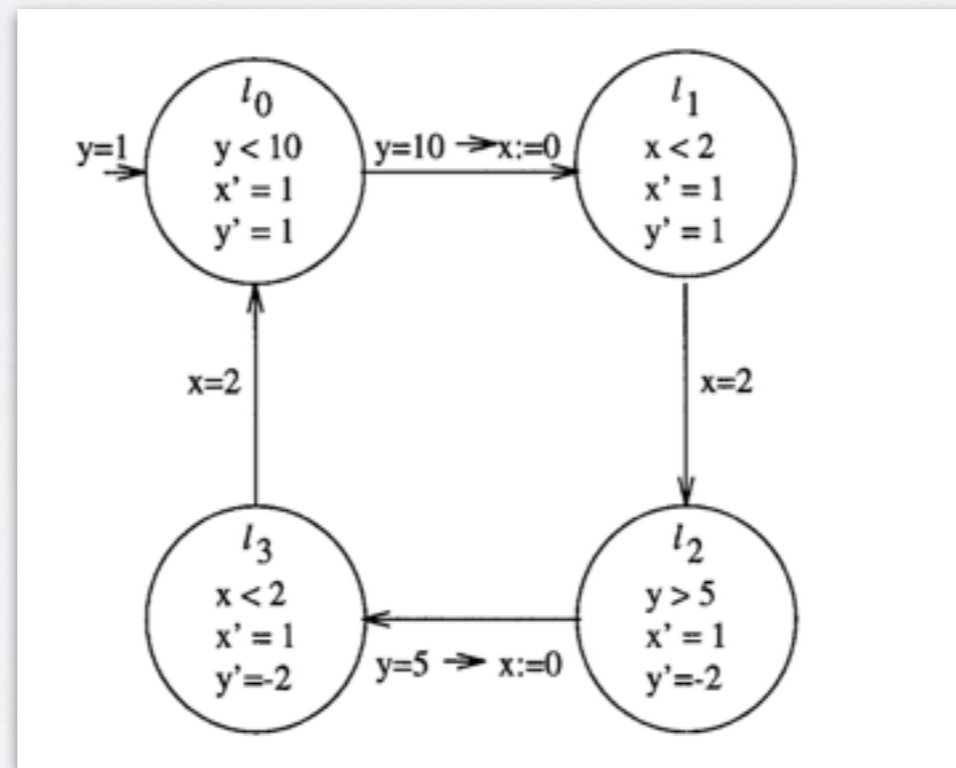
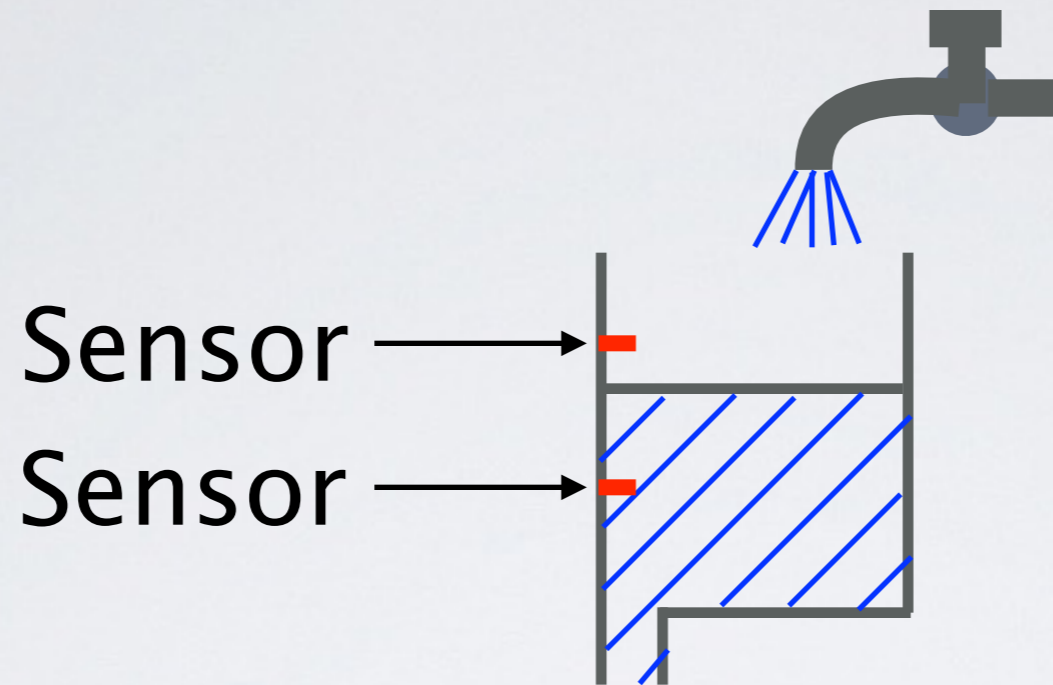
Rice University²

SNR2015 19 July 2015

- Example of analysis
- Semantics of WHILE_{dt}
- (Standard) abstract interpretation
- Abstract interpretation with infinitesimals

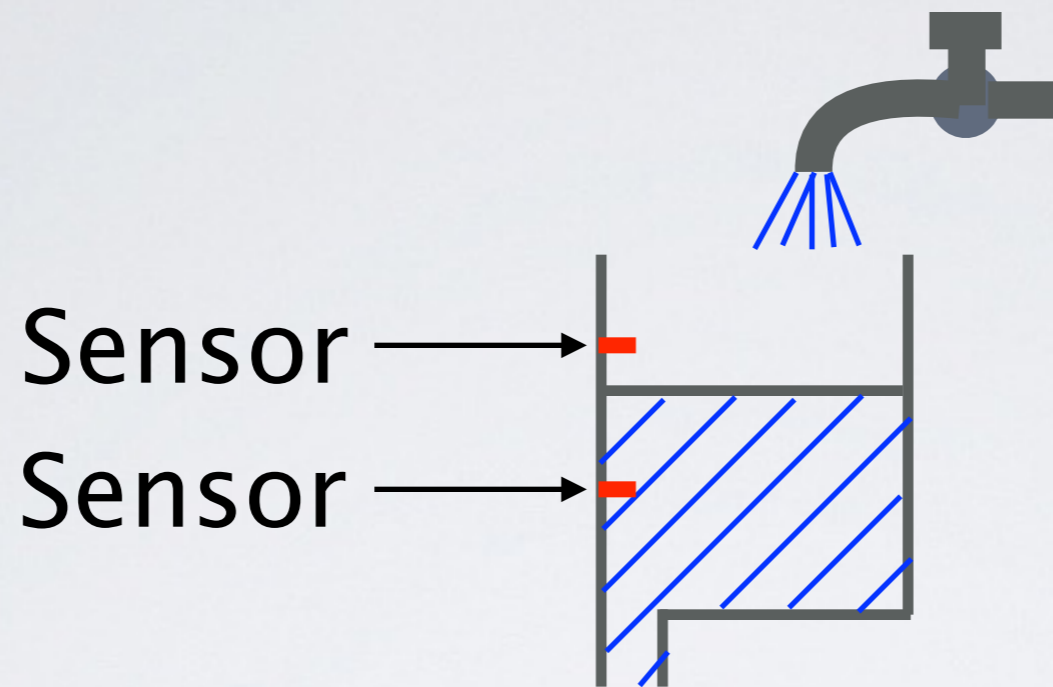
Example: Water-level Monitor

[Alur et al. TCS 95]



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[Alur et al. TCS 95]



```
 $t := 0; l := 0; x := 1; p := 1; s := 0;$   
while  $t < t_{max}$  do  
   $t := t + \underline{dt};$   
  if  $p = 1$  then  $x := x + \underline{dt};$  else  $x := x - 2\underline{dt};$   
  if  $(x \leq 5 \wedge p = 0) \vee (x \geq 10 \wedge p = 1)$  then  $s := 1$  else  $s := 0;$   
  if  $s = 1$  then  $l := l + \underline{dt};$   
  if  $s = 1 \wedge l \geq 2$  then  $p := 1 - p; s := 0; l := 0$ 
```

WHILE^{dt} [Suenaga & Hasuo ICALP 11]

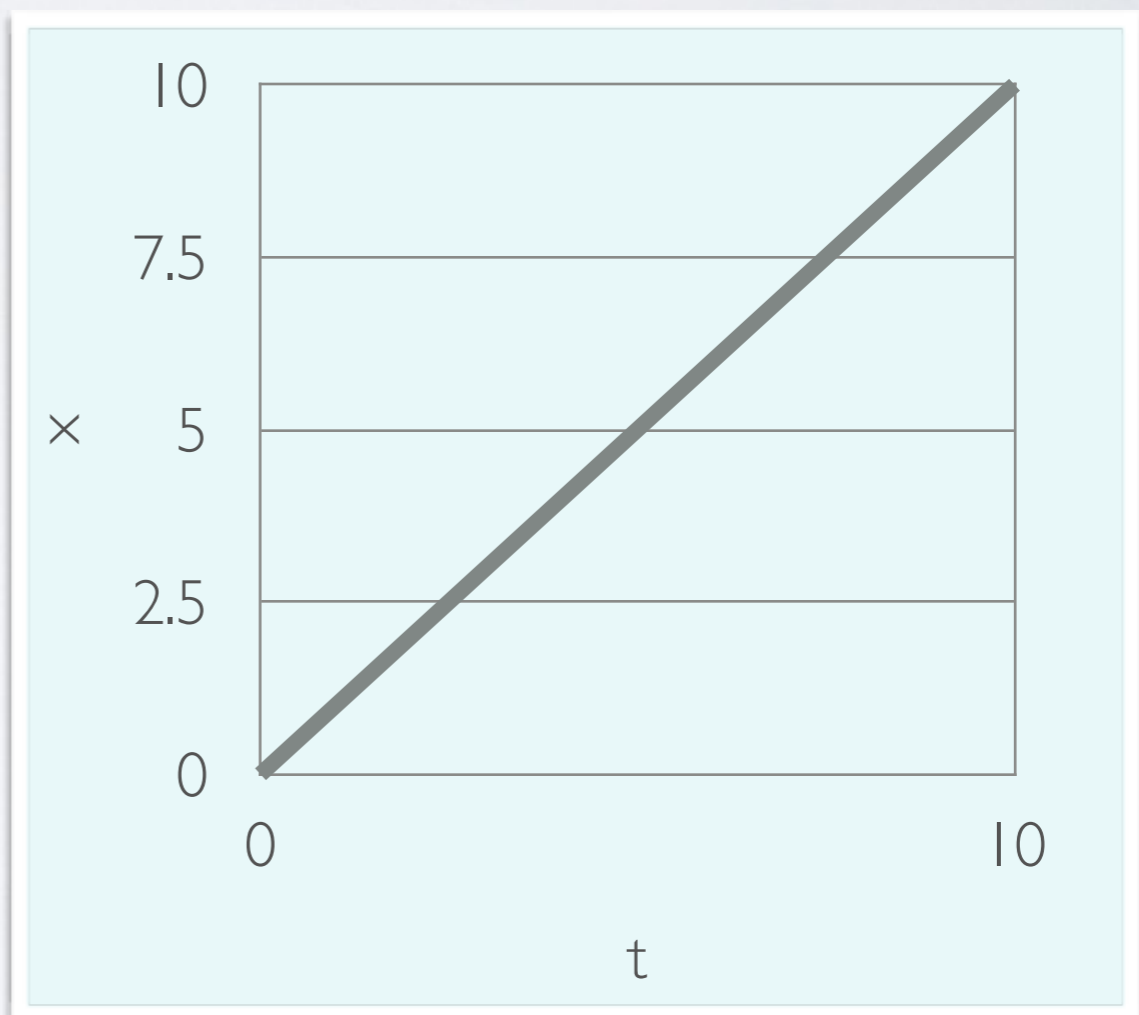
AExp $\ni a ::= x \mid r \mid a_1 \text{ aop } a_2 \mid \underline{dt} \mid \infty$

where $x \in \mathbf{Var}, r \in \mathbb{R}$ and $\text{aop} \in \{+, -, \cdot, ^\wedge\}$

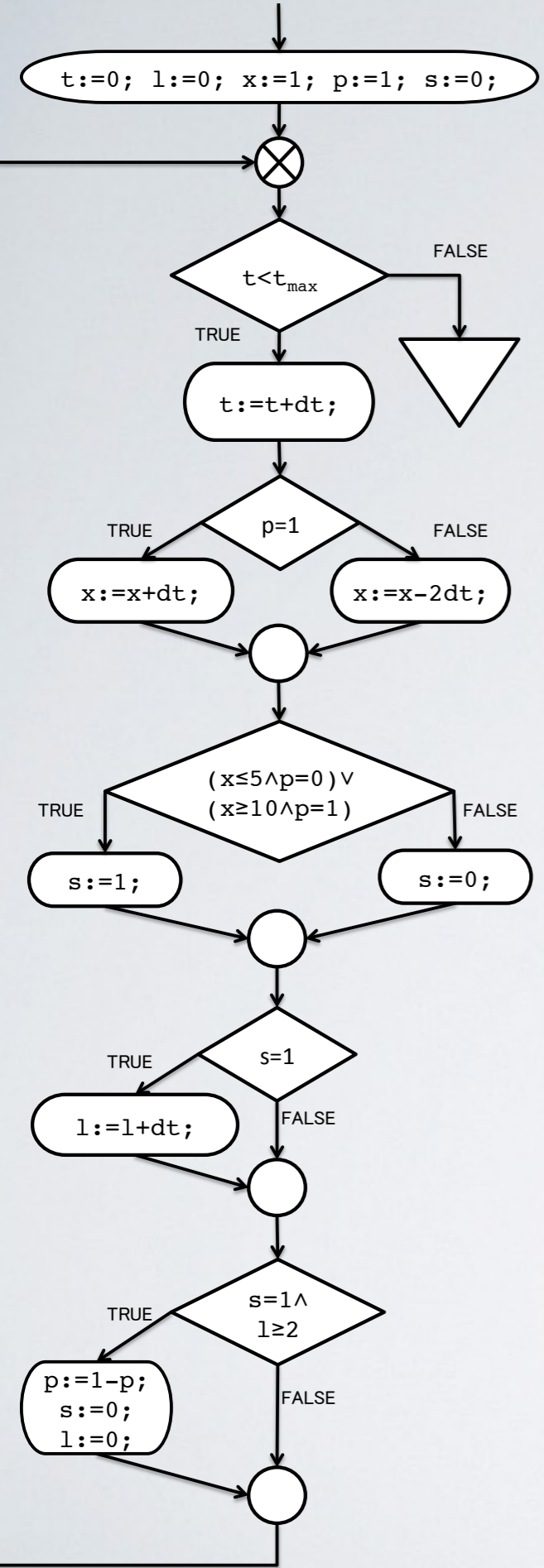
BExp $\ni b ::= \text{true} \mid \text{false} \mid b_1 \wedge b_2 \mid \neg b \mid a_1 < a_2$

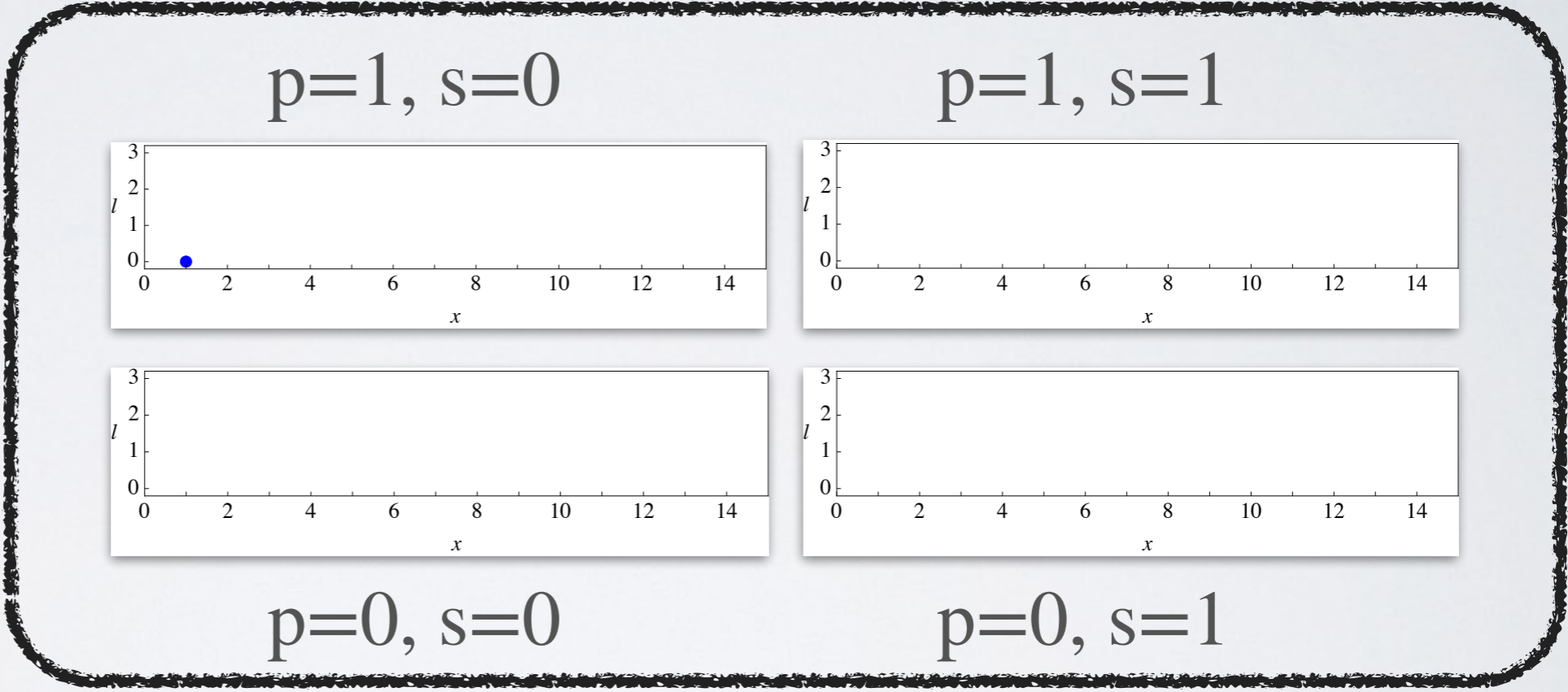
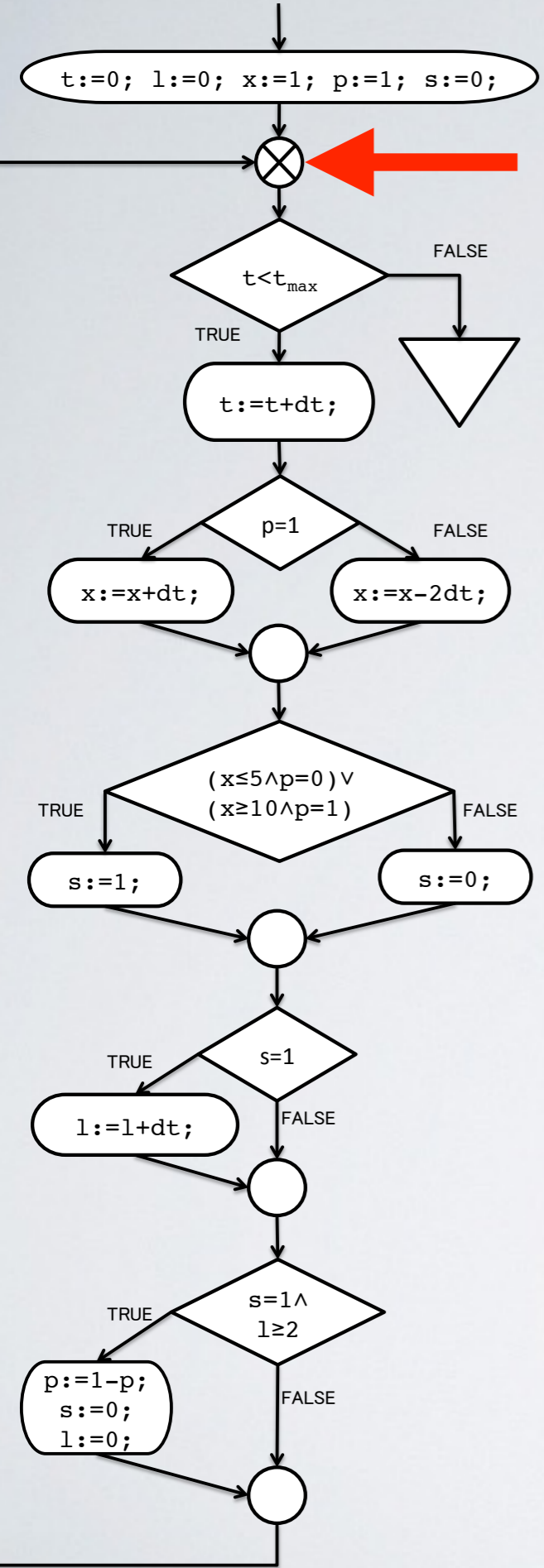
Cmd $\ni c ::= \text{skip} \mid x := a \mid c_1; c_2 \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \mid \text{while } b \text{ do } c$

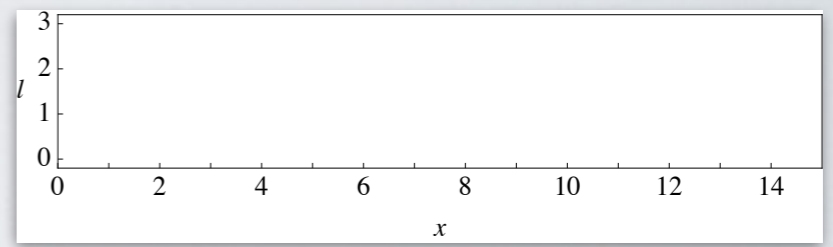
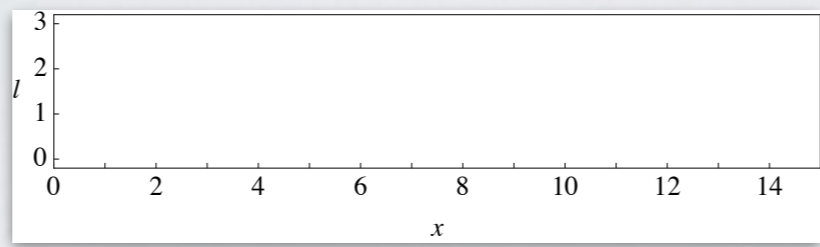
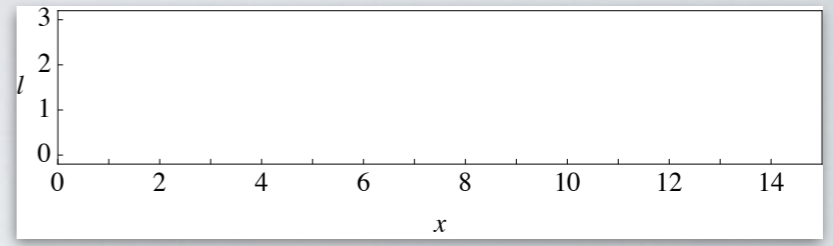
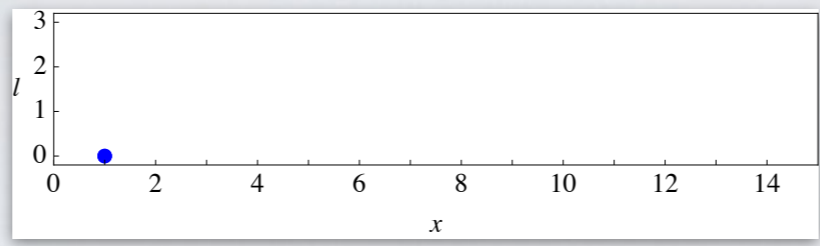
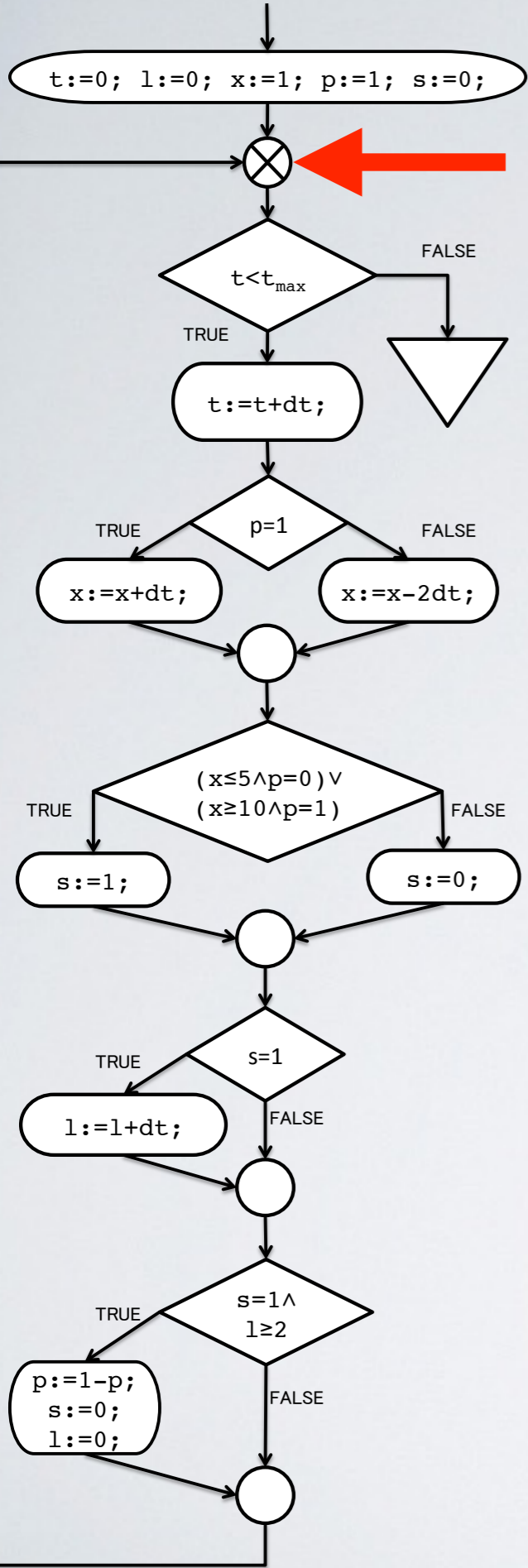
```
x := 0; t := 0;
while (x ≤ 10) {
  x := x + dt;
  t := t + dt
}
```



```
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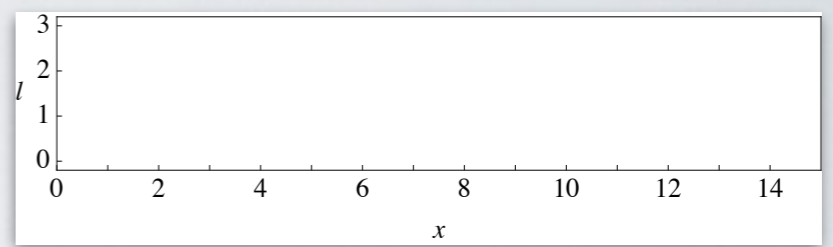
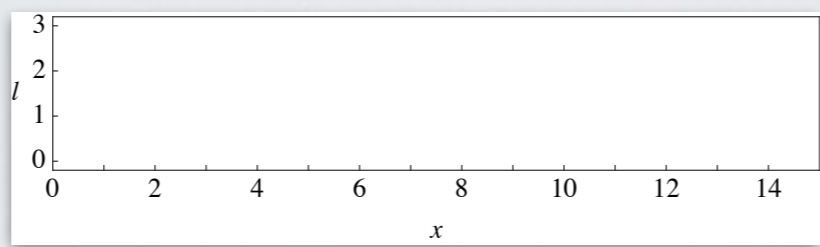
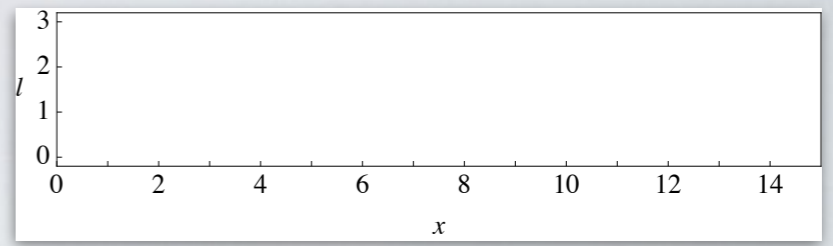
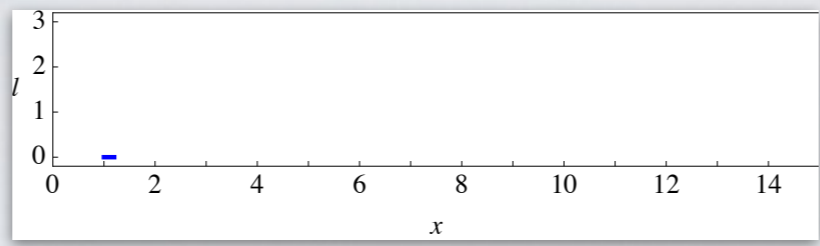
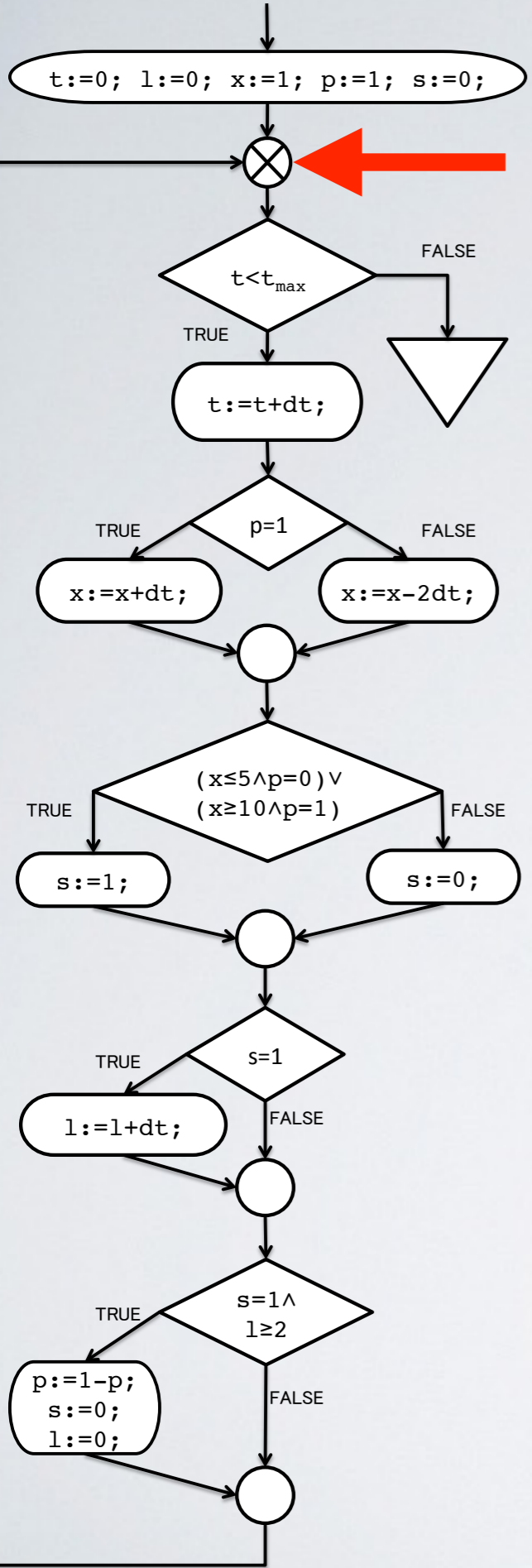






$p=1, s=0$ $p=1, s=1$

$p=0, s=0$ $p=0, s=1$

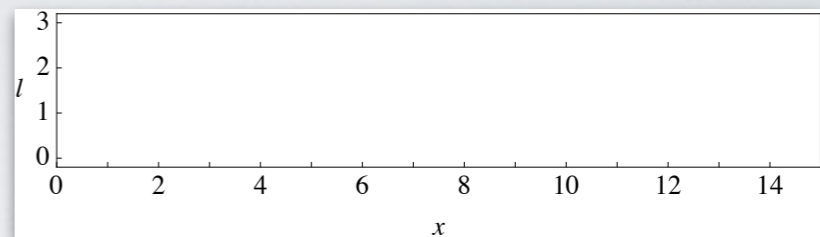
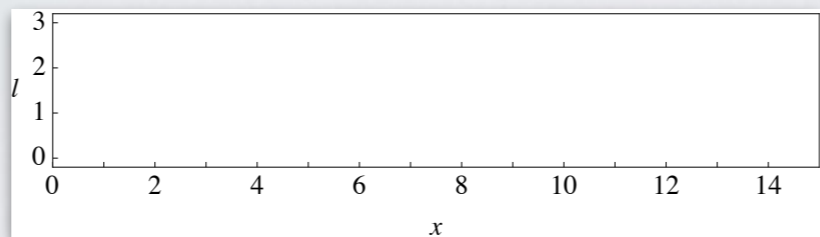
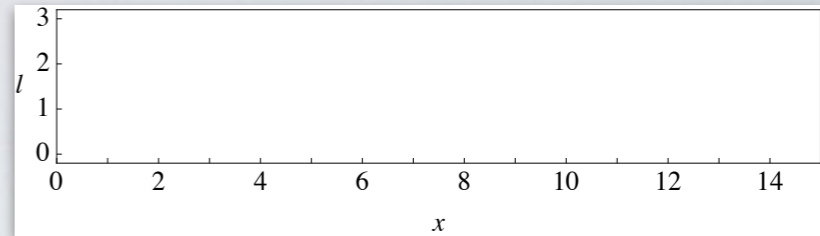
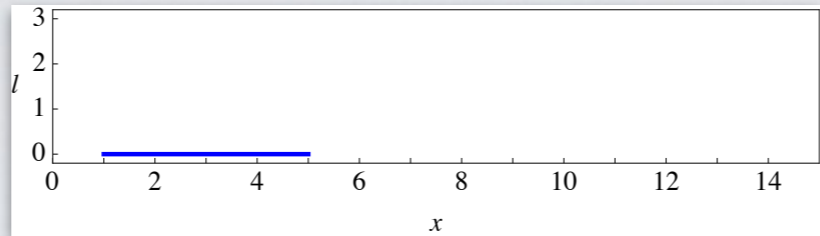
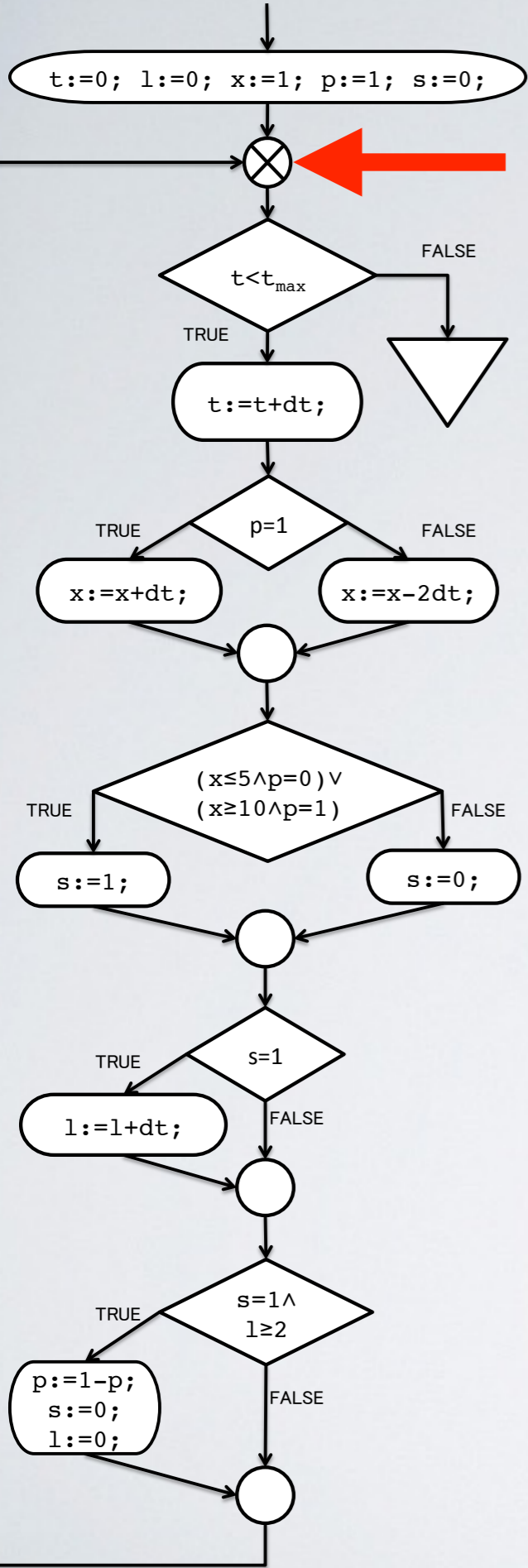


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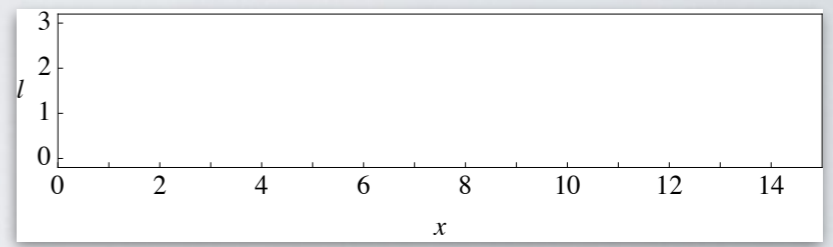
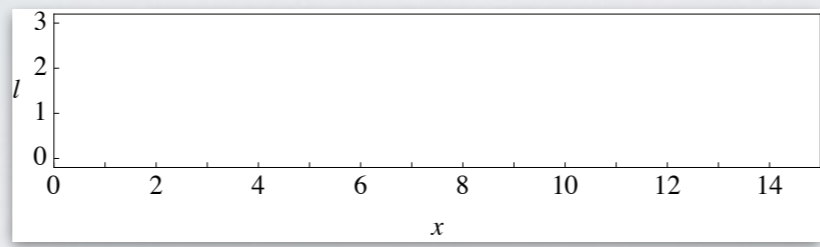
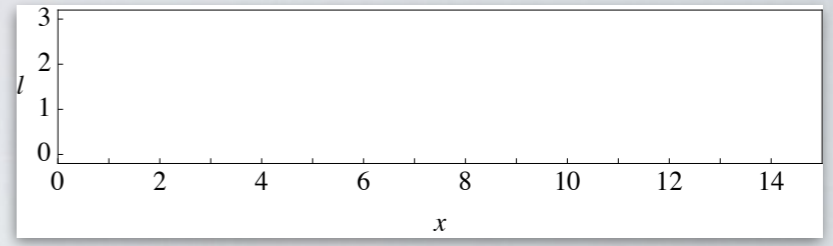
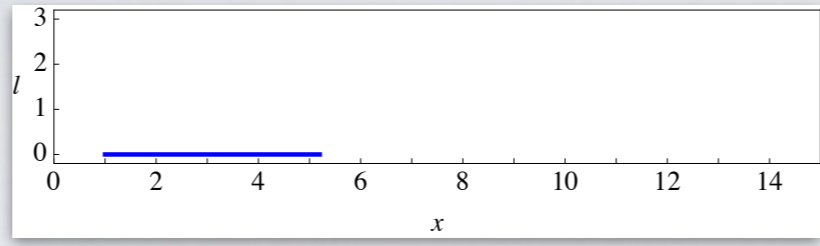
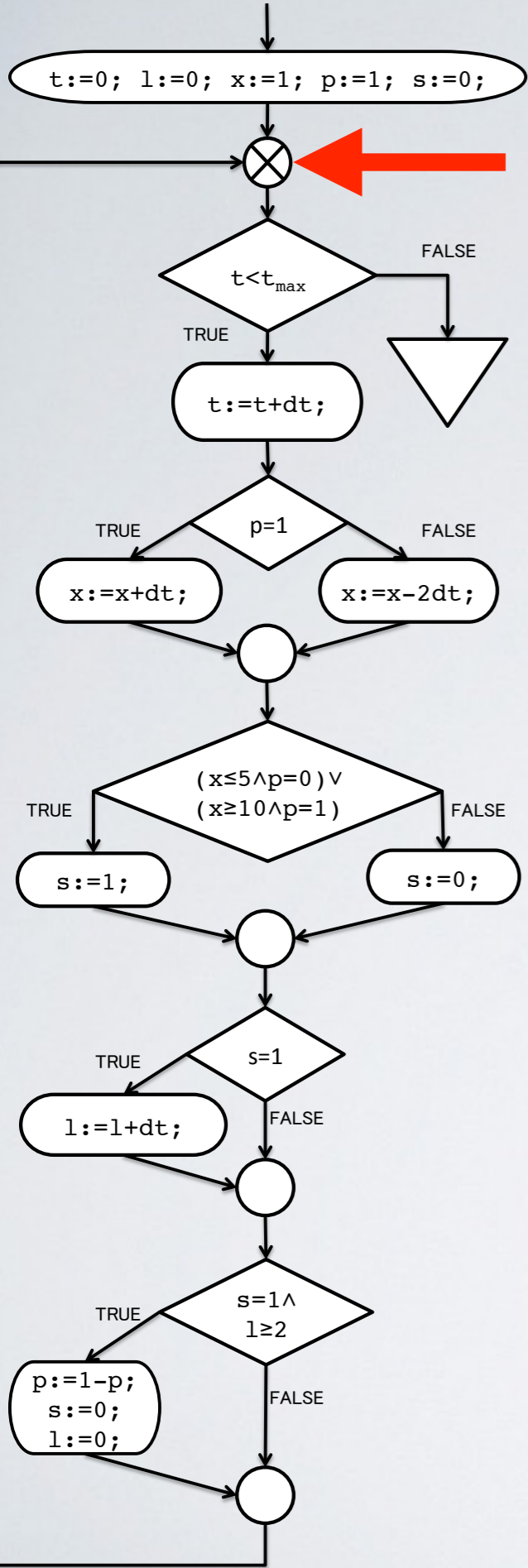
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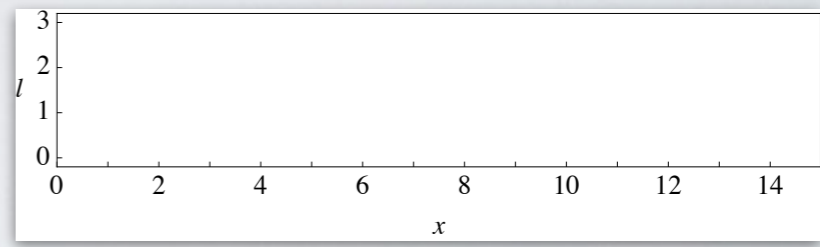
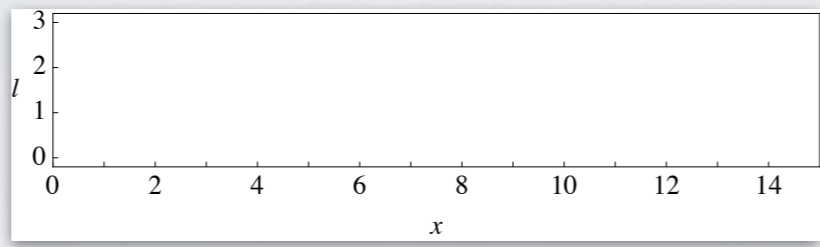
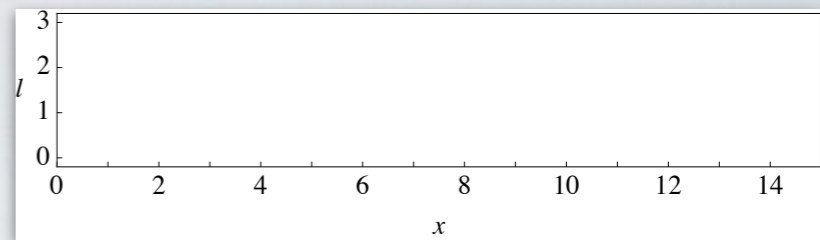
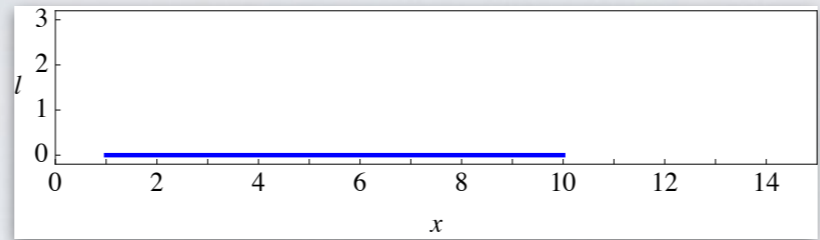
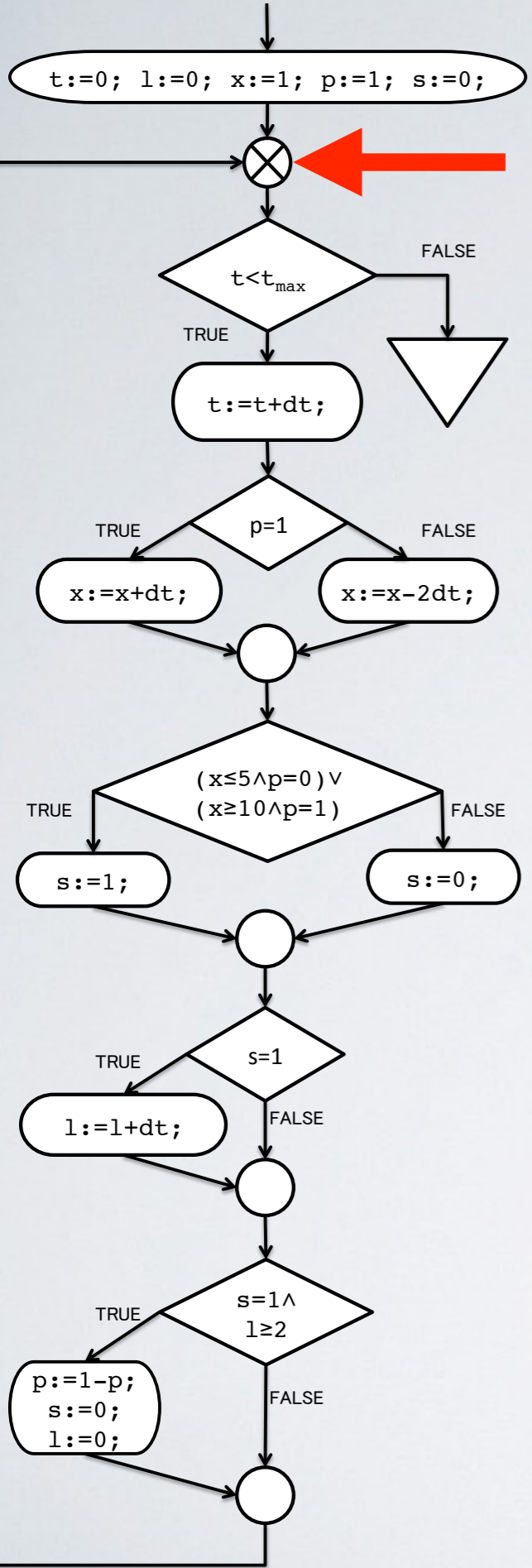


$p=1, s=0$

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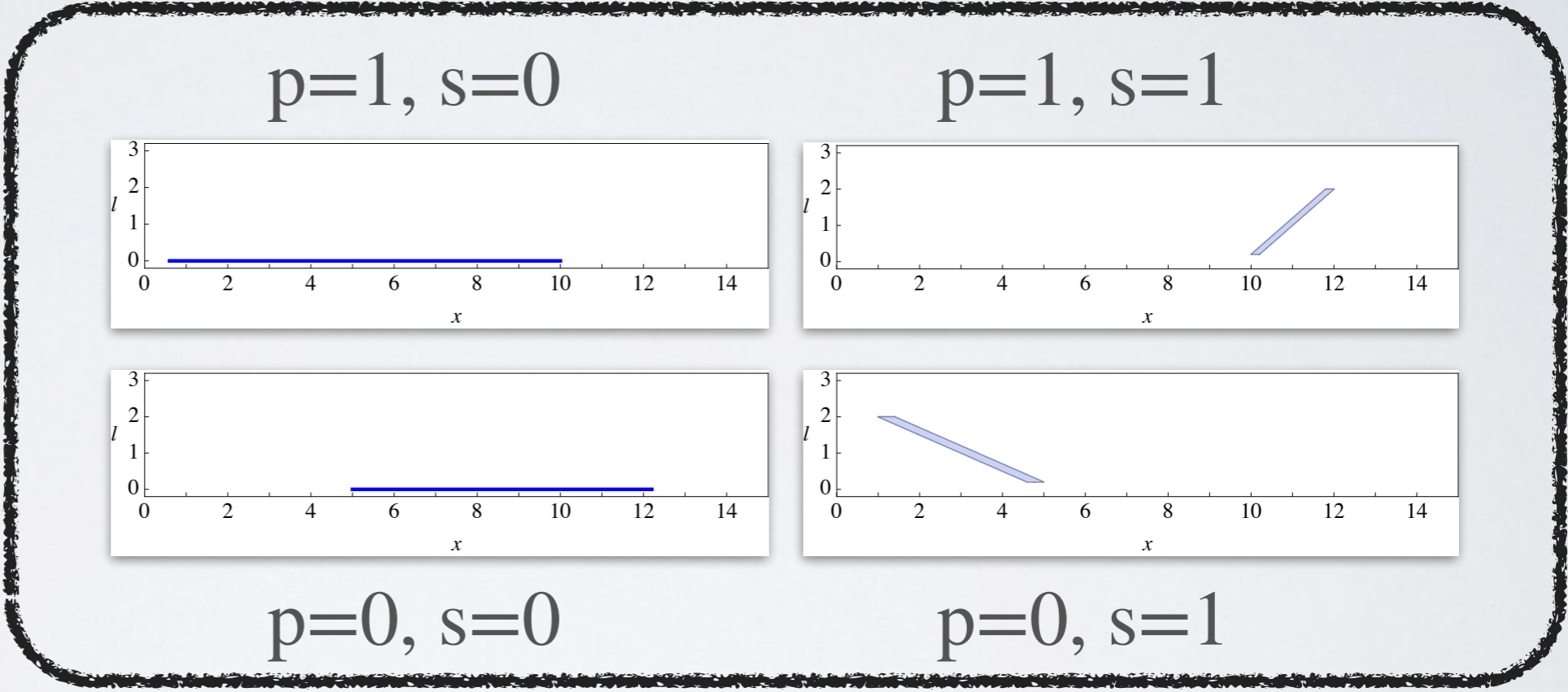
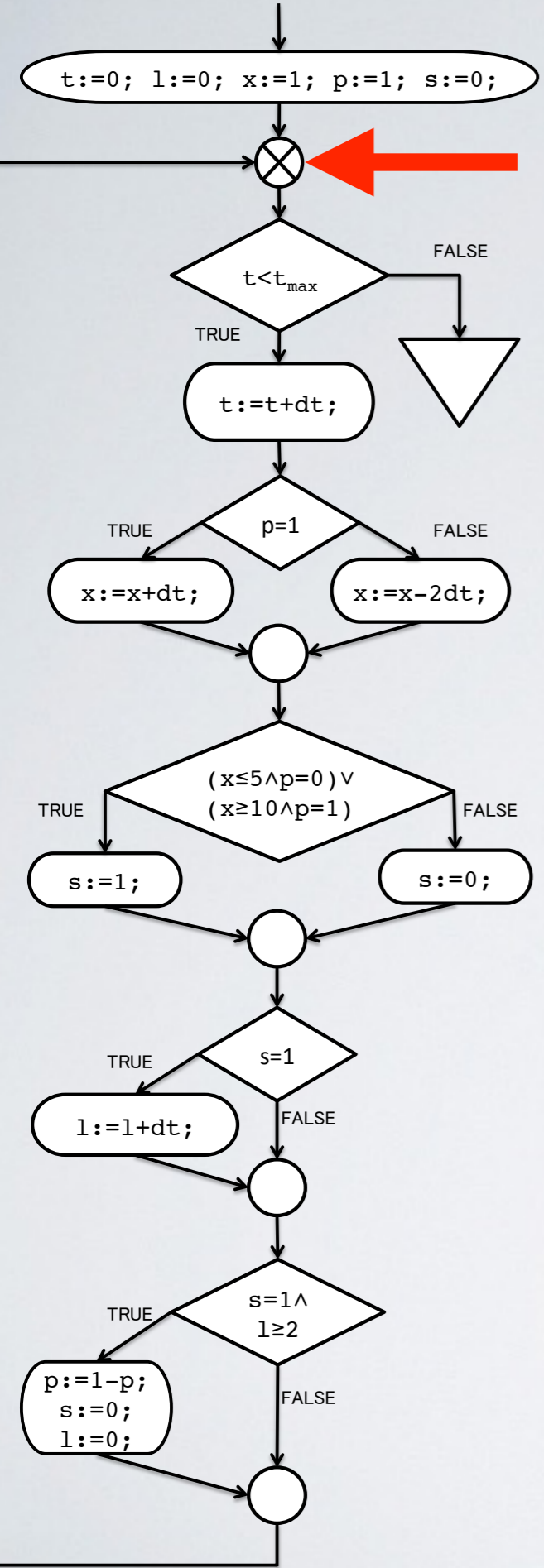
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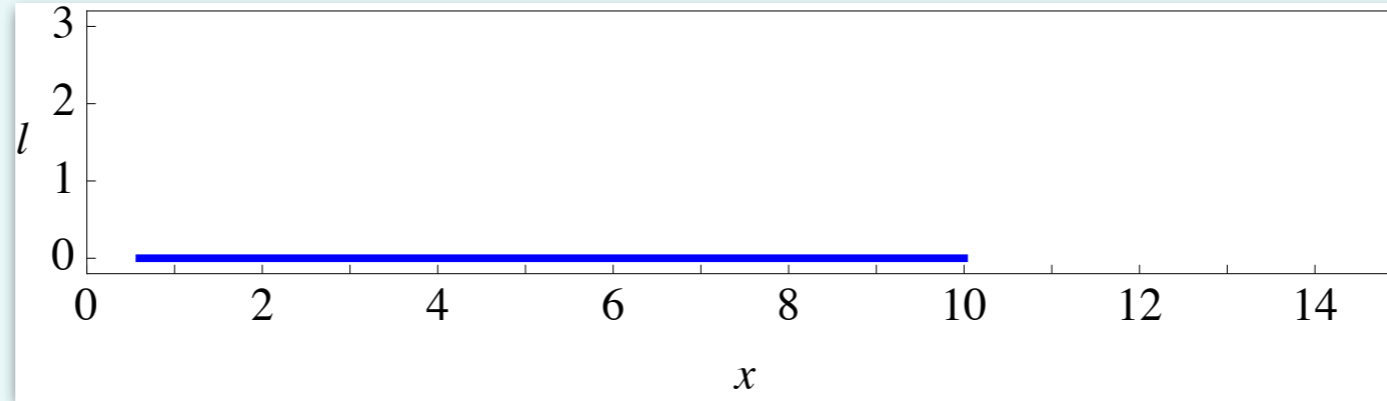
$p=0, s=1$

-
-
-

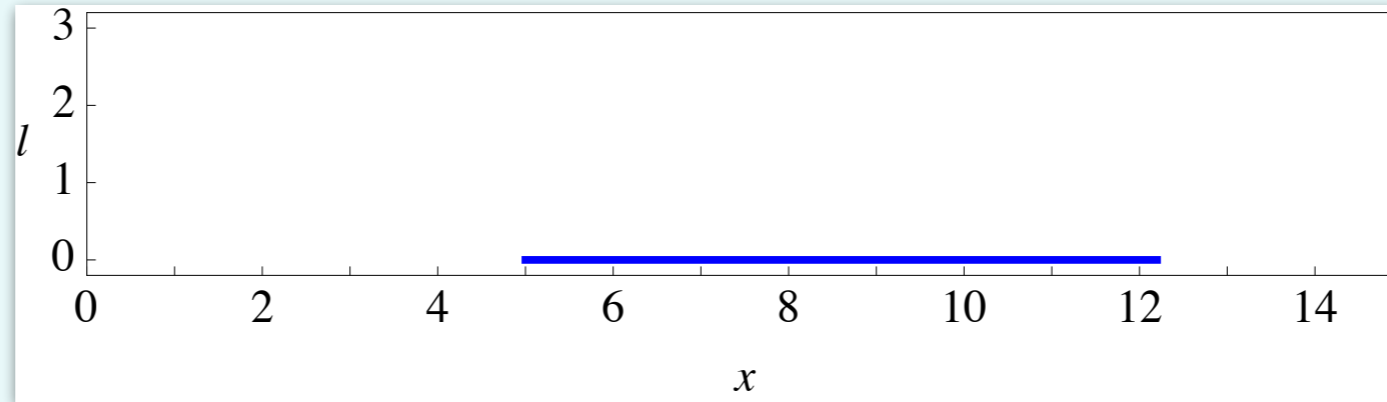


t:=0; l:=0; x:=0;

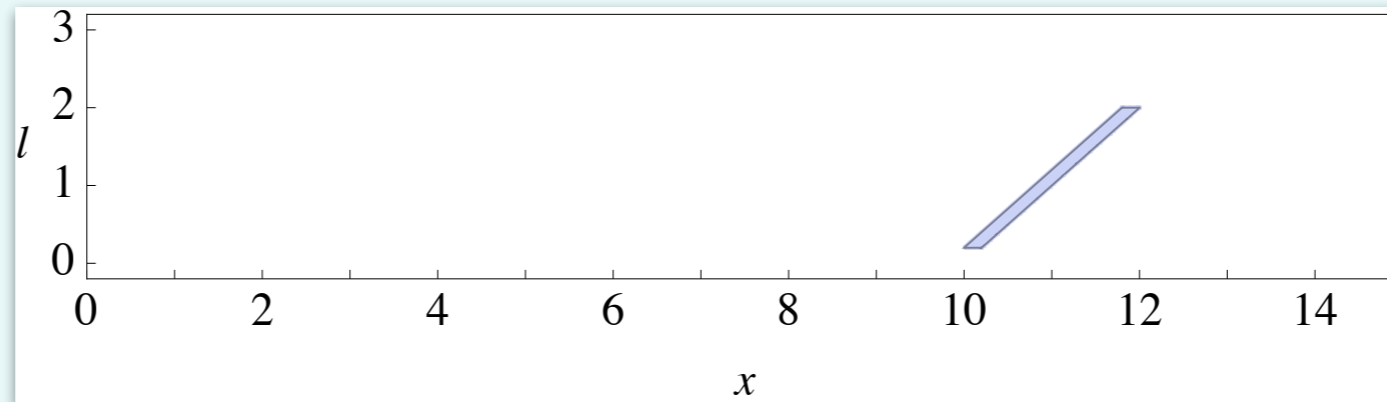
p=1, s=0



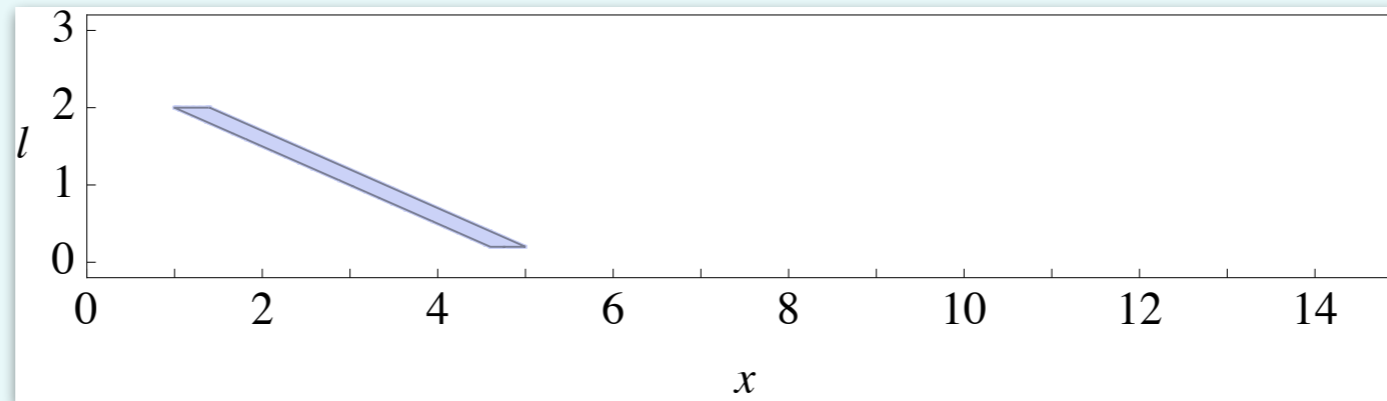
p=0, s=0



p=1, s=1



p=0, s=1



TRUE

x:=x+dt;

TRUE

s:=1;

TRUE

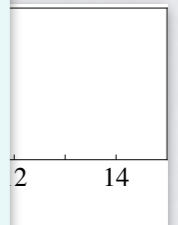
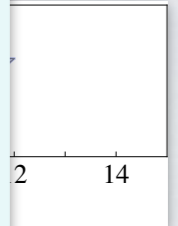
l:=l+dt;

TRUE

p:=1-p;

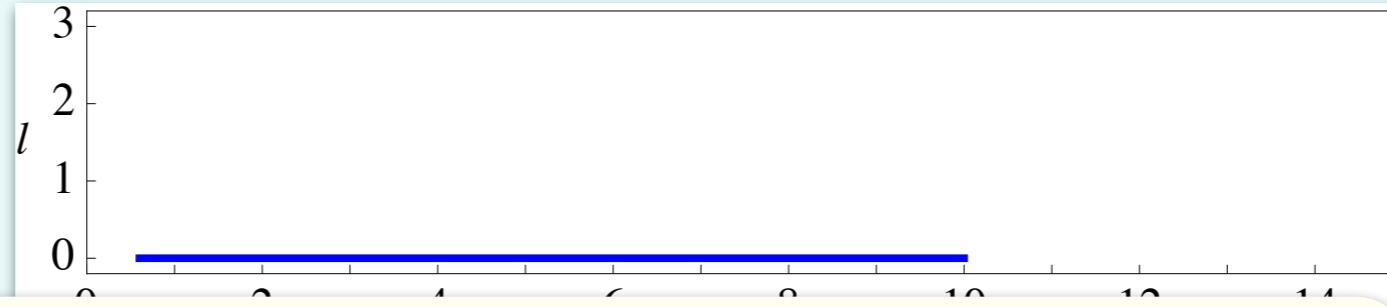
s:=0;

l:=0;



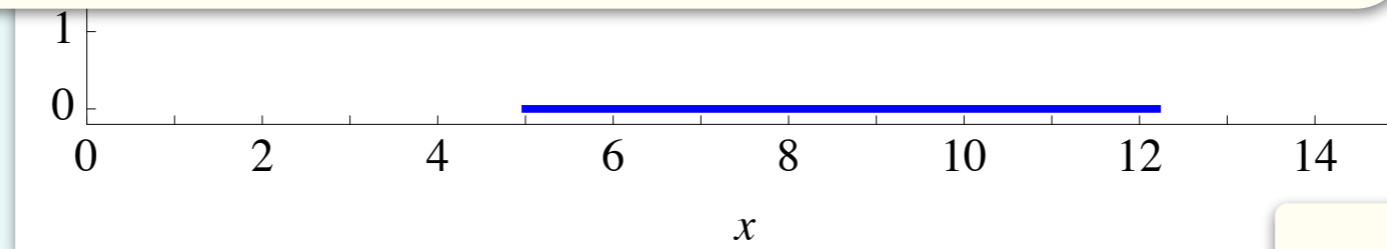
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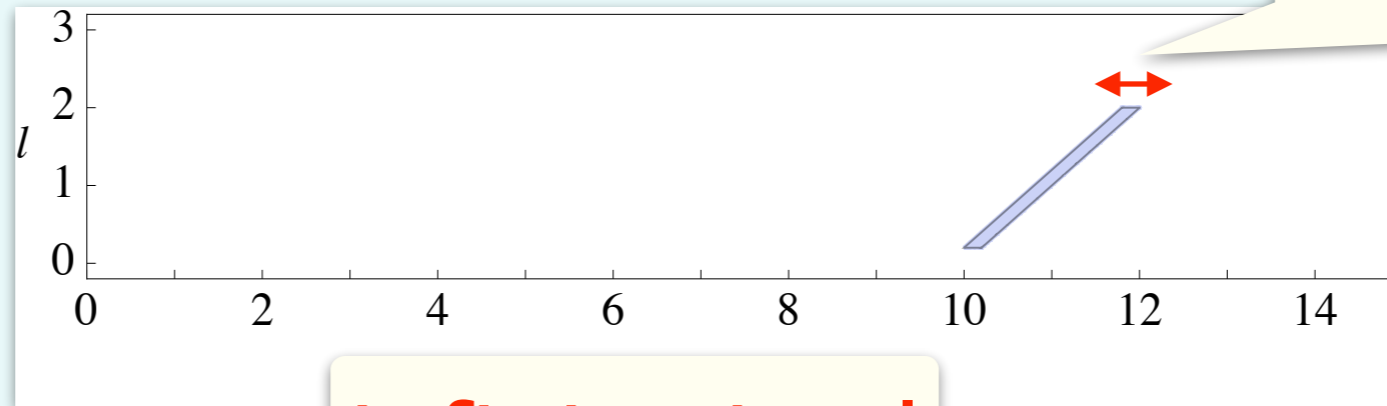
$$1 - 2dt \leq x \leq 12 + dt$$

p=0, s=0



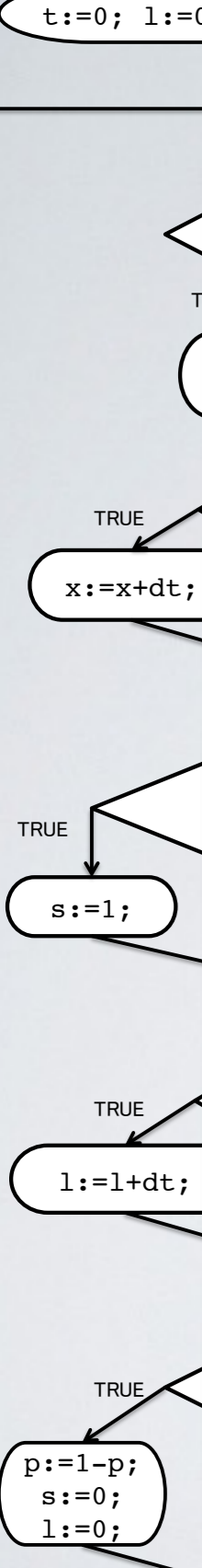
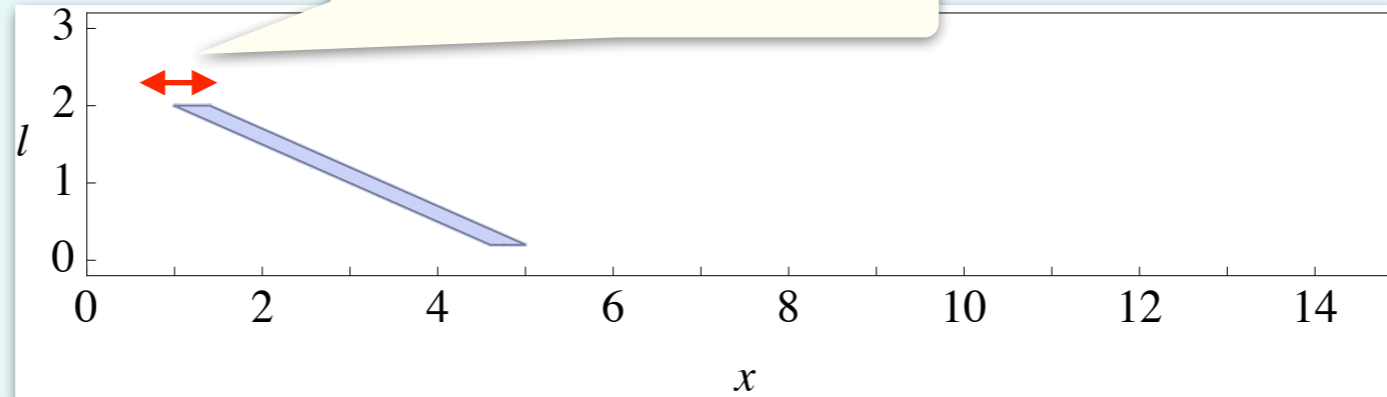
infinitesimal

p=1, s=1



infinitesimal

p=0, s=1



- Example of analysis
- Semantics of WHILE_{dt}
- (Standard) abstract interpretation
- Abstract interpretation with infinitesimals

WHILE^{dt} [Suenaga & Hasuo ICALP 11]

AExp $\ni a ::= x \mid r \mid a_1 \text{ aop } a_2 \mid \underline{\text{dt}} \mid \infty$

where $x \in \mathbf{Var}$, $r \in \mathbb{R}$ and $\text{aop} \in \{+, -, \cdot, ^\wedge\}$

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Nonstandard Analysis

[Robinson 60's]

$$\mathbb{R} \mapsto {}^*\mathbb{R} := \mathbb{R}^{\mathbb{N}} / \sim_{\mathcal{F}}$$

$$\mathbb{N} \mapsto {}^*\mathbb{N} := \mathbb{N}^{\mathbb{N}} / \sim_{\mathcal{F}}$$

$${}^*r := [(r, r, r, \dots)]$$

$$\omega := [(1, 2, 3, \dots)]$$

$$\omega^{-1} := \left[\left(1, \frac{1}{2}, \frac{1}{3}, \dots \right) \right]$$

Nonstandard Analysis

[Robinson 60's]

$$\mathbb{R} \mapsto {}^*\mathbb{R} := \mathbb{R}^{\mathbb{N}} / \sim_{\mathcal{F}}$$

⇒ infinitesimals,
infinities

$$\mathbb{N} \mapsto {}^*\mathbb{N} := \mathbb{N}^{\mathbb{N}} / \sim_{\mathcal{F}}$$

⇒ infinities

$${}^*r := [(r, r, r, \dots)]$$

$$\omega := [(1, 2, 3, \dots)]$$

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Collecting Semantics for WHILE^{dt}

$\llbracket x \rrbracket \sigma := \sigma(x)$ for each $x \in \mathbf{Var}$

$\llbracket r \rrbracket \sigma := r$ for each $r \in \mathbb{R}$

$\llbracket a_1 \text{ aop } a_2 \rrbracket \sigma := \llbracket a_1 \rrbracket \sigma \text{ aop } \llbracket a_2 \rrbracket \sigma$

$\llbracket dt \rrbracket \sigma := (1, \frac{1}{2}, \frac{1}{3}, \dots)$

$\llbracket \text{true} \rrbracket \sigma := \text{tt}$

$\llbracket \text{false} \rrbracket \sigma := \text{ff}$

$\llbracket b_1 \wedge b_2 \rrbracket \sigma := \llbracket b_1 \rrbracket \sigma \wedge \llbracket b_2 \rrbracket \sigma$

$\llbracket \neg b \rrbracket \sigma := \neg(\llbracket b \rrbracket \sigma)$

$\llbracket \text{skip} \rrbracket \mathbf{S} := \mathbf{S}$

$\llbracket x := a \rrbracket \mathbf{S} := \{ \sigma \llbracket a \rrbracket \sigma / x \mid \sigma \in \mathbf{S} \}$

$\llbracket c_1; c_2 \rrbracket \mathbf{S} := \llbracket c_2 \rrbracket (\llbracket c_1 \rrbracket \mathbf{S})$

$\llbracket \text{if } b \text{ then } c_1 \text{ else } c_2 \rrbracket \mathbf{S} := \begin{aligned} & \{ \llbracket c_1 \rrbracket \sigma \mid \sigma \in \mathbf{S}, \llbracket b \rrbracket \sigma = \text{tt} \} \\ & \cup \{ \llbracket c_2 \rrbracket \sigma \mid \sigma \in \mathbf{S}, \llbracket b \rrbracket \sigma = \text{ff} \} \end{aligned}$

$\llbracket \text{while } b \text{ do } c \rrbracket \mathbf{S} := \text{lfp}(*\Phi(\llbracket b \rrbracket)(\llbracket c \rrbracket))$

where $\Phi : (\mathbf{St} \rightarrow \mathbb{B} \cup \{\perp\}) \rightarrow (\mathcal{P}(\mathbf{Var} \rightarrow \mathbb{R}) \rightarrow \mathcal{P}(\mathbf{Var} \rightarrow \mathbb{R})) \rightarrow$

$((\mathcal{P}(\mathbf{Var} \rightarrow \mathbb{R}) \rightarrow \mathcal{P}(\mathbf{Var} \rightarrow \mathbb{R})) \rightarrow (\mathcal{P}(\mathbf{Var} \rightarrow \mathbb{R}) \rightarrow \mathcal{P}(\mathbf{Var} \rightarrow \mathbb{R})))$

is defined by $\Phi(f)(g) = \lambda \psi. \lambda S. \{ \psi(g(\sigma)) \mid \sigma \in S, f(\sigma) = \text{tt} \} \cup \{ \sigma \mid \sigma \in S, f(\sigma) = \text{ff} \}$.

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- Semantics of WHILE_{dt}
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[Cousot & Cousot 1977]
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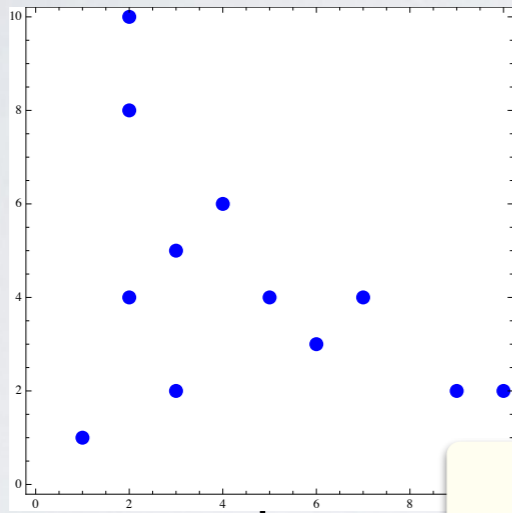
Abstract Interpretation

[Cousot & Cousot 1977]

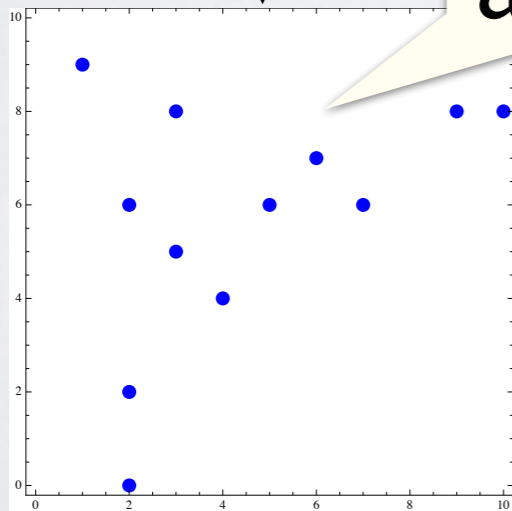
concrete
domain
 $\mathcal{P}(\mathbb{R}^2)$

$$L \underset{\gamma}{\overset{\alpha}{\rightleftarrows}} \bar{L}$$

abstract
domain
 \mathbb{CP}_2



$y := -y + 10$



over-
approximate!

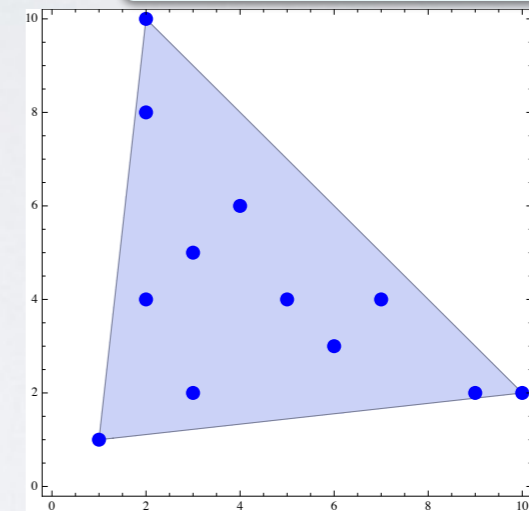
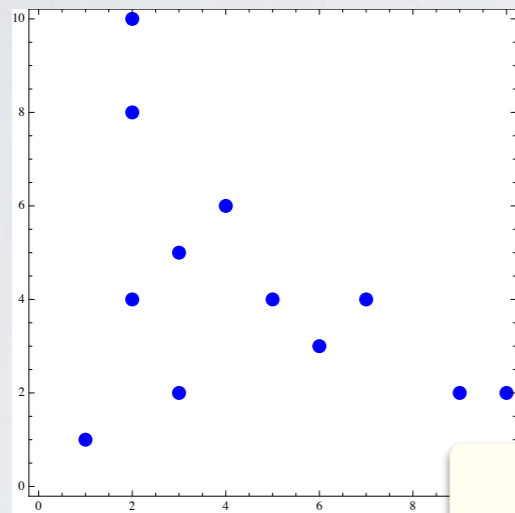
Abstract Interpretation

[Cousot & Cousot 1977]

concrete domain
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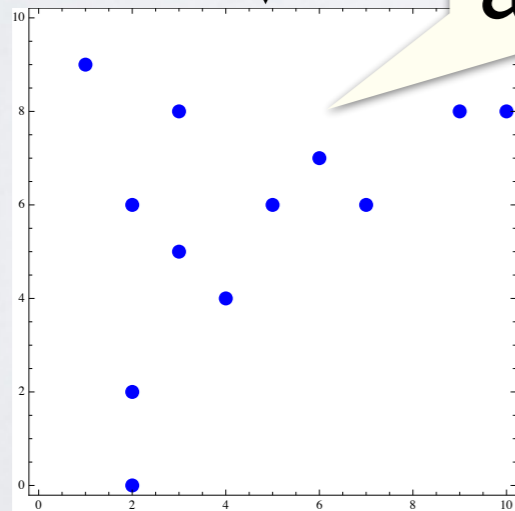
$$L \underset{\gamma}{\overset{\alpha}{\rightleftharpoons}} \overline{L}$$

abstract domain
 \mathbb{CP}_2



α (abstraction)

$y := -y + 10$



over-approximate!

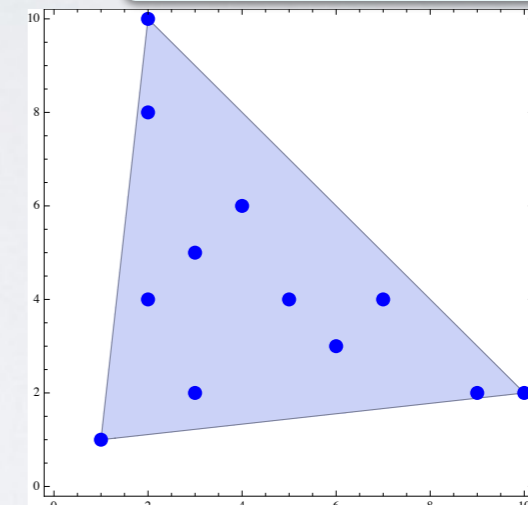
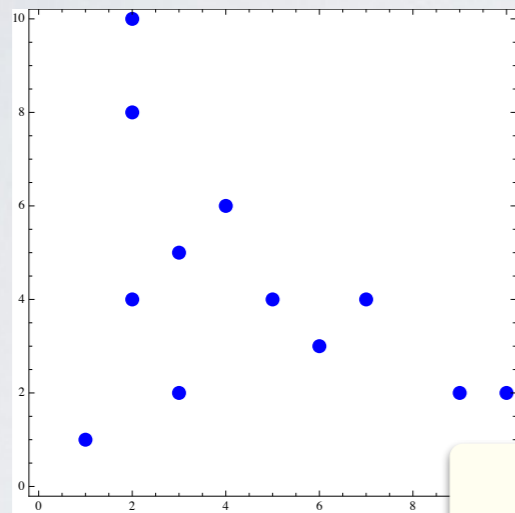
Abstract Interpretation

[Cousot & Cousot 1977]

concrete domain
 $\mathcal{P}(\mathbb{R}^2)$

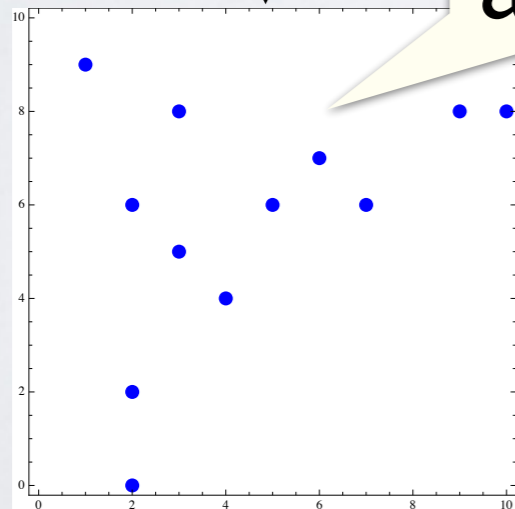
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abstract domain
 \mathbb{CP}_2

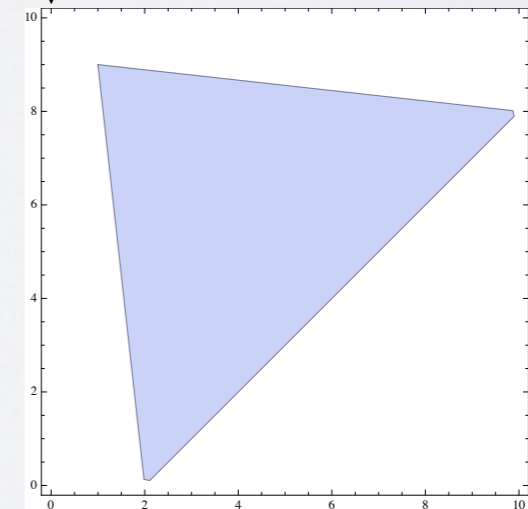


α (abstraction)

$y := -y + 10$



$y := -y + 10$



over-approximate!

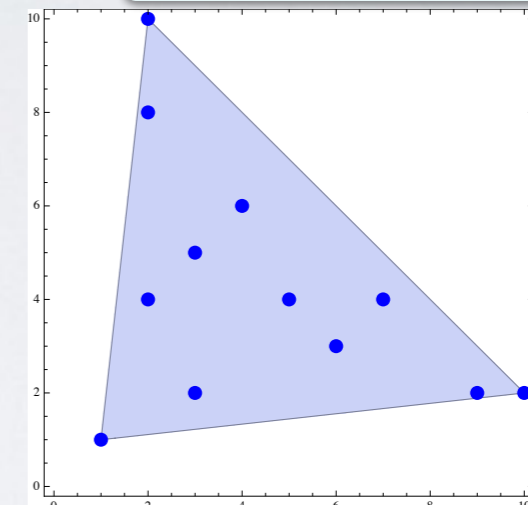
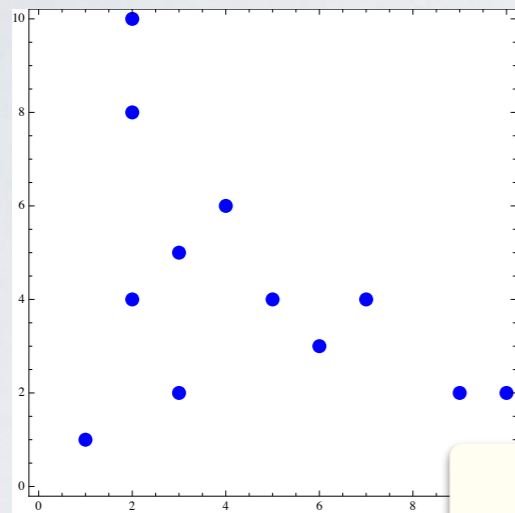
Abstract Interpretation

[Cousot & Cousot 1977]

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$$L \underset{\gamma}{\overset{\alpha}{\rightleftarrows}} \bar{L}$$

abstract domain
 \mathbb{CP}_2

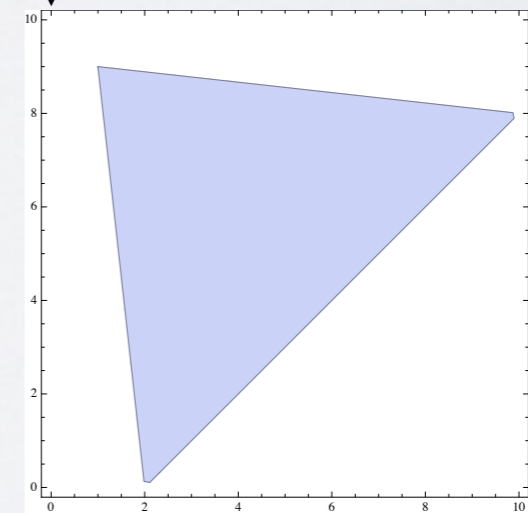
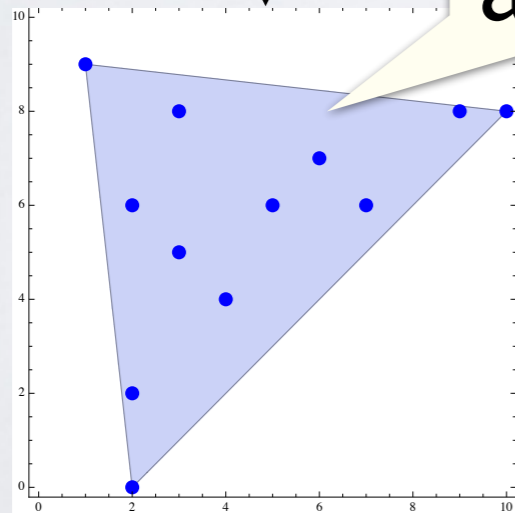


α (abstraction)

$y := -y + 10$

over-approximate!

$y := -y + 10$



γ (concretization)

Galois Connection

$$L \underset{\gamma}{\overset{\alpha}{\rightleftarrows}} \bar{L}$$

e.g.

$$\mathcal{P}(\mathbb{R}^n) \rightleftarrows \mathbb{C}P_n$$

Thm.

The least fixed point in L is overapproximated by a prefixed point in \bar{L}

Galois Connection

$$L \begin{array}{c} \xrightarrow{\alpha} \\ \xleftarrow{\gamma} \end{array} \overline{L}$$

e.g.

$$\mathcal{P}(\mathbb{R}^n) \rightleftarrows \mathbb{C}P_n$$

Thm.

The least fixed point in L is
overapproximated by a prefixed point in \overline{L}

Widening Operators

$$L \xrightleftharpoons[\gamma]{\alpha} \overline{L}$$

Definition (Widening operator) Let (L, \sqsubseteq) be a poset. An operator $\nabla : L \times L \rightarrow L$ is said to be a *widening operator* if the following two conditions hold:

- (Covering) for any $x, y \in L$, $x \sqsubseteq x \nabla y$ and $y \sqsubseteq x \nabla y$;
- (Termination) for any ascending chain $\langle x_i \rangle \in L^\omega$, the chain $\langle y_i \rangle \in L^\omega$ defined by
$$\begin{cases} y_0 = x_0 \\ y_{i+1} = y_i \nabla x_{i+1} \end{cases} \quad (\text{for all } i \in \mathbb{N})$$
 is ultimately stationary.

Thm.

Prefix point is computed within $n \in \mathbb{N}$ steps using ∇ .

Widening Operators on CPn

- **Standard widening**
[Halbwachs Ph.D. Thesis 79]
- **Widening up to**
[Halbwachs CAV 93]
- **Precise widening**
[Bagnara, Hill, Ricci and Zaffanella SCP 05]

- Example of analysis
- Semantics of WHILE_{dt}
- (Standard) abstract interpretation
- Abstract interpretation with infinitesimals
 - Soundness
 - Termination

transfer principle

(ϕ : 1st-order \mathcal{L}_U -sentence)

$$\mathbb{R} \models \phi \iff {}^*\mathbb{R} \models {}^*\phi$$

transfer principle

(ϕ : 1st-order $\mathcal{L}_{\mathbb{U}}$ -sentence)

$$\mathbb{R} \models \phi \iff {}^*\mathbb{R} \models {}^*\phi$$

$$\forall x \in \mathbb{R}. \forall y \in \mathbb{R}. (x \leq y \vee y \leq x)$$

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$$\forall x \in \mathbb{R}. (x \in A \cup B \iff x \in A \vee x \in B)$$

transfer principle

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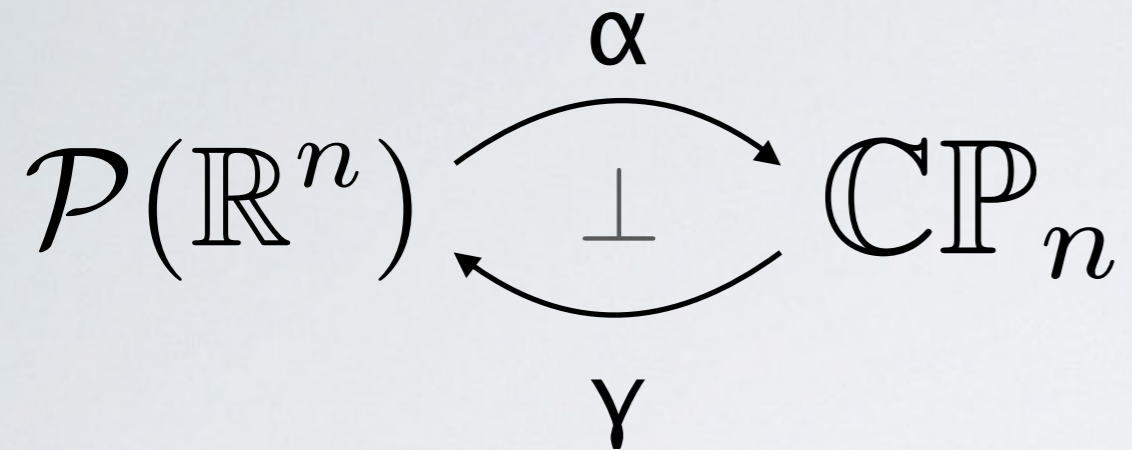
$$\mathbb{R} \models \phi \iff {}^*\mathbb{R} \models {}^*\phi$$

$$\forall x \in \mathbb{R}. (x \in A \cup B \iff x \in A \vee x \in B)$$

$$\forall x \in {}^*\mathbb{R}. (x \in {}^*(A \cup B) \iff x \in {}^*A \vee x \in {}^*B)$$

Transferring Abstract Interpretation

Standard



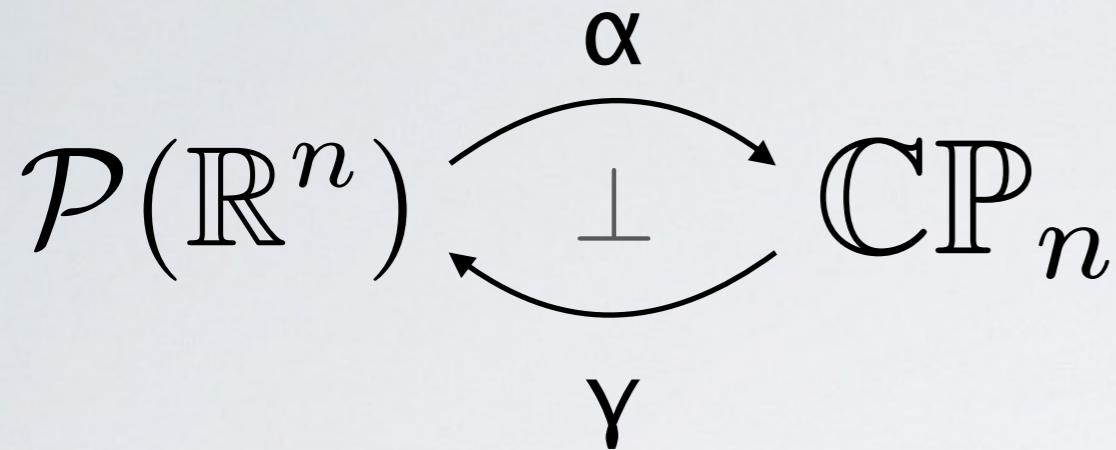
Nonstandard

Thm.

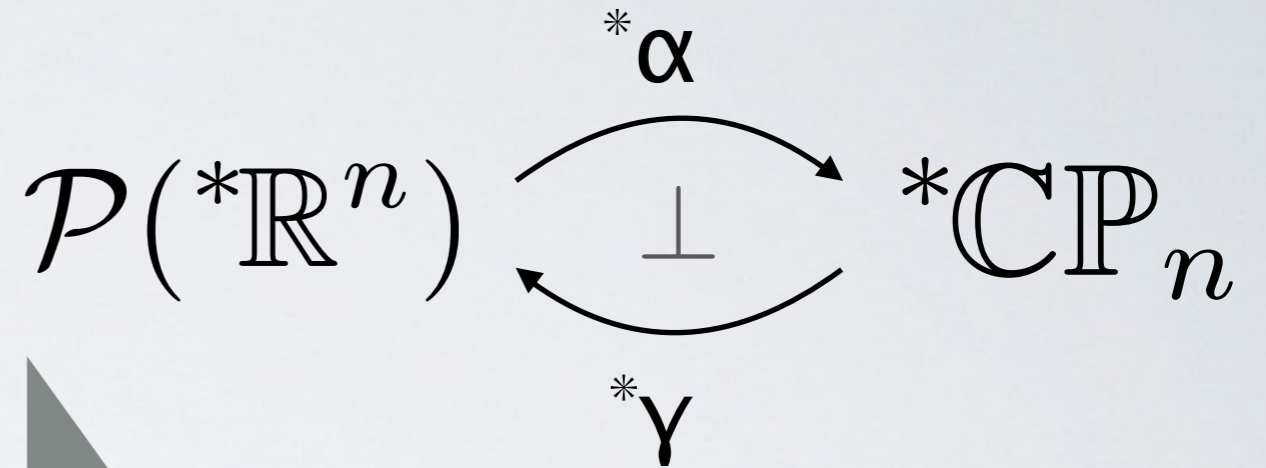
The least fixed point in $\mathcal{P}(\mathbb{R}^n)$ is overapproximated by a prefixed point in $\mathbb{C}P_n$.

Transferring Abstract Interpretation

Standard



Nonstandard



transfer

Thm.

The least fixed point in $\mathcal{P}(\mathbb{R}^n)$ is overapproximated by a prefixed point in $\mathbb{C}\mathbb{P}_n$.

Thm.

The least fixed point in $\mathcal{P}(*\mathbb{R}^n)$ is overapproximated by a prefixed point in $*\mathbb{C}\mathbb{P}_n$.

Transferring Abstract Interpretation

Standard

Widening operator: ∇

Thm.

Prefix point is computed within $n \in \mathbb{N}$ steps using ∇ .

Nonstandard

Transferring Abstract Interpretation

Standard

Widening operator: ∇

Nonstandard

Hyperwidening operator: $*\nabla$

transfer

Thm.

Prefixed point is computed
within $n \in \mathbb{N}$ steps using ∇ .

Transferring Abstract Interpretation

Lemma (Convergence of iteration sequences in $\mathcal{L}_{\mathbb{U}}$) *Let $L \in \mathbb{U}$ be a set, $\sqsubseteq \in \mathcal{P}(L \times L)$ be a binary relation on L and $\nabla : L \times L \rightarrow L$ be a function. Then, the following $\mathcal{L}_{\mathbb{U}}$ -sentence holds:*

$$\forall F \in L \rightarrow L. \forall \perp \in L. \forall X \in \mathbb{N} \rightarrow L.$$

$$\text{Poset}_{L, \sqsubseteq} \wedge \text{Monotone}_{L, \sqsubseteq, L, \sqsubseteq}(F) \wedge \text{Basis}_{L, \sqsubseteq}(\perp, F) \wedge \text{Widen}_{L, \sqsubseteq, \nabla}$$

$$\wedge \text{WidenSeq}_{L, \sqsubseteq, \nabla}(X, \perp, F)$$

$$\Rightarrow \underline{\exists i \in \mathbb{N}}. \forall j \in \mathbb{N}. i \leq j \Rightarrow X(i) = X(j)$$

$$\wedge \forall k \in \mathbb{N}. \left((\forall l \in \mathbb{N}. k \leq l \Rightarrow X(k) = X(l)) \Rightarrow F(X(k)) \sqsubseteq X(k) \right).$$

Thm.

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Transferring Abstract Interpretation

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transfer!

Thm.

Prefixes point is computed within $n \in \mathbb{N}$ steps using ∇ .

Transferring Abstract Interpretation

Theorem

Let $L \in \mathbb{U}$ be a set, $\sqsubseteq \in \mathcal{P}(L \times L)$ be a binary relation on L and $\nabla : L \times L \rightarrow L$ be a function. Then, the following $\mathcal{L}_{\mathbb{U}}$ -sentence holds:

$$\forall F \in {}^*(L \rightarrow L). \forall \perp \in {}^*L. \forall X \in {}^*(\mathbb{N} \rightarrow L).$$

$${}^*\text{Poset}_{L, \sqsubseteq} \wedge {}^*\text{Monotone}_{L, \sqsubseteq, L, \sqsubseteq}(F) \wedge {}^*\text{Basis}_{L, \sqsubseteq}(\perp, F) \wedge {}^*\text{Widen}_{L, \sqsubseteq, \nabla} \\ \wedge {}^*\text{WidenSeq}_{L, \sqsubseteq, \nabla}(X, \perp, F)$$

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Prefix point is computed within $n \in \mathbb{N}$ steps using ∇ .

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Prefix point is computed within $n \in {}^*\mathbb{N}$ steps using ∇ .

Transferring Abstract Interpretation

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Thm.

Prefix point is computed within $n \in \mathbb{N}$ steps using ∇ .

Thm.

Prefix point is computed within $n \in {}^*\mathbb{N}$ steps using ∇ . include infinites

Uniformity of Widening Operators

- (Termination) for any ascending chain $\langle x_i \rangle \in L^\omega$, the chain $\langle y_i \rangle \in L^\omega$ defined by
$$\begin{cases} y_0 = x_0 \\ y_{i+1} = y_i \nabla x_{i+1} \end{cases} \quad (\text{for all } i \in \mathbb{N})$$
 is ultimately stationary.

- (Uniform termination) for any $x_0 \in L$, there exists a constant $i \in \mathbb{N}$ such that for any ascending chain $\langle x_i \rangle \in L^\omega$ starting from x_0 , there exists $j \in \mathbb{N}$ such that $j \leq i$ and the chain $\langle y_i \rangle \in L^\omega$ defined by

$$\begin{cases} y_0 = x_0 \\ y_{i+1} = y_i \nabla x_{i+1} \end{cases} \quad (\text{for all } i \in \mathbb{N})$$

satisfies $y_j = y_{j+1}$.

$\text{Term}_{L, \sqsubseteq, \nabla} := \forall x \in \mathbb{N} \rightarrow L. \text{AscCn}(x) \Rightarrow$

$$\left(\underline{\forall y \in \mathbb{N} \rightarrow L.} \left((y(0) = x(0) \wedge \forall n \in \mathbb{N}. y(n+1) = y(n) \nabla x(n+1)) \right. \right. \\ \left. \left. \Rightarrow \underline{\exists k \in \mathbb{N}. y(k) = y(k+1)} \right) \right)$$

$\text{UnifTerm}_{L, \sqsubseteq, \nabla} := \forall x_0 \in L. \underline{\exists i \in \mathbb{N}.} \forall x \in \mathbb{N} \rightarrow L. (\text{AscCn}(x) \wedge x(0) = x_0) \Rightarrow$

$$\left(\underline{\forall y \in \mathbb{N} \rightarrow L.} \left((y(0) = x(0) \wedge \forall n \in \mathbb{N}. y(n+1) = y(n) \nabla x(n+1)) \right. \right. \\ \left. \left. \Rightarrow \exists j \in \mathbb{N}. (j \leq i \wedge y(j) = y(j+1)) \right) \right)$$

Theorem 3.12 *Let (L, \sqsubseteq) be a poset and $\nabla \in L \times L \rightarrow L$ be a uniform widening operator on L . Let $F : *L \rightarrow *L$ be a monotone and internal function; and $\perp \in L$ be such that $*\perp \sqsubseteq F(*\perp)$. The iteration sequence $\langle X_i \rangle_{i \in \mathbb{N}}$ defined by*

$$X_0 = *\perp, \quad X_{i+1} = \begin{cases} X_i & (\text{if } F(X_i) \sqsubseteq X_i) \\ X_i \nabla F(X_i) & (\text{otherwise}) \end{cases} \quad \text{for all } i \in \mathbb{N}$$

reaches its limit within some finite number of steps; and the limit $\bigsqcup_{i \in \mathbb{N}} X_i$ is a prefixed point of F such that $\perp \sqsubseteq \bigsqcup_{i \in \mathbb{N}} X_i$. □*

Uniformity of Widening Operators on CPn

- **Standard widening**
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- **Widening up to**
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Uniformity of Widening Operators on CPn

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[Halbwachs Ph.D. Thesis 79]



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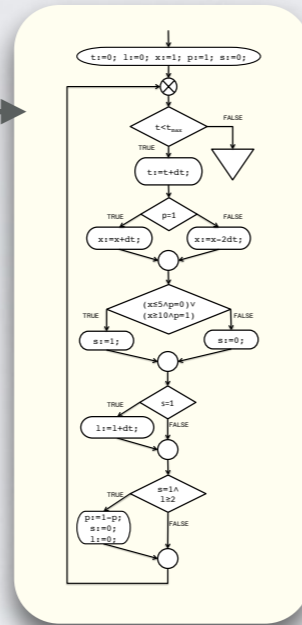


Tool Overview

WHILE_{dt} program

```
t := 0; l := 0; x := 1; p := 1; s := 0;
while t < tmax do
  t := t + dt;
  if p = 1 then x := x + dt; else x := x - 2dt;
  if (x ≤ 5 ∧ p = 0) ∨ (x ≥ 10 ∧ p = 1) then s := 1 else s := 0;
  if s = 1 then l := l + dt;
  if s = 1 ∧ l ≥ 2 then p := 1 - p; s := 0; l := 0
```

CFG



- iteration on \mathbb{CP}_n
- uniform widenings for While loops

Use CAS regarding dt as a variable

Overapproximation of reachable set (with dt)

$$1 - 2dt \leq x \leq 12 + dt$$

Conclusion

- Abstract interpretation with infinitesimals
 - Soundness
 - Uniform termination of widening
 - Prototype implementation
- Automated reachability analysis of hybrid systems

Future Work

- Transferring other abstract domains
- Hyperwidening operators
other than transferred uniform widening
operators
- Extending model checking with infinitesimals