

work in
progress

A Graph-Rewriting Perspective of the Beta-Law

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Call-by-value beta-law

$$(\lambda x. t) v = t[v/x]$$

terms $t ::= x \mid \lambda x. t \mid tt \mid \dots$

values $v ::= x \mid \lambda x. t \mid \dots$

golden standard of (functional) program equivalence and compiler optimisation

“A function can be applied to a value before evaluation without changing the outcome”

Call-by-value beta-law

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golden standard of (functional) program equivalence and compiler optimisation

... respected by most intrinsic/extrinsic language extensions

Call-by-value beta-law

$$(\lambda x. t) v = t[v/x]$$

terms $t ::= x \mid \lambda x. t \mid tt \mid n \mid \text{succ}(n) \mid \dots$

values $v ::= x \mid \lambda x. t \mid n \mid \dots$

basic operations
(nat, int, float, ...)

golden standard of (functional) program equivalence and compiler optimisation

... respected by most **intrinsic/extrinsic** language extensions

Call-by-value beta-law

$$(\lambda x. t) v = t [v/x]$$

terms $t ::= x \mid \lambda x. t \mid tt \mid \langle t, t \rangle \mid \text{fst}(t) \mid \text{snd}(t) \mid \dots$

values $v ::= x \mid \lambda x. t \mid \langle v, v \rangle \mid$

algebraic
data structures

golden standard of (functional) program equivalence and
compiler optimisation

... respected by most **intrinsic/extrinsic** language extensions

Call-by-value beta-law

$$(\lambda x. t) v = t[v/x]$$

terms $t ::= x \mid \lambda x. t \mid tt \mid \mu x. t$

values $v ::= x \mid \lambda x. t \mid \dots$

recursion

golden standard of (functional) program equivalence and compiler optimisation

... respected by most **intrinsic/extrinsic** language extensions

Call-by-value beta-law

$$(\lambda x. t) v = t[v/x]$$

terms $t ::= x \mid \lambda x. t \mid tt \mid \text{if } t \text{ then } t \text{ else } t$

values $v ::= x \mid \lambda x. t \mid \dots$

conditional
statement

golden standard of (functional) program equivalence and
compiler optimisation

... respected by most **intrinsic/extrinsic** language extensions

Call-by-value beta-law

$$(\lambda x. t) v = t [v/x]$$

terms $t ::= x \mid \lambda x. t \mid tt \mid \text{op}(t, \dots, t) \mid \dots$

values $v ::= x \mid \lambda x. t \mid \dots$

algebraic effects
& handlers

golden standard of (functional) program equivalence and compiler optimisation

... respected by most **intrinsic/extrinsic** language extensions

Call-by-value beta-law

$$(\lambda x. t) v = t [v/x]$$

terms $t ::= x \mid \lambda x. t \mid tt \mid \text{callcc}(t) \mid \dots$

values $v ::= x \mid \lambda x. t \mid \dots$

control operators

golden standard of (functional) program equivalence and compiler optimisation

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Call-by-value beta-law

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terms $t ::= x \mid \lambda x. t \mid tt \mid \dots$

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golden standard of (functional) program equivalence and compiler optimisation

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justification by (operational) semantics, **but how?**

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Question

Given an extension of untyped λ -calculus,

what semantic property of the extension

validates the call-by-value beta-law?

$$(\lambda x. t) v = t[v/x]$$

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Answer?

A formal answer is yet to be stated...

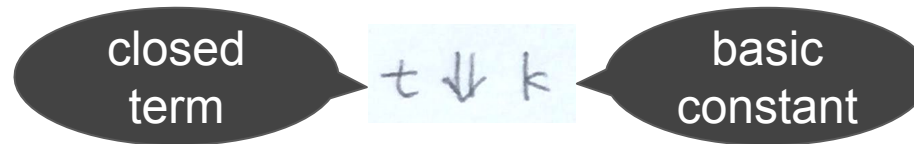
But a *graph-rewriting perspective* provides:

- a useful & robust method
- key observations

Methodology

$$t ::= x \mid \lambda x. t \mid tt \mid k \mid \text{...}$$
$$v ::= x \mid \lambda x. t \mid k \mid \text{...}$$

Given an operational semantics of an extended λ -calculus:



define the contextual equivalence by:

$$t \simeq t' \stackrel{\Delta}{\iff} \forall C \text{ s.t. } C[t] \text{ and } C[t'] \text{ are closed,}$$
$$C[t] \Downarrow k \iff C[t'] \Downarrow k'$$

Moreover, $k = k'$

prove the beta-law:

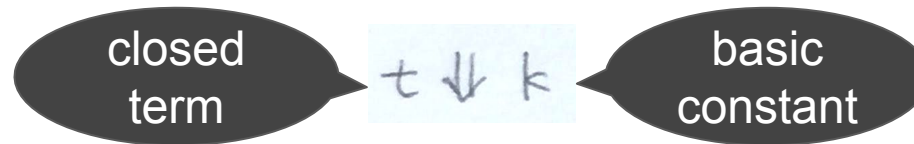
$$(\lambda x. t) v \simeq t[v/x]$$

and **observe** *some sufficient condition*.

Methodology

$$t ::= x \mid \lambda x. t \mid tt \mid k \mid \text{[scribble]}$$
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- easy to extend (esp. by nondeterminism, observables)
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small-step reduction

$$t \Downarrow k \stackrel{\Delta}{\iff} t \rightarrow^* k$$

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small-step reduction

$$t \Downarrow k \iff t \rightarrow^* k$$

... obscures a sub-term of interest :-)

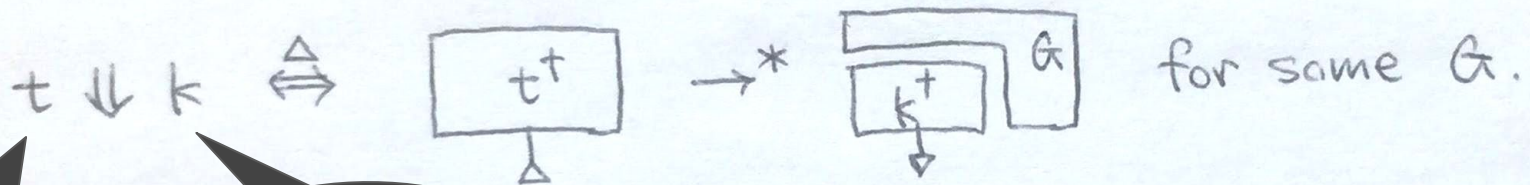
$$C[t] \equiv E_0[v_0] \rightarrow u_1 \equiv E_1[v_1] \rightarrow u_2 \equiv \dots$$

redex searching
(i.e. decomposition into evaluation context & redex)
obscures `t`

Which operational semantics?

- easy to extend (esp. by nondeterminism, observables)
- easy to prove a contextual equivalence

small-step “token-guided” graph-rewriting



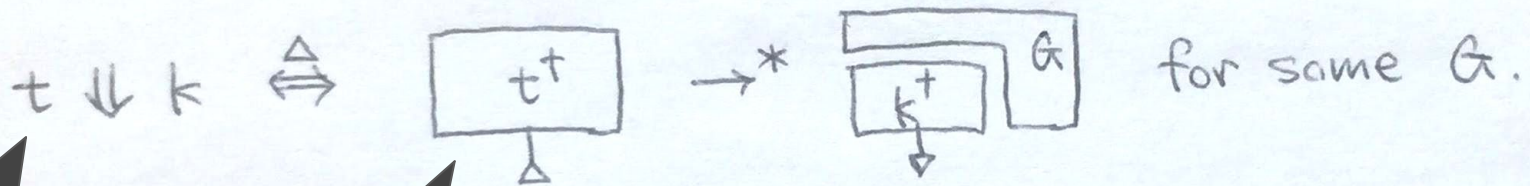
closed
term

basic
constant

Which operational semantics?

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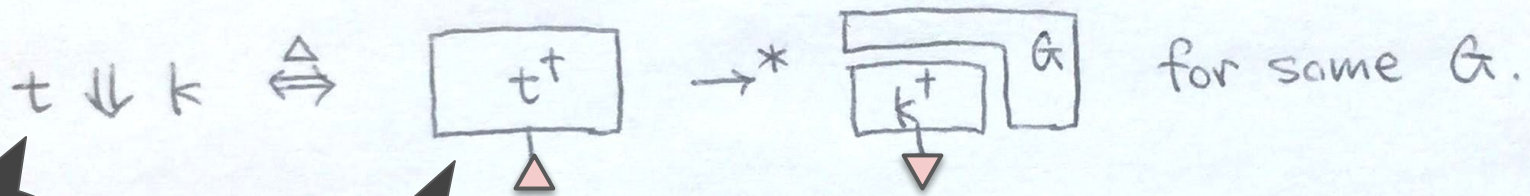
closed term

graph representation with unique open edge

Which operational semantics?

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small-step “token-guided” graph-rewriting



closed term

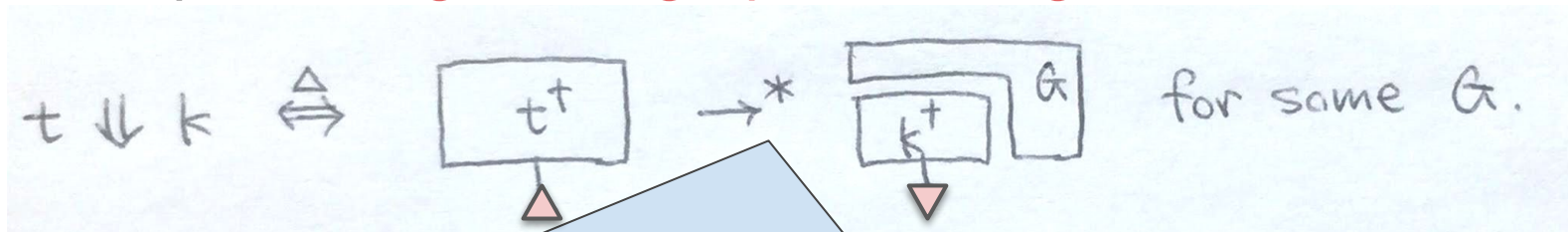
graph representation with unique open edge

distinguished edge/node as “token”

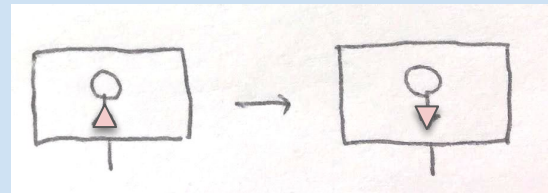
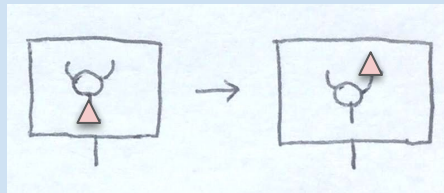
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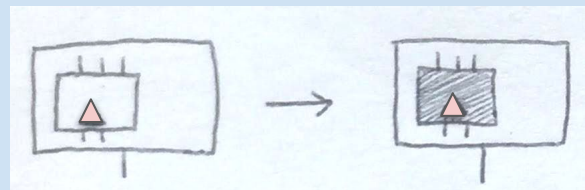
small-step “token-guided” graph-rewriting



- redex searching (moving the token)



- rewriting (replacing a sub-graph)

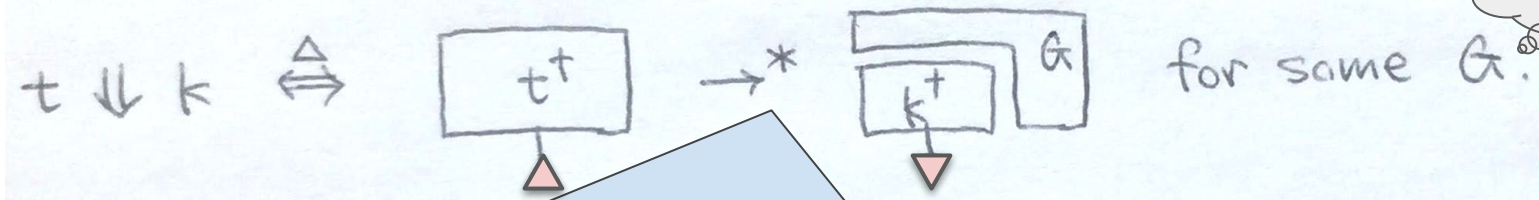


Which operational semantics?

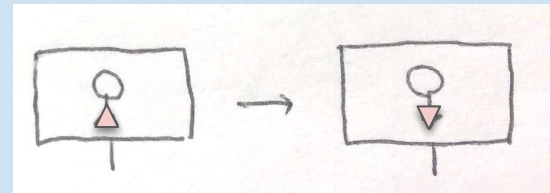
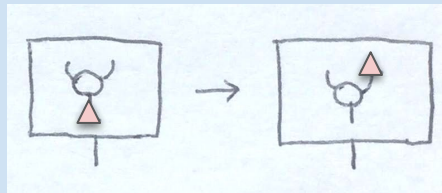
- easy to extend (esp. by nondeterminism, observables)
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small-step “token-guided” graph-rewriting

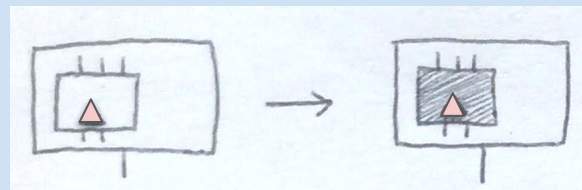
garbage



- redex searching (moving the token)



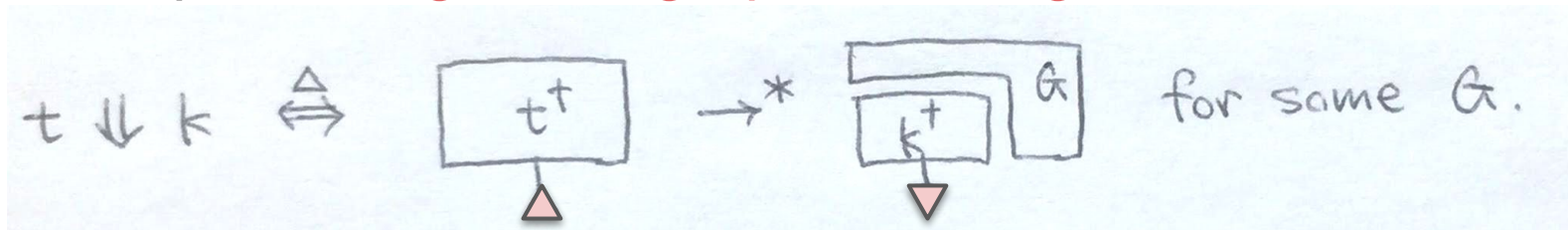
- rewriting (replacing a sub-graph)



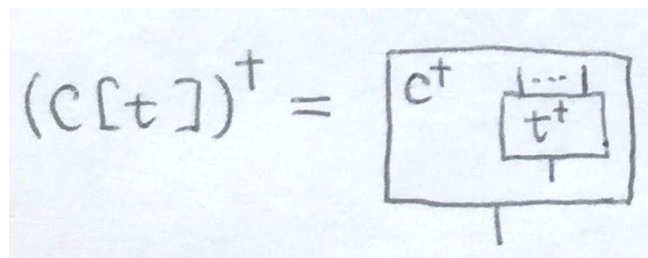
Which operational semantics?

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small-step “token-guided” graph-rewriting



... keeps a sub-term of interest traceable :-)

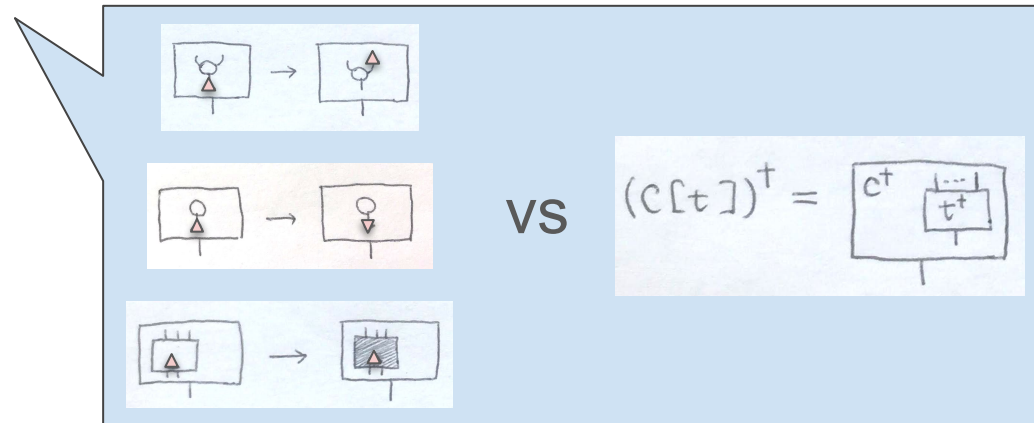


Which operational semantics?

- easy to extend (esp. by nondeterminism, observables)
- easy to prove a contextual equivalence

small-step “token-guided” graph-rewriting

- visible interaction between the token \triangle and a sub-graph
 - redex searching
 - rewriting



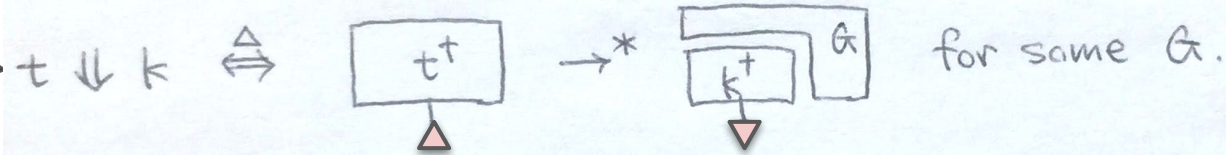
step-wise reasoning to prove a contextual equivalence

Methodology

$$t ::= x \mid \lambda x. t \mid tt \mid k \mid \text{...}$$
$$v ::= x \mid \lambda x. t \mid k \mid \text{...}$$

Given **operational semantics** of an extended λ -calculus:

closed
term



define the contextual equivalence by:

$$t \simeq t' \iff \forall \mathcal{C} \text{ s.t. } \mathcal{C}[t] \text{ and } \mathcal{C}[t'] \text{ are closed,}$$
$$\mathcal{C}[t] \Downarrow k \iff \mathcal{C}[t'] \Downarrow k'$$

Moreover, $k = k'$

same basic
constants

prove the beta-law:

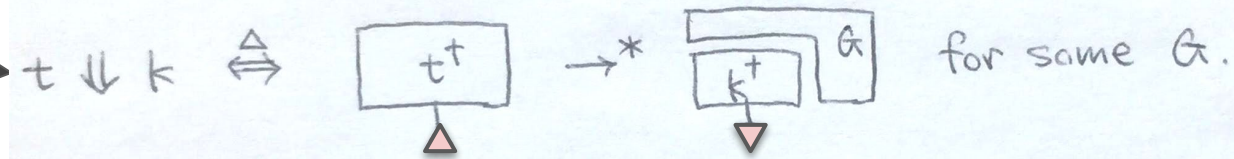
$$(\lambda x. t) v \simeq t[v/x]$$

and observe **some sufficient condition**.

Case study: linear λ -calculus + “linear” recursion

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closed
term



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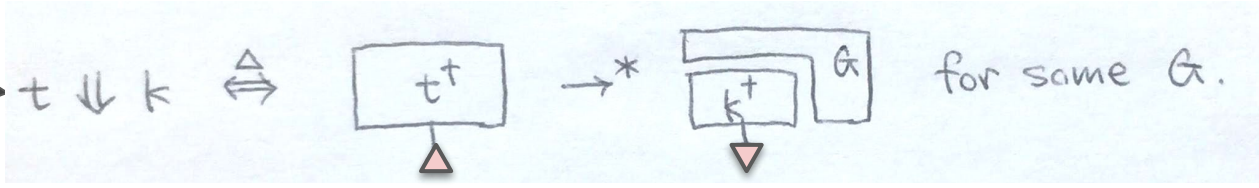
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Case study: linear λ -calculus + "linear" recursion

Given operational semantics:

closed term



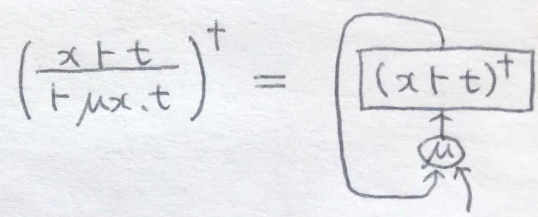
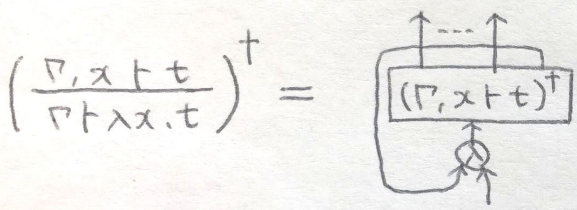
$t ::= x \mid \lambda x.t \mid tt \mid k \mid \mu x.t$
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linear variable

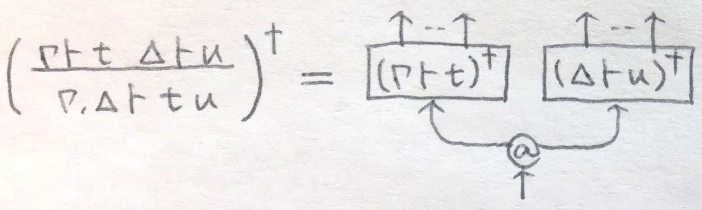
$$(\overline{\Gamma x})^\dagger = \uparrow$$

basic constant

$$(\overline{\Gamma k})^\dagger = \textcircled{k} \uparrow$$



"linear" recursion

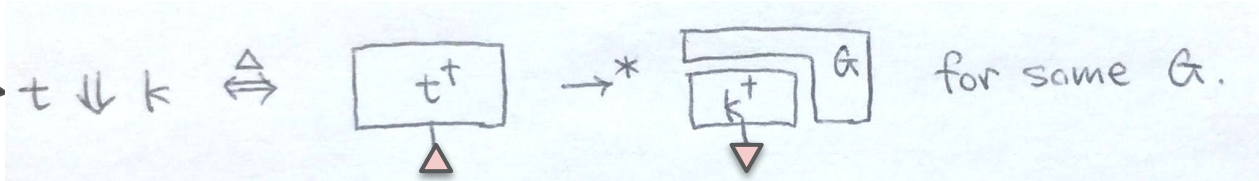


Case study: linear λ -calculus + "linear" recursion

$t ::= x \mid \lambda x.t \mid t t \mid k \mid \mu x.t$
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Given operational semantics:

closed term



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same basic constants

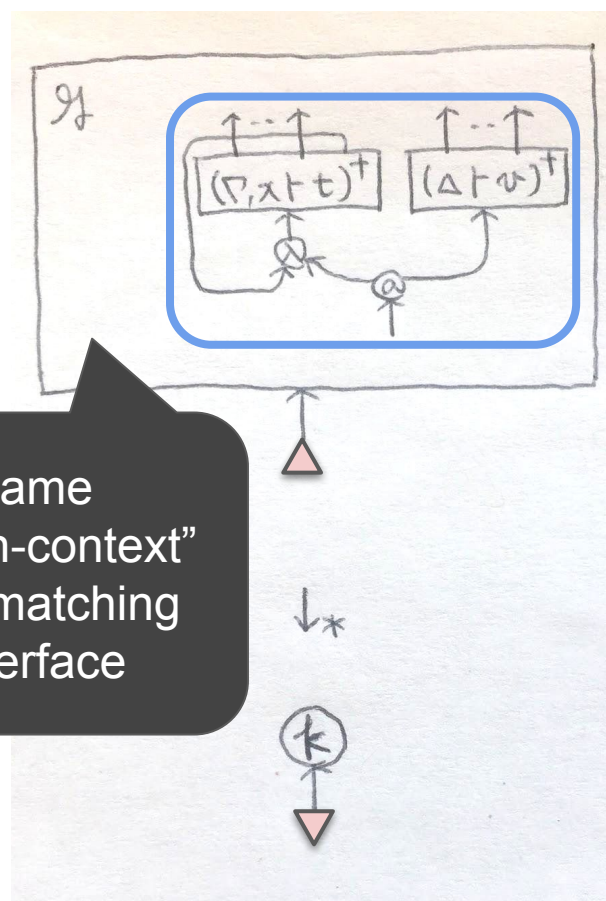
prove the beta-law:

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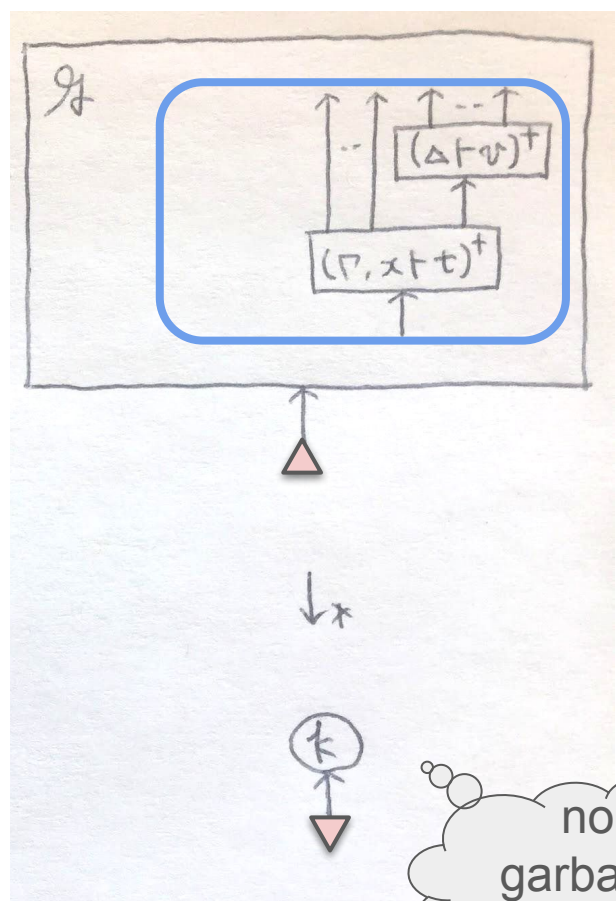
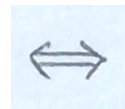
and observe *some sufficient condition*.

Case study: linear λ -calculus + “linear” recursion

... prove the beta-law:



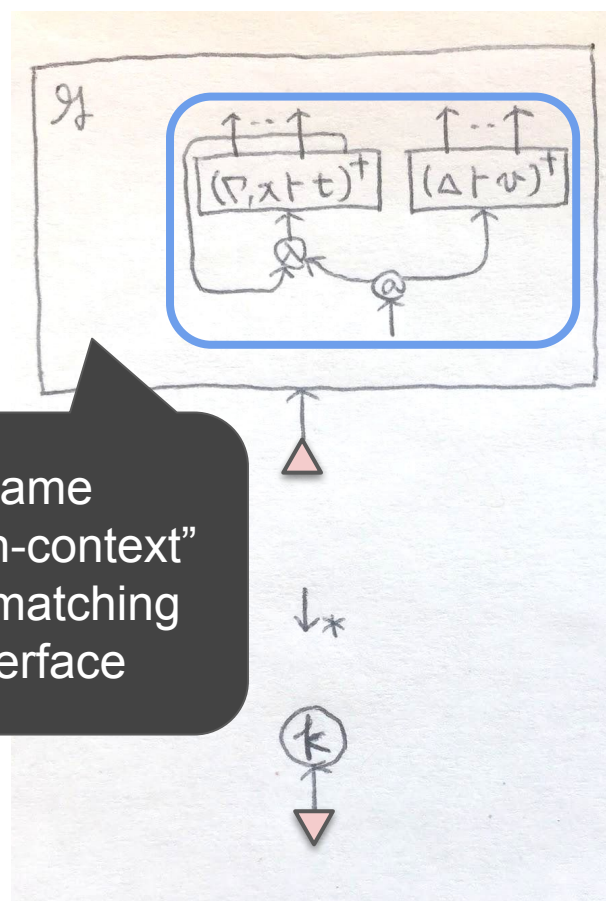
same
“graph-context”
with matching
interface



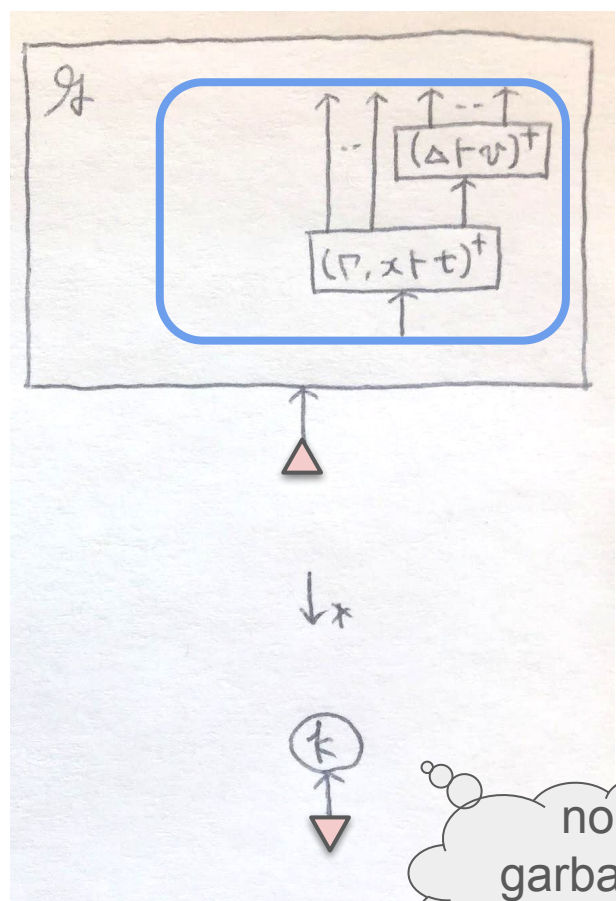
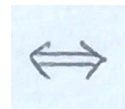
no
garbage
created

Case study: linear λ -calculus + “linear” recursion

... prove the beta-law by *step-wise reasoning*:



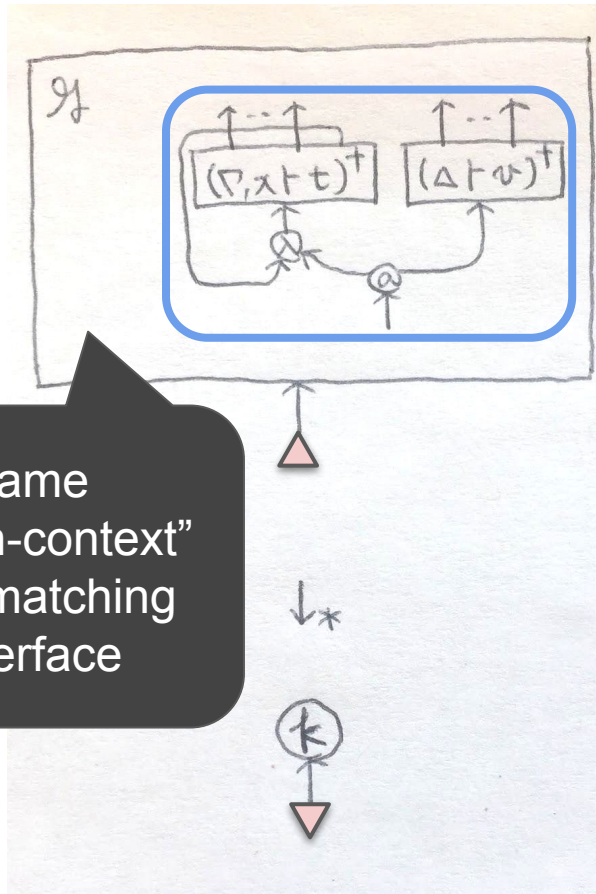
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no
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Case study: linear λ -calculus + “linear” recursion

... **prove** the beta-law *by step-wise reasoning*:

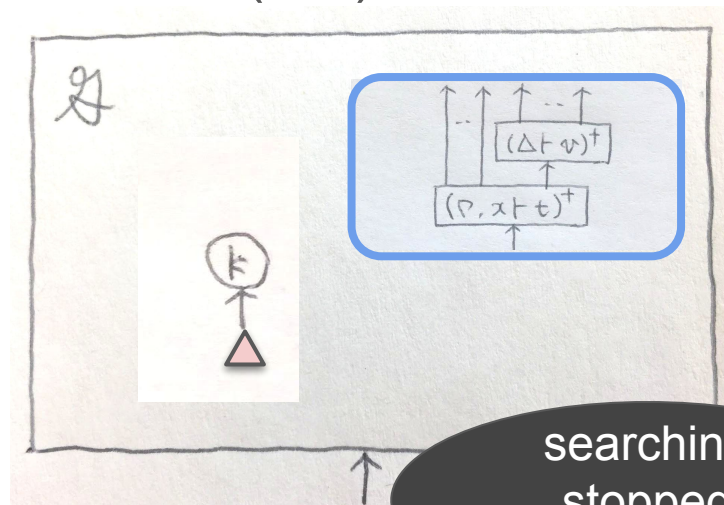
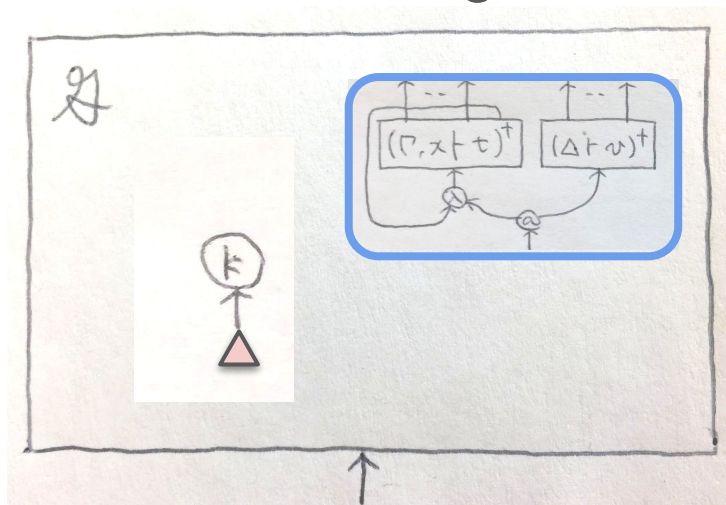


same
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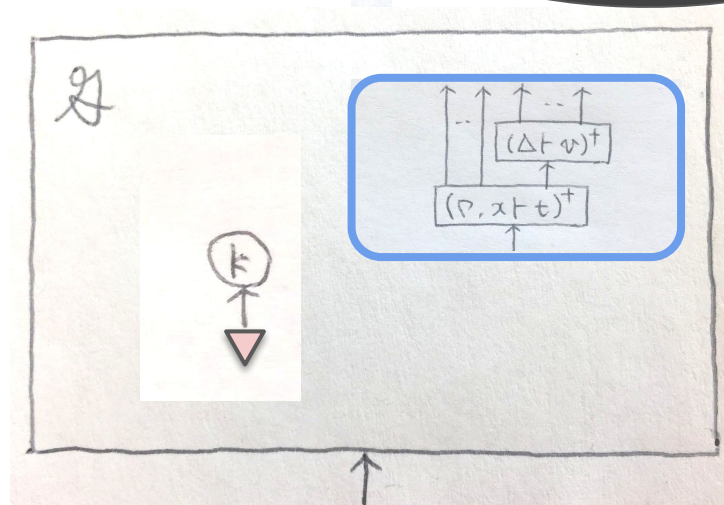
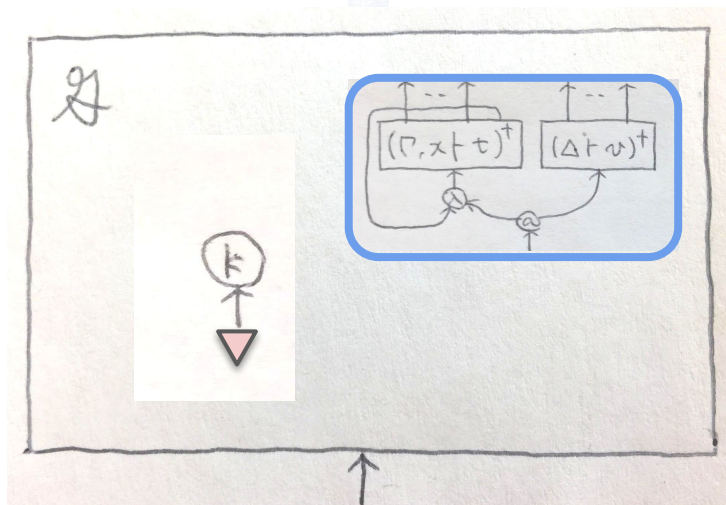
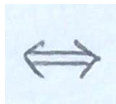
1. redex searching “within” graph-context
2. rewriting “in” graph-context
3. visiting **the hole**

Case study: linear λ -calculus + “linear” recursion

1. redex searching “within” graph-context (1/6)

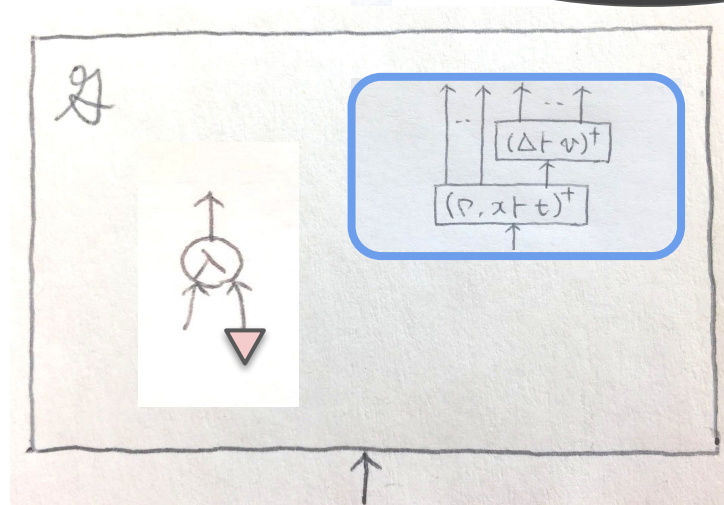
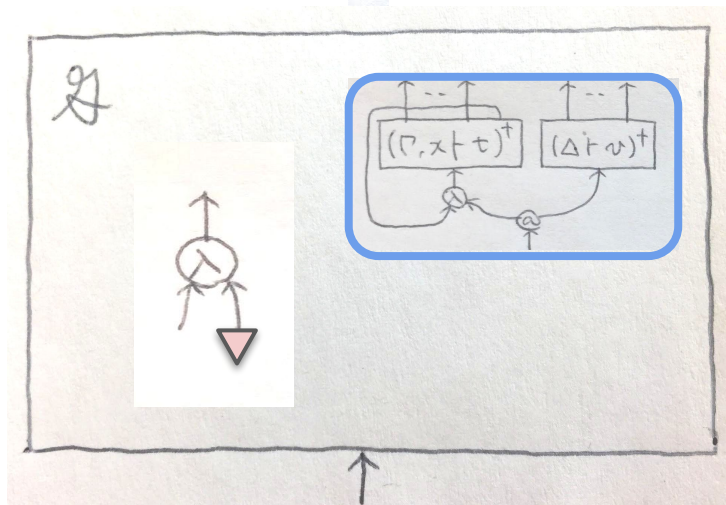
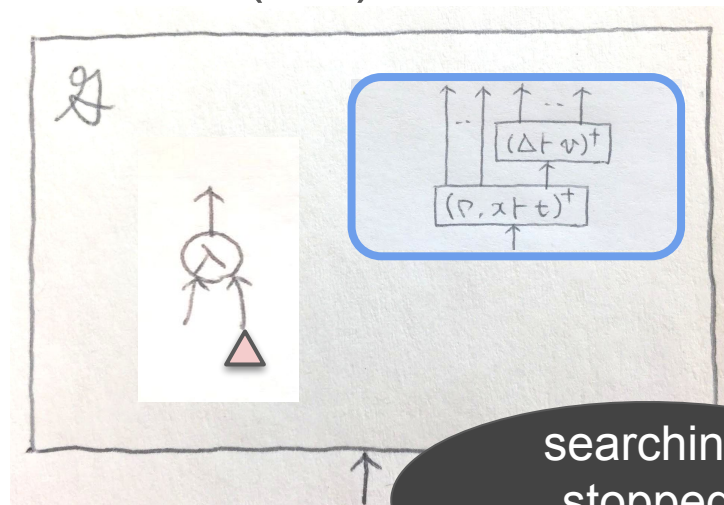
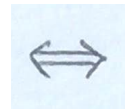
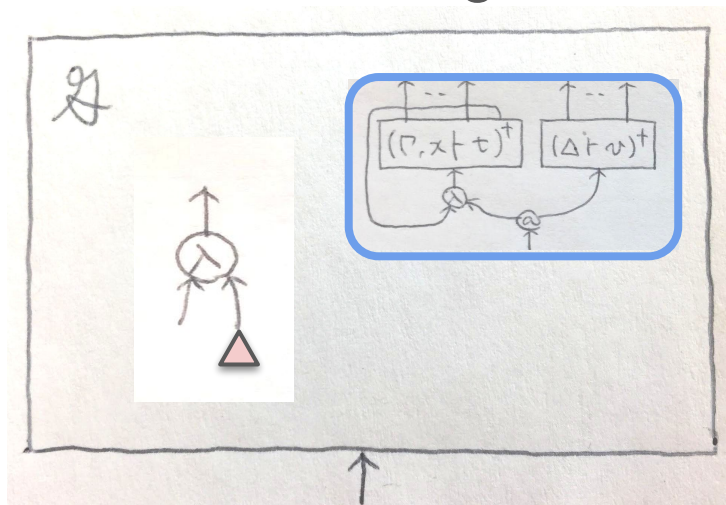


searching stopped at a value



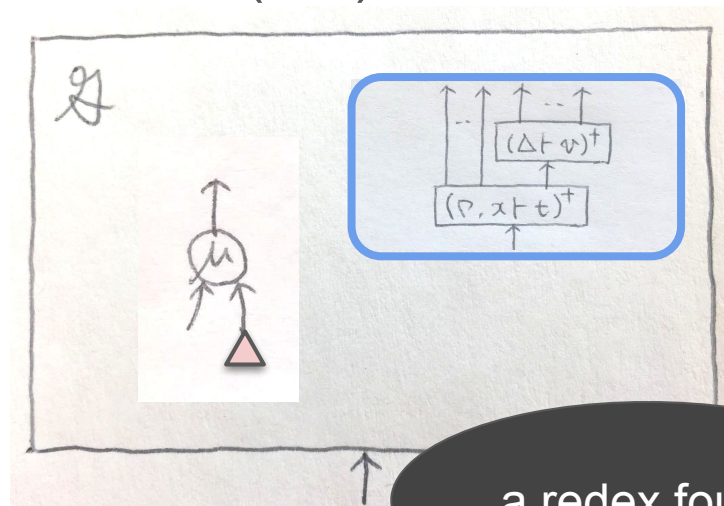
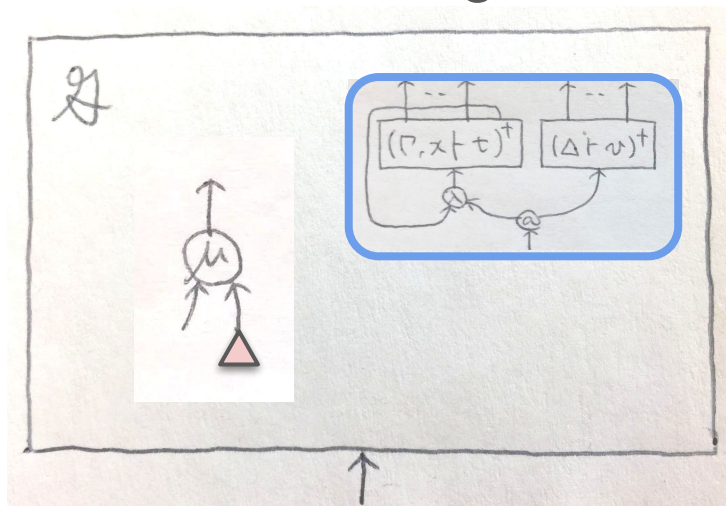
Case study: linear λ -calculus + “linear” recursion

1. redex searching “within” graph-context (2/6)

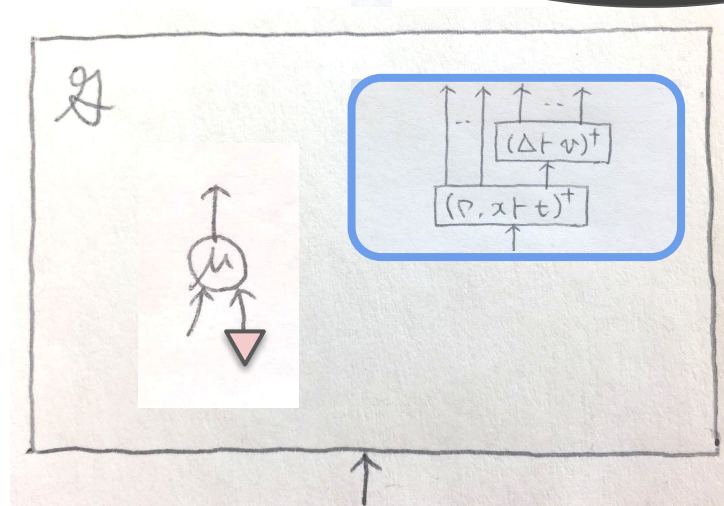
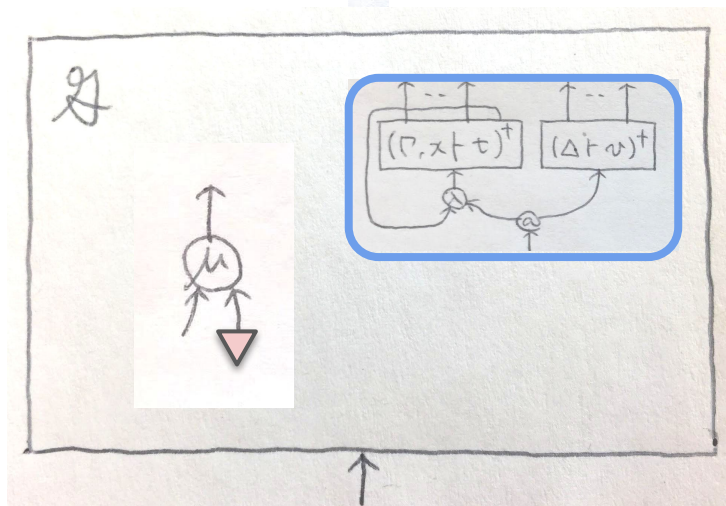


Case study: linear λ -calculus + “linear” recursion

1. redex searching “within” graph-context (3/6)

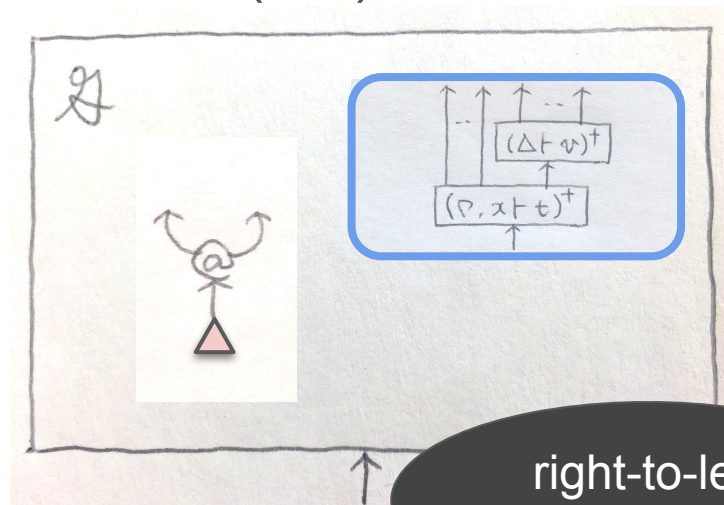
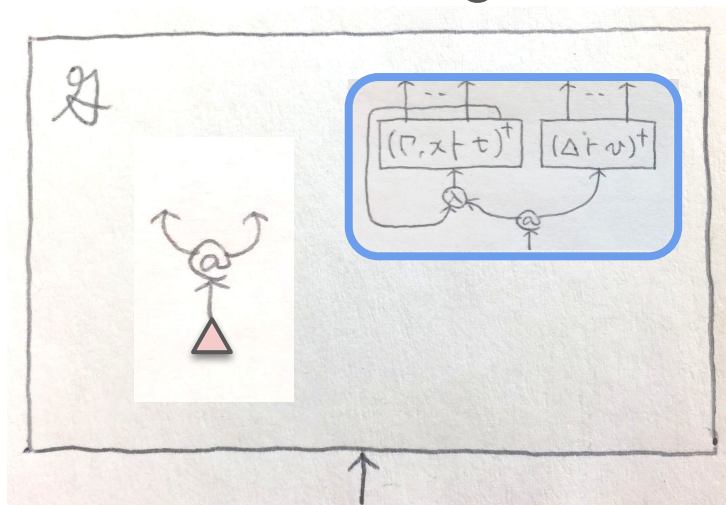


a redex found

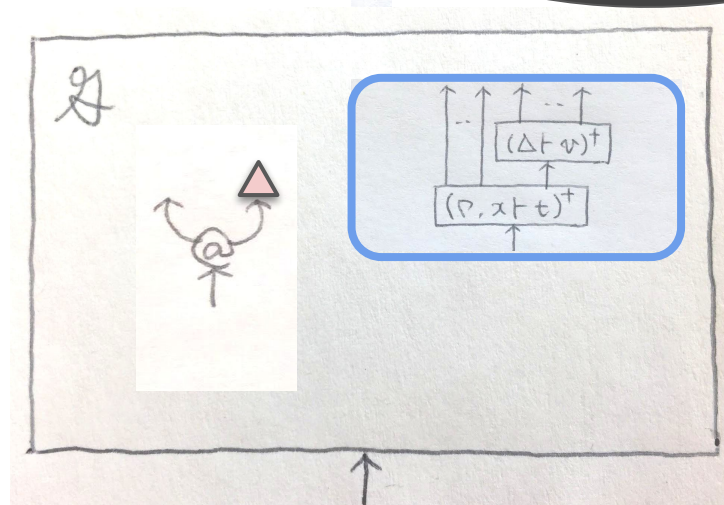
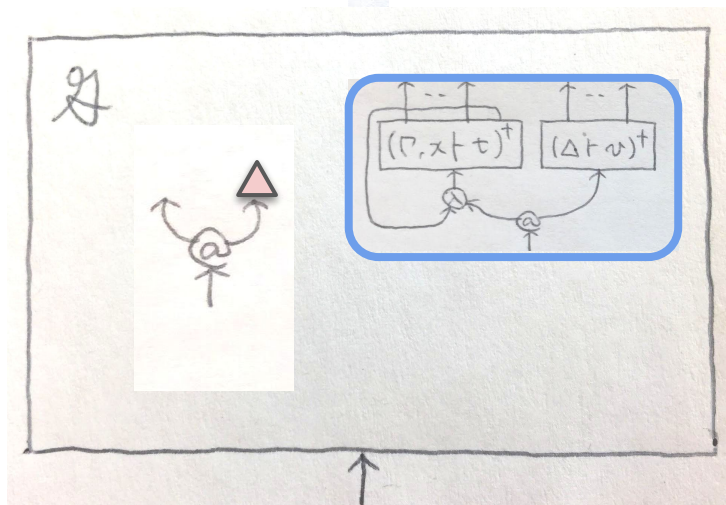


Case study: linear λ -calculus + "linear" recursion

1. redex searching "within" graph-context (4/6)

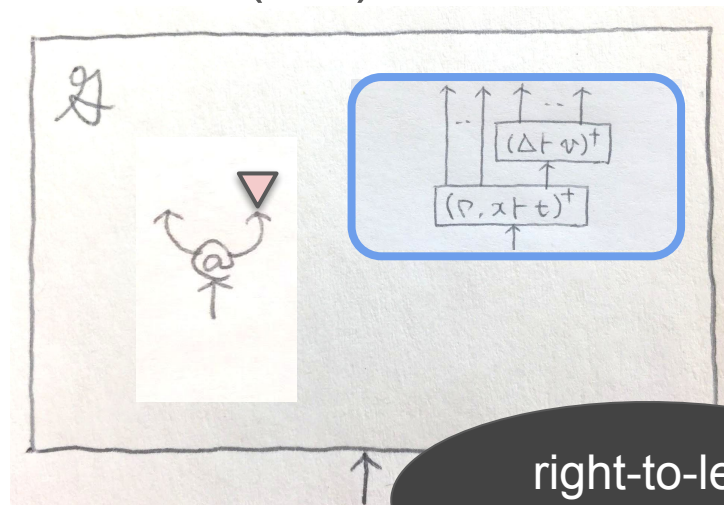
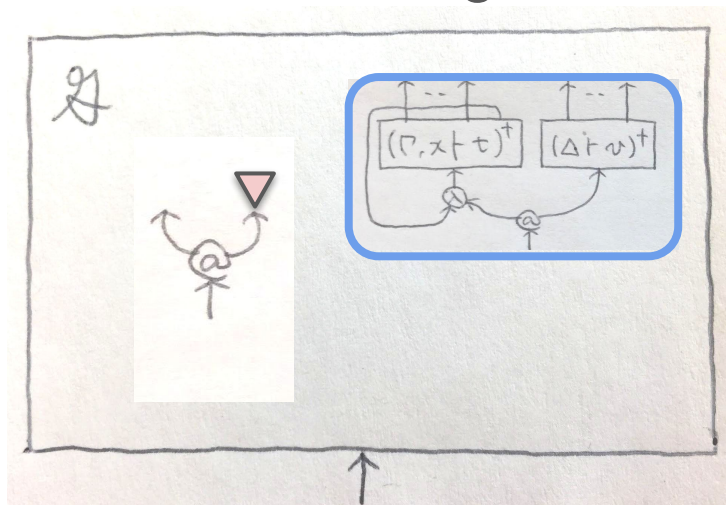


right-to-left
call-by-value

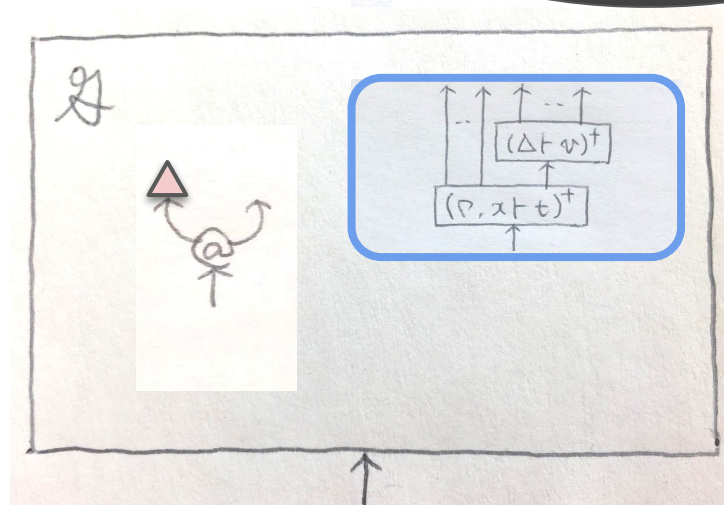
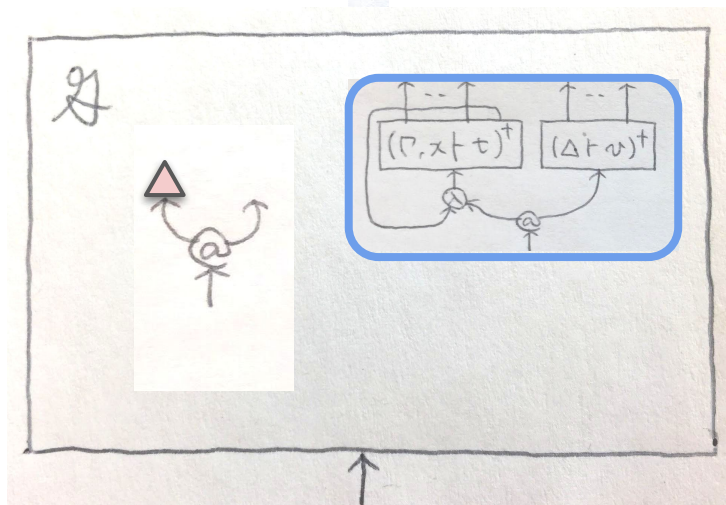


Case study: linear λ -calculus + “linear” recursion

1. redex searching “within” graph-context (5/6)

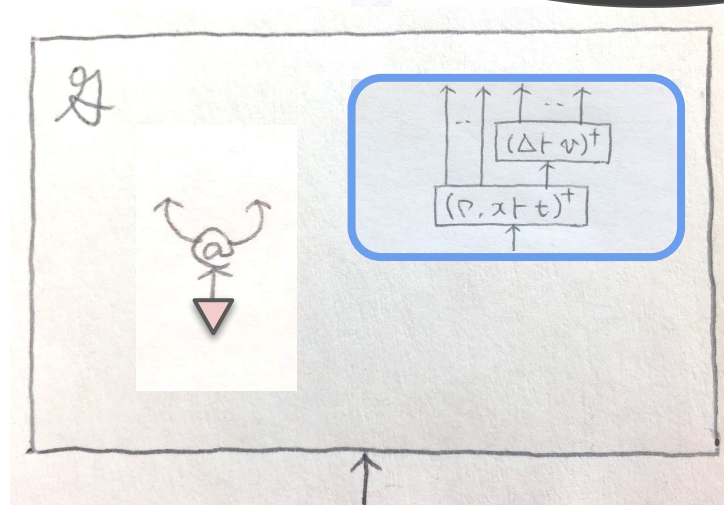
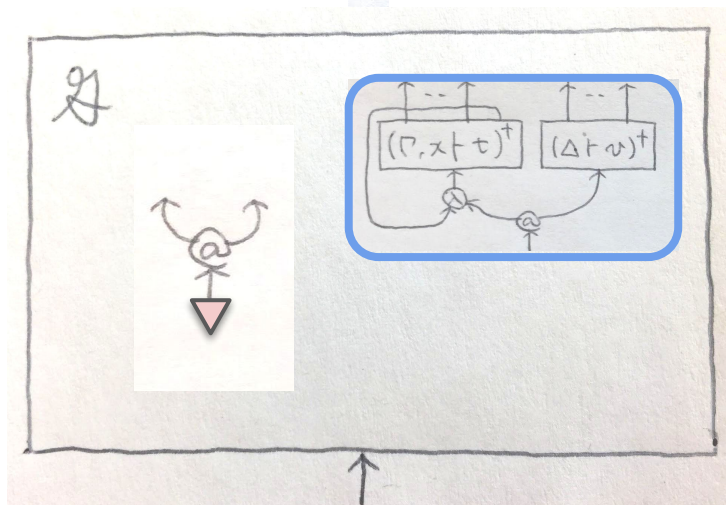
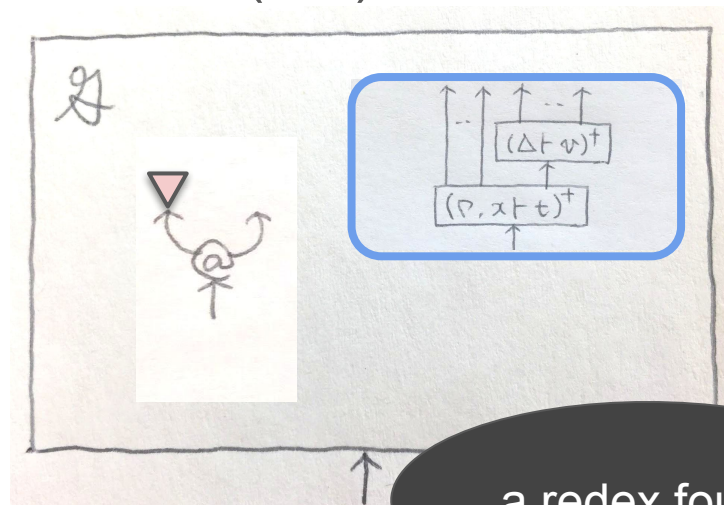
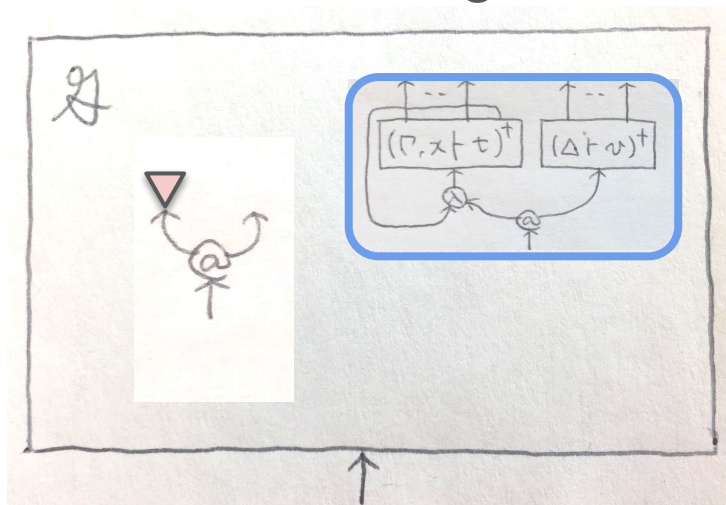


right-to-left
call-by-value



Case study: linear λ -calculus + “linear” recursion

1. redex searching “within” graph-context (6/6)



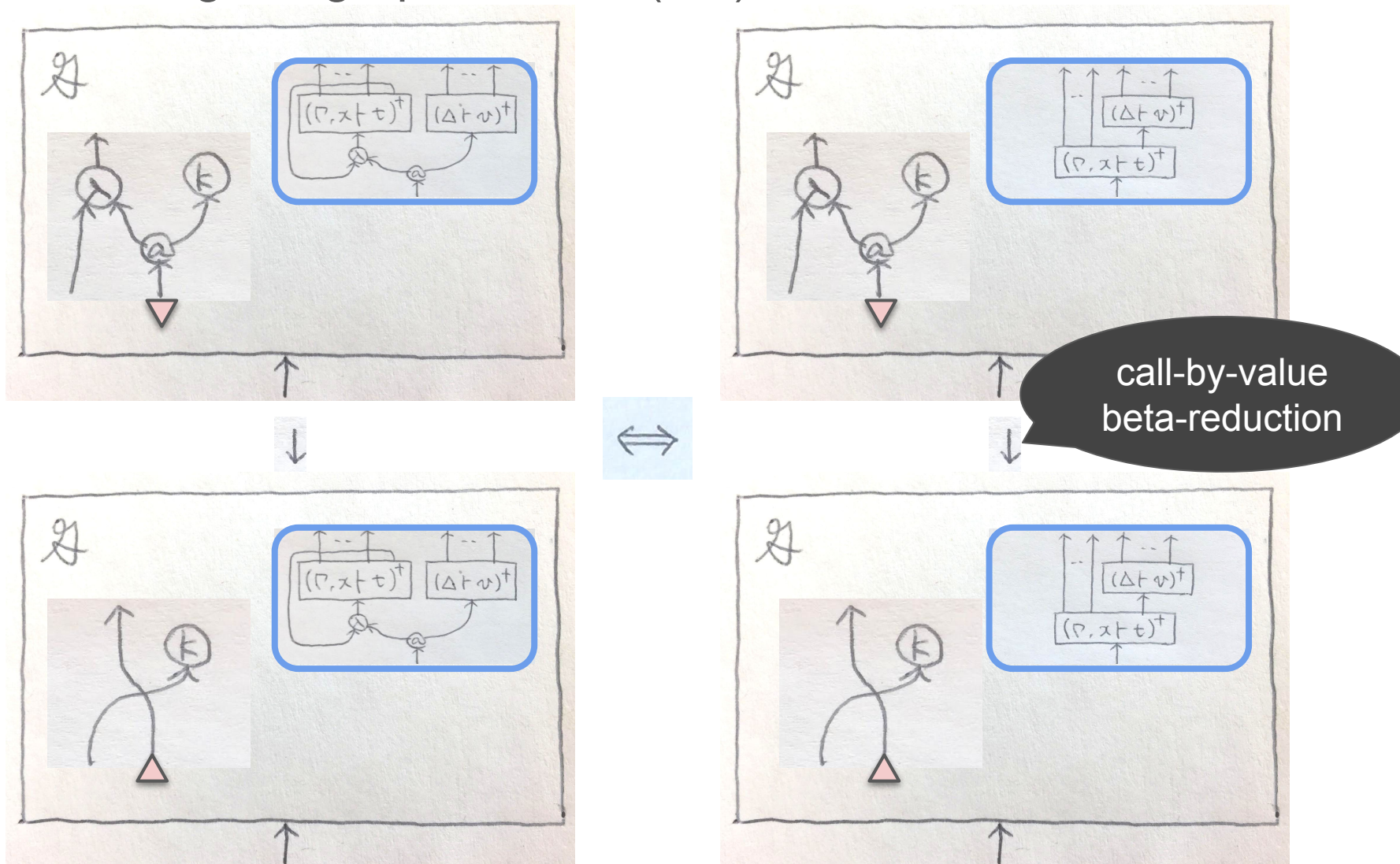
Case study: linear λ -calculus + “linear” recursion

1. redex searching within graph-context (6 cases)

observation: only one node is inspected at each step

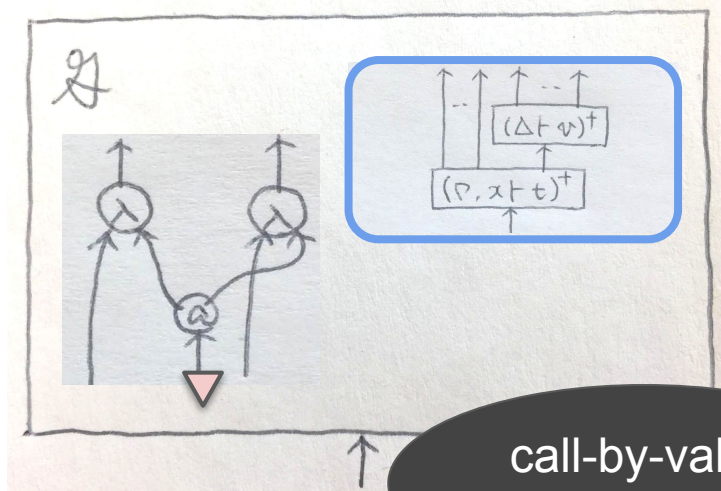
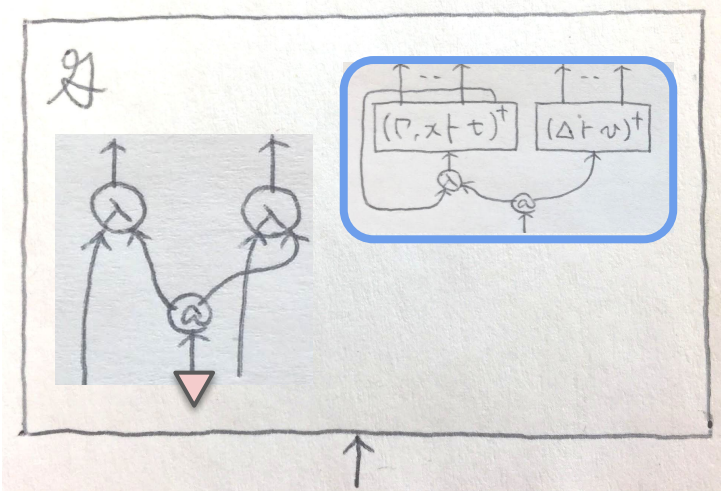
Case study: linear λ -calculus + “linear” recursion

2. rewriting “in” graph-context (1/3)

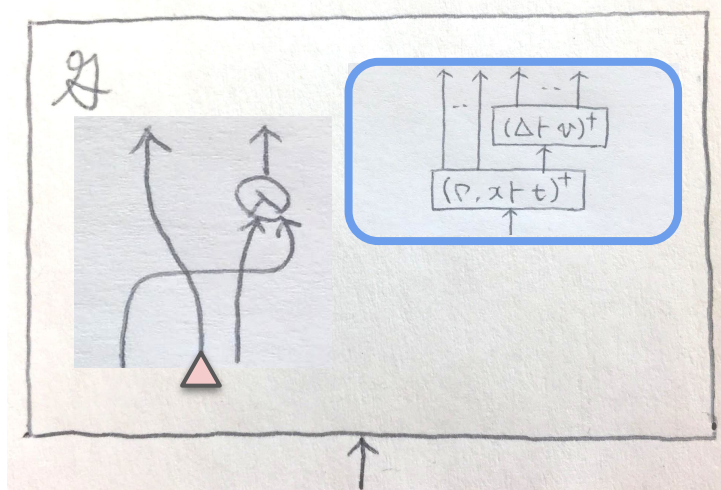
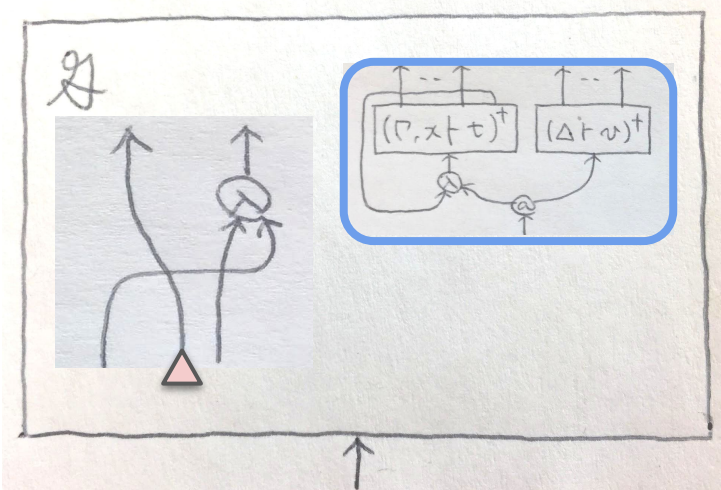


Case study: linear λ -calculus + “linear” recursion

2. rewriting “in” graph-context (2/3)



call-by-value
beta-reduction



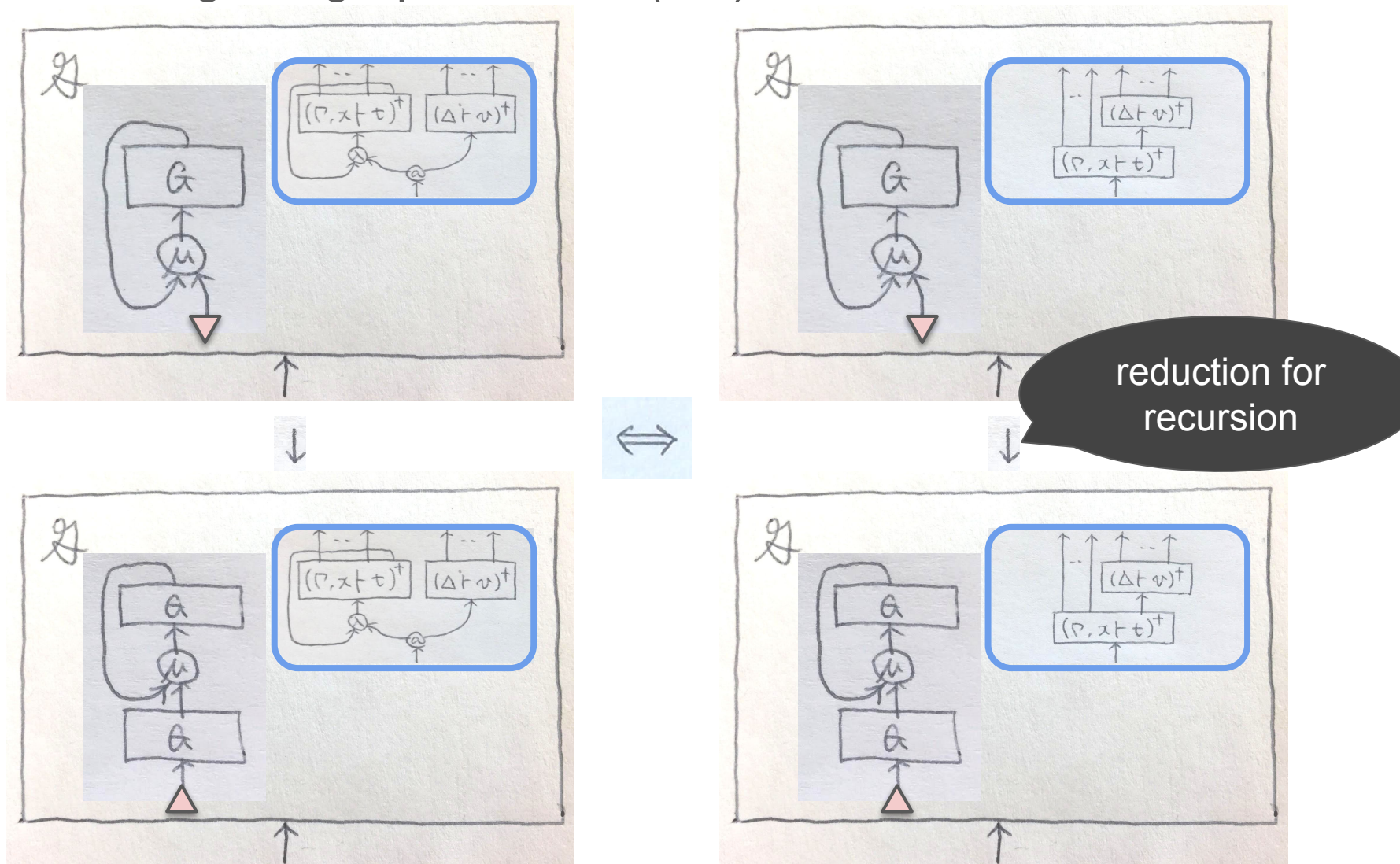
Case study: linear λ -calculus + “linear” recursion

2. rewriting “in” graph-context

observation: the hole is not involved

Case study: linear λ -calculus + "linear" recursion

2. rewriting "in" graph-context (3/3)

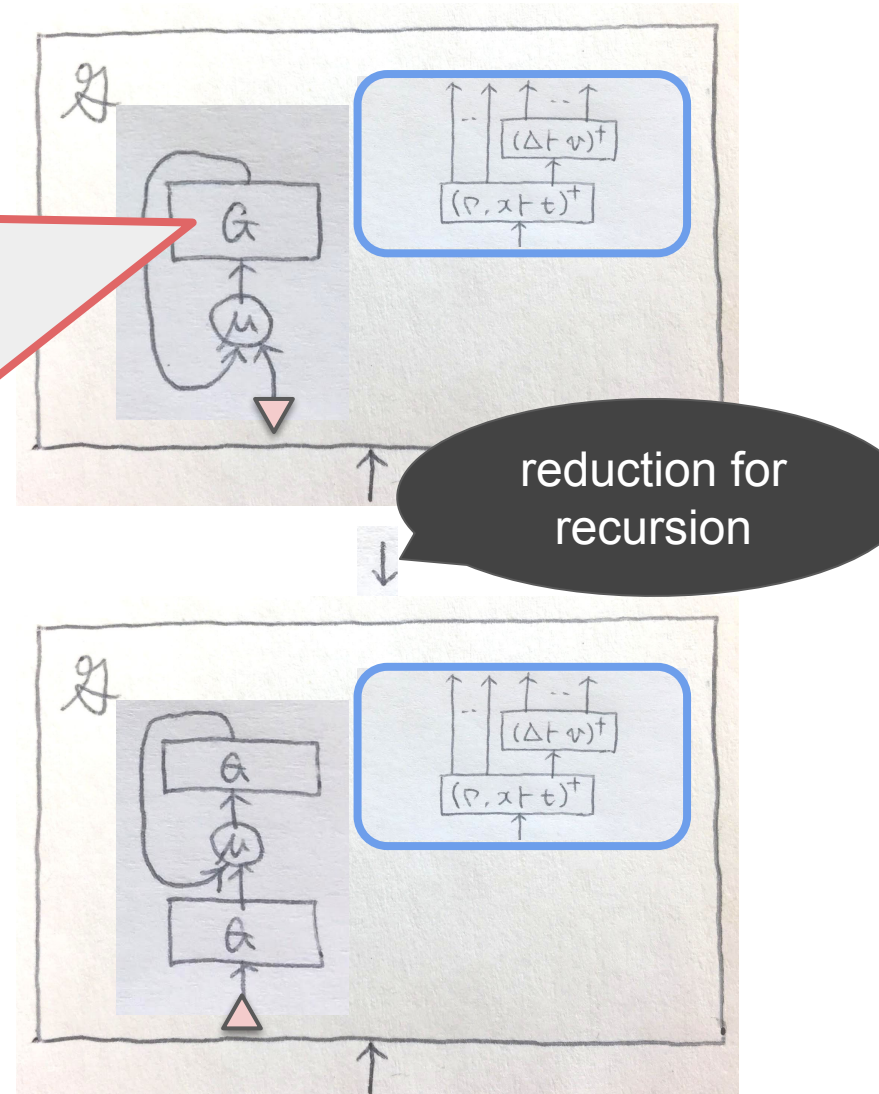


Case study: linear λ -calculus + “linear” recursion

2. rewriting “in” graph-context (3/3)

‘G’ contains:

- all reachable nodes from ‘ μ ’
- *hence*,
 - none of the hole
 - or, all of the hole

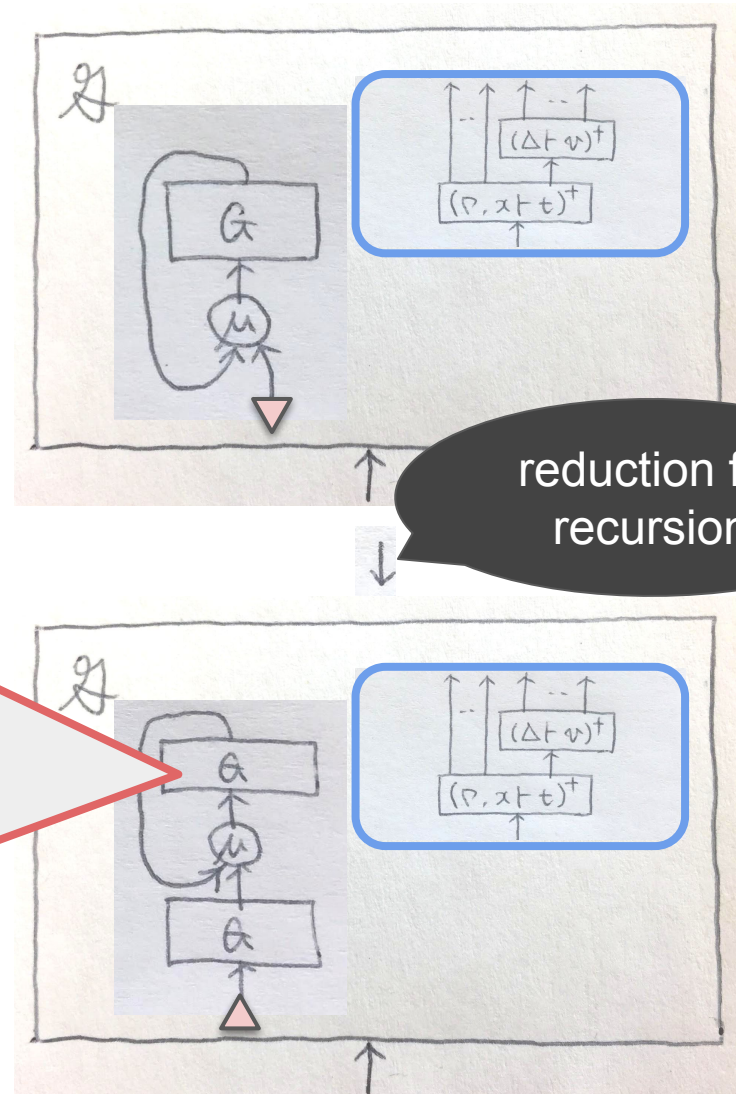


Case study: linear λ -calculus + “linear” recursion

2. rewriting “in” graph-context (3/3)

the hole is

- not involved
- or, duplicated as a part of `G`



Case study: linear λ -calculus + “linear” recursion

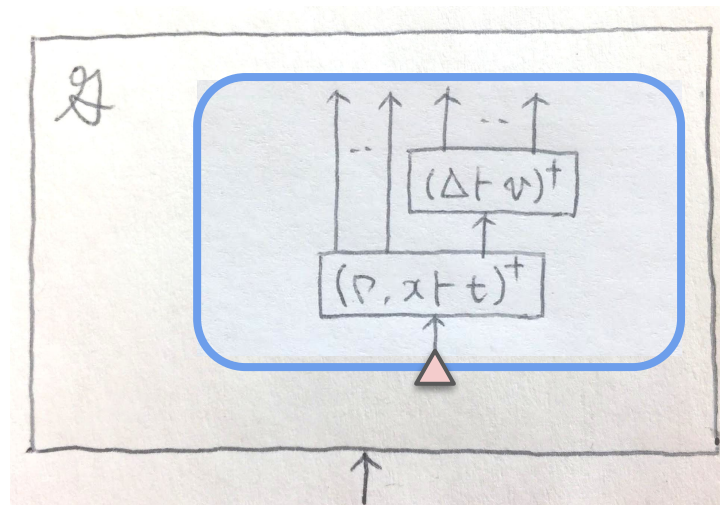
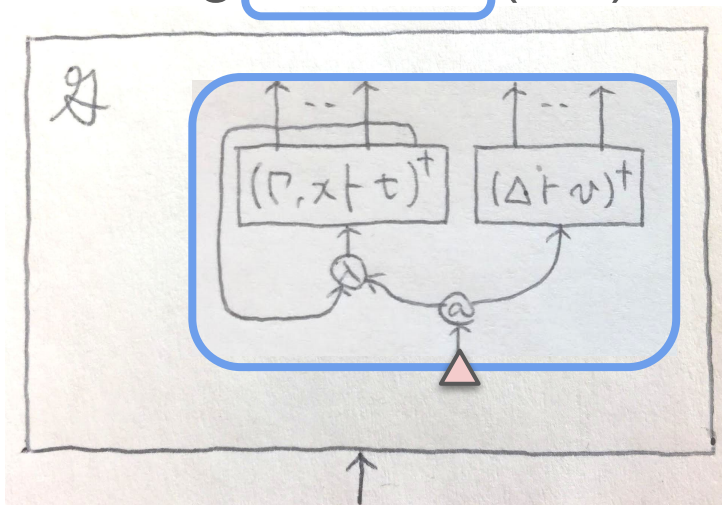
2. rewriting “in” graph-context

observation: the hole is not involved, or is duplicated *as a whole*

observation 2: each rewriting step is “history-free”

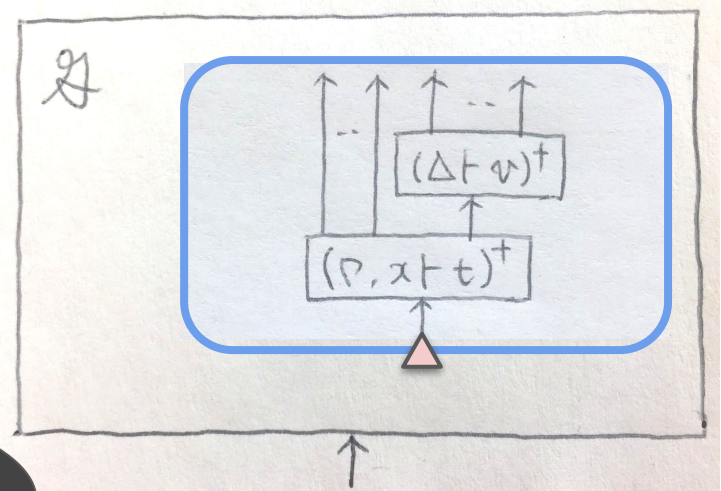
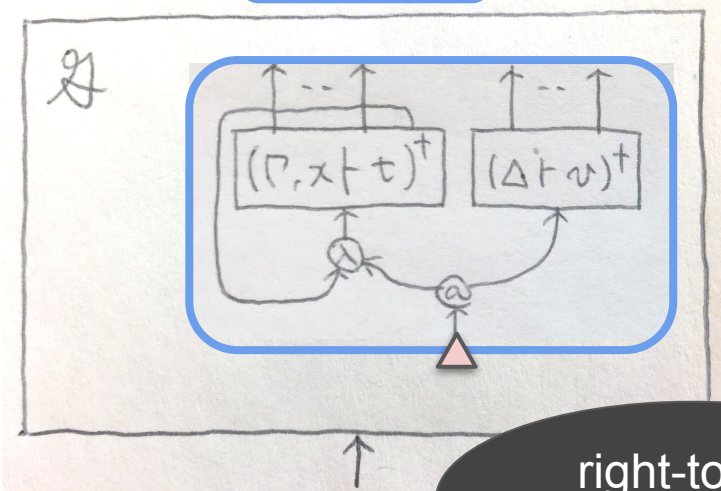
Case study: linear λ -calculus + "linear" recursion

3. visiting the hole (1/1)

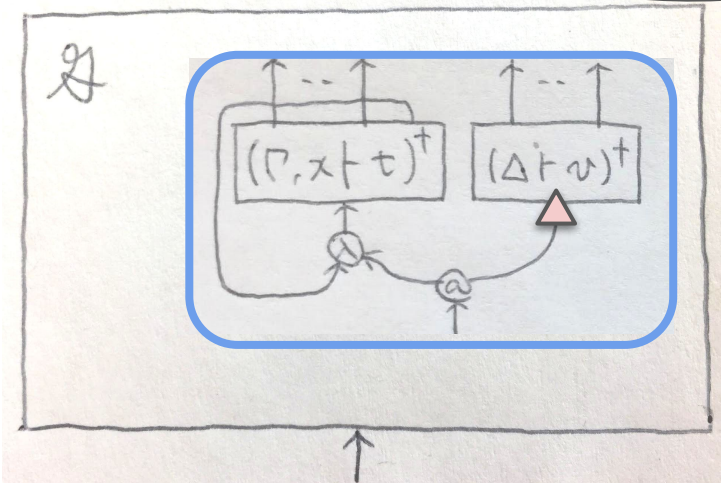


Case study: linear λ -calculus + "linear" recursion

3. visiting the hole (1/1)

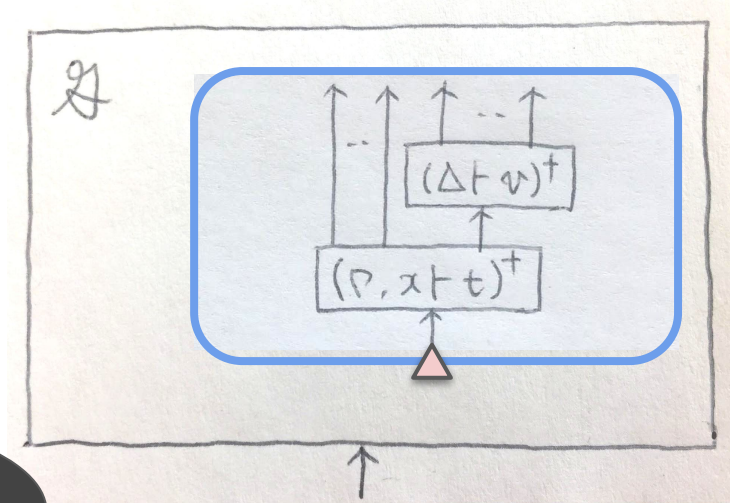
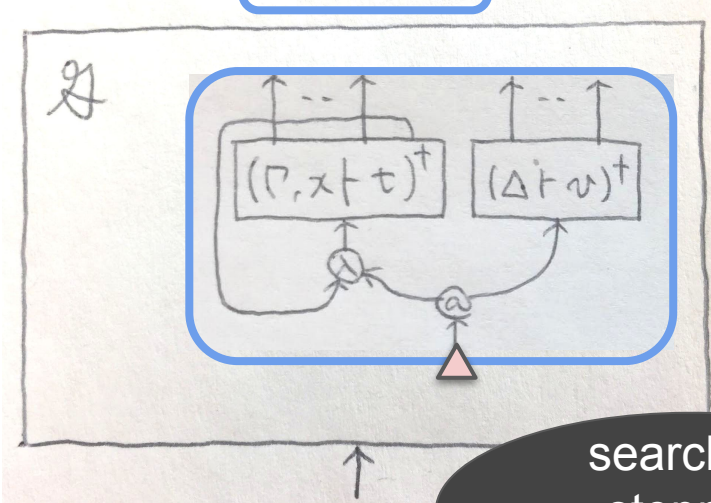


right-to-left
call-by-value

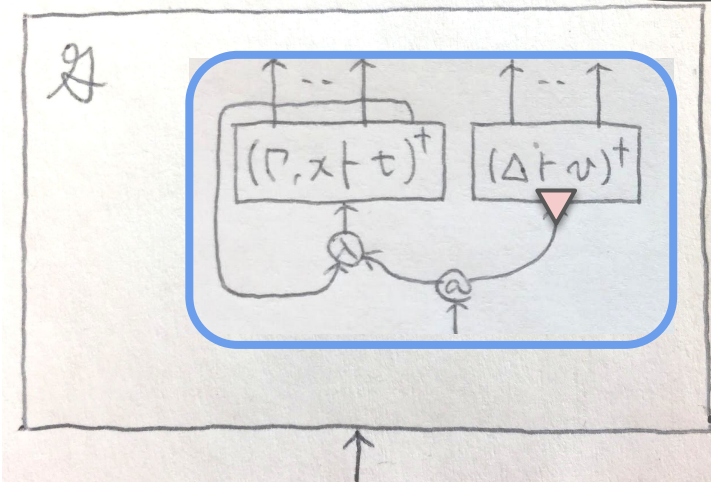


Case study: linear λ -calculus + "linear" recursion

3. visiting the hole (1/1)

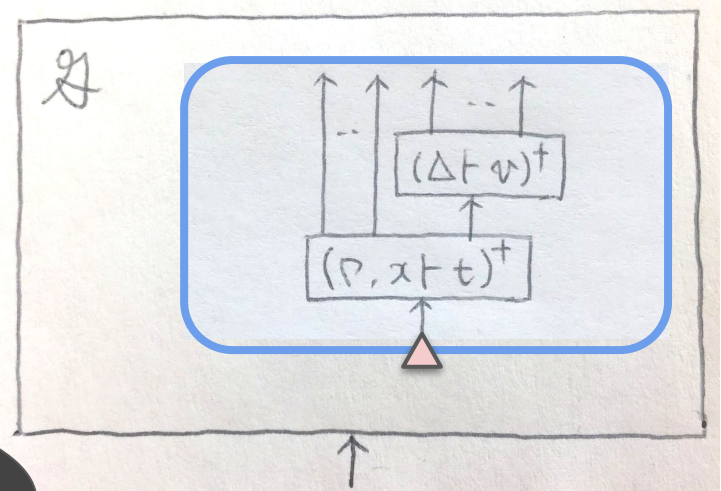
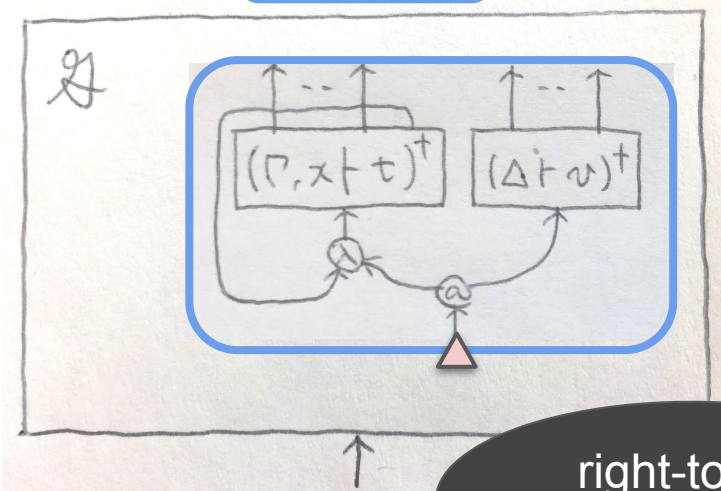


↓₂

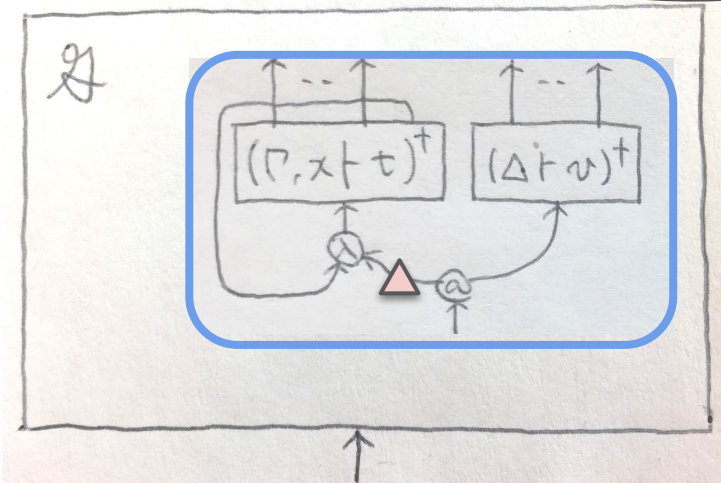


Case study: linear λ -calculus + "linear" recursion

3. visiting the hole (1/1)

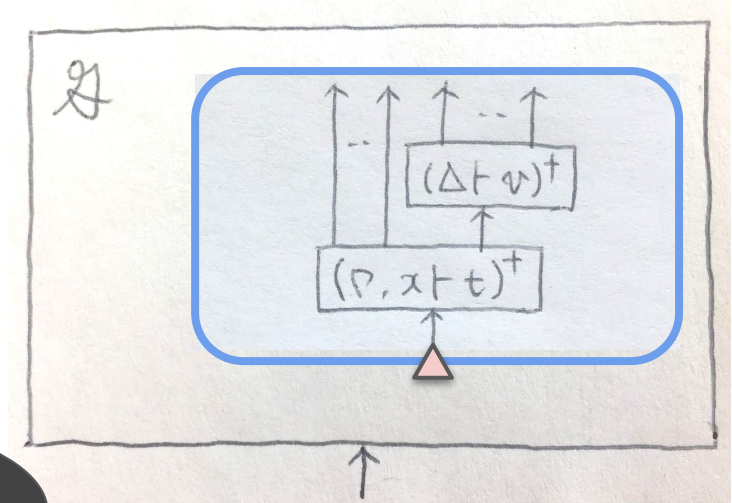
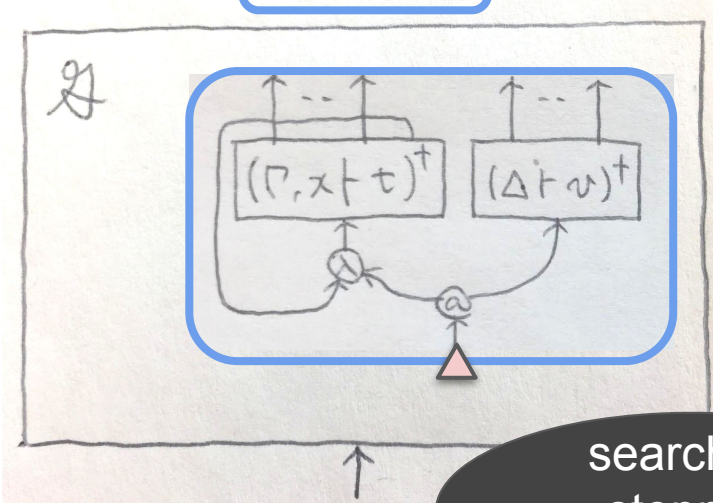


right-to-left
call-by-value

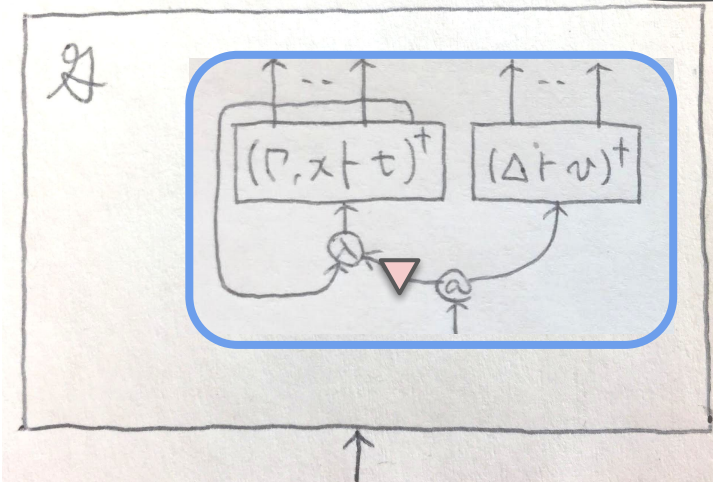


Case study: linear λ -calculus + "linear" recursion

3. visiting the hole (1/1)

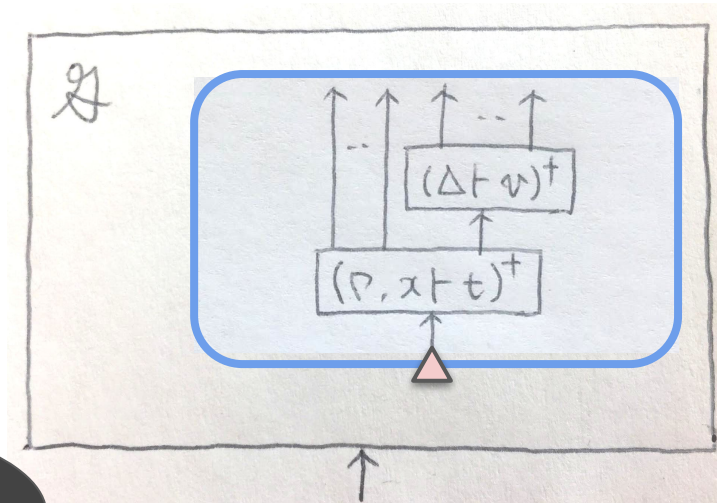
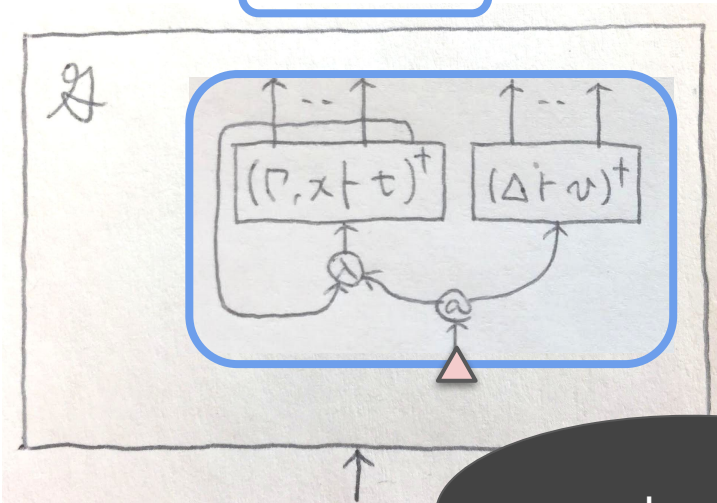


↓ 4



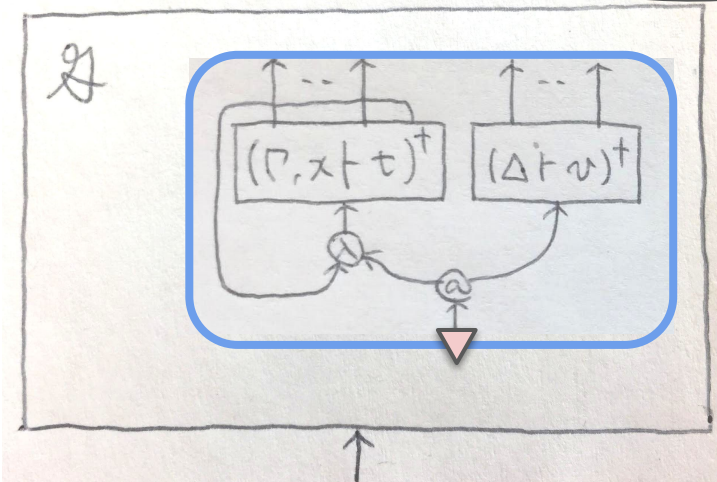
Case study: linear λ -calculus + "linear" recursion

3. visiting the hole (1/1)



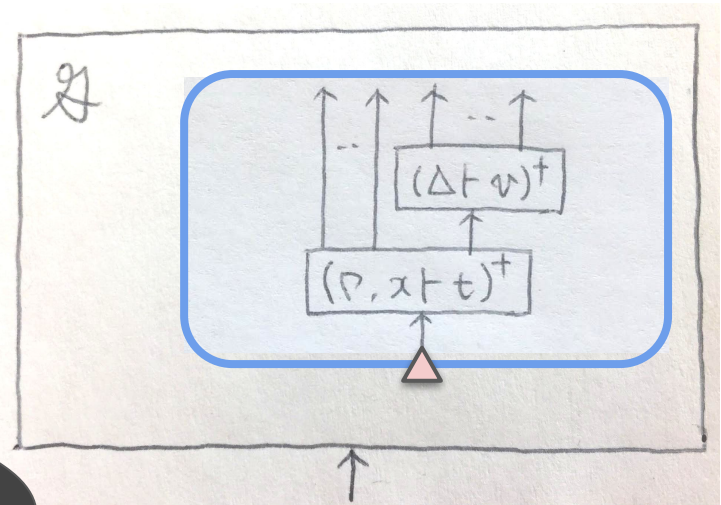
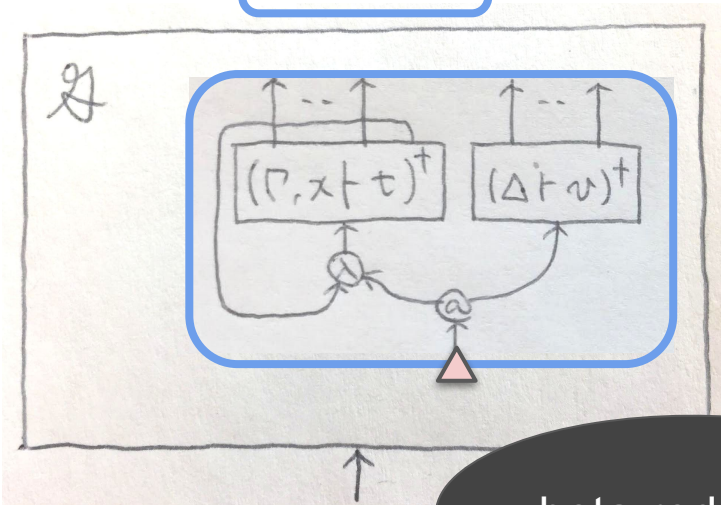
a redex found

↓ 5



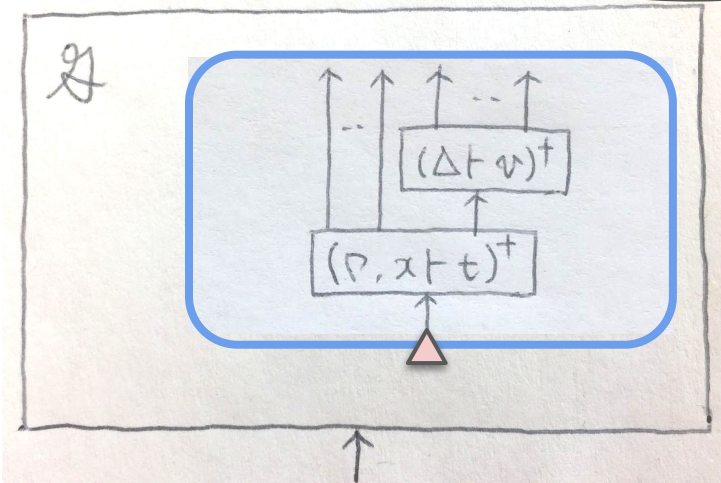
Case study: linear λ -calculus + "linear" recursion

3. visiting the hole (1/1)



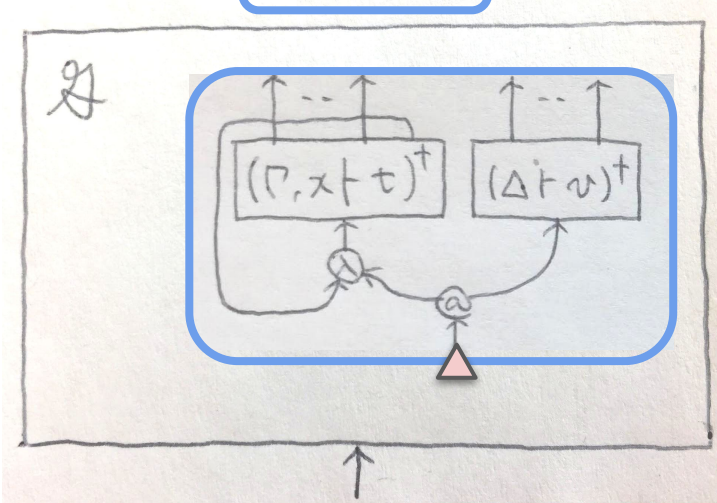
beta-reduction

↓ 6

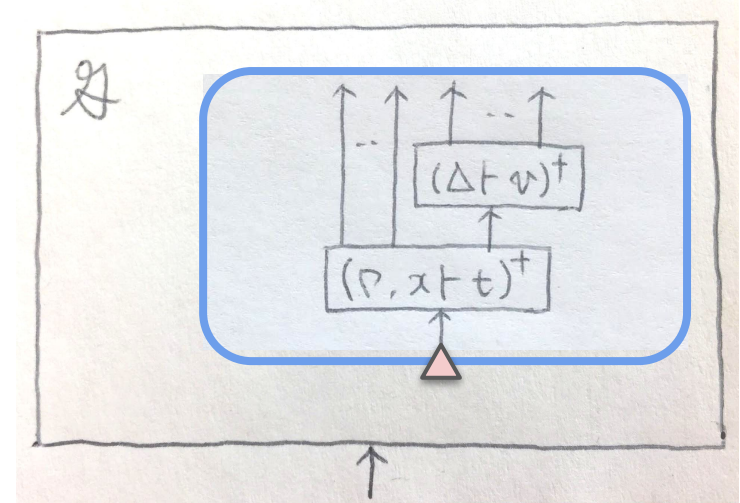


Case study: linear λ -calculus + "linear" recursion

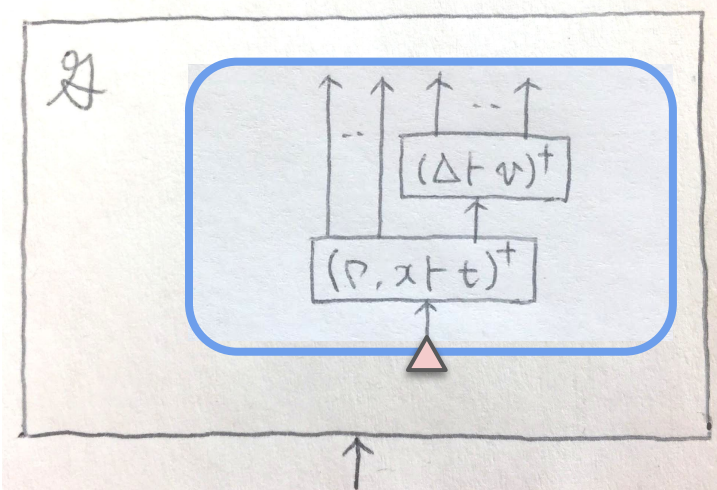
3. visiting the hole (1/1)



$\downarrow 6$



$\downarrow 0$



Case study: linear λ -calculus + “linear” recursion

3. visiting **the hole** (1 case)

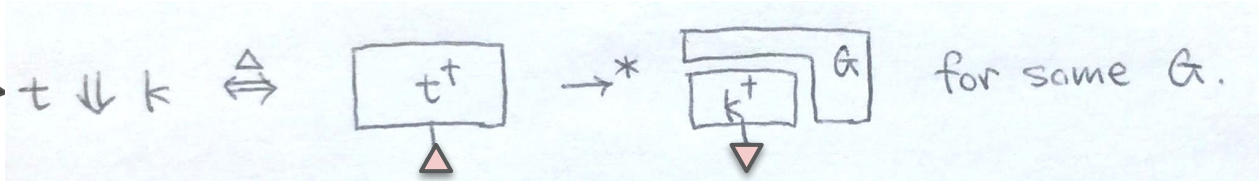
observation: **the hole** is reduced

Case study: linear λ -calculus + "linear" recursion

$t ::= x \mid \lambda x.t \mid t t \mid k \mid \mu x.t$
 $v ::= x \mid \lambda x.t \mid k$

Given operational semantics:

closed term



define the contextual equivalence by:

$t \simeq t' \iff \forall C \text{ s.t. } C[t] \text{ and } C[t'] \text{ are closed,}$
 $C[t] \Downarrow k \iff C[t'] \Downarrow k'$
 Moreover, $k = k'$

same basic constants

prove the beta-law by step-wise reasoning:

$$(\lambda x.t) v \simeq t[v/x]$$

and observe *some sufficient condition*.

Case study: linear λ -calculus + “linear” recursion

... **prove** the beta-law *by step-wise reasoning*,

and **observe** *that*:

1. *redex searching* only inspects one node at each step
2. *rewriting* preserves, duplicates or simply reduces a beta-redex.
3. *rewriting* is “history-free”.

Case studies so far

... **prove** the beta-law *by step-wise reasoning*,

and **observe** *that*:

1. *redex searching* only inspects one node at each step
2. *rewriting* preserves, duplicates or simply reduces a beta-redex.
3. *rewriting* is “history-free”.

- ✓ untyped pure λ -calculus
- ✓ basic operations, recursion, if-statement
- ✓ control operators: call/cc, shift/reset
- algebraic effects & handlers

method needs
to be slightly
adjusted

Question

Given an extension of untyped λ -calculus,
what *operational-semantic property* of the extension
validates the call-by-value beta-law?

Answer?

A formal answer is yet to be stated...

But a *graph-rewriting perspective* provides:

- a useful & robust method
- key observations