

work in
progress

A Graph-Rewriting Perspective of the Beta-Law

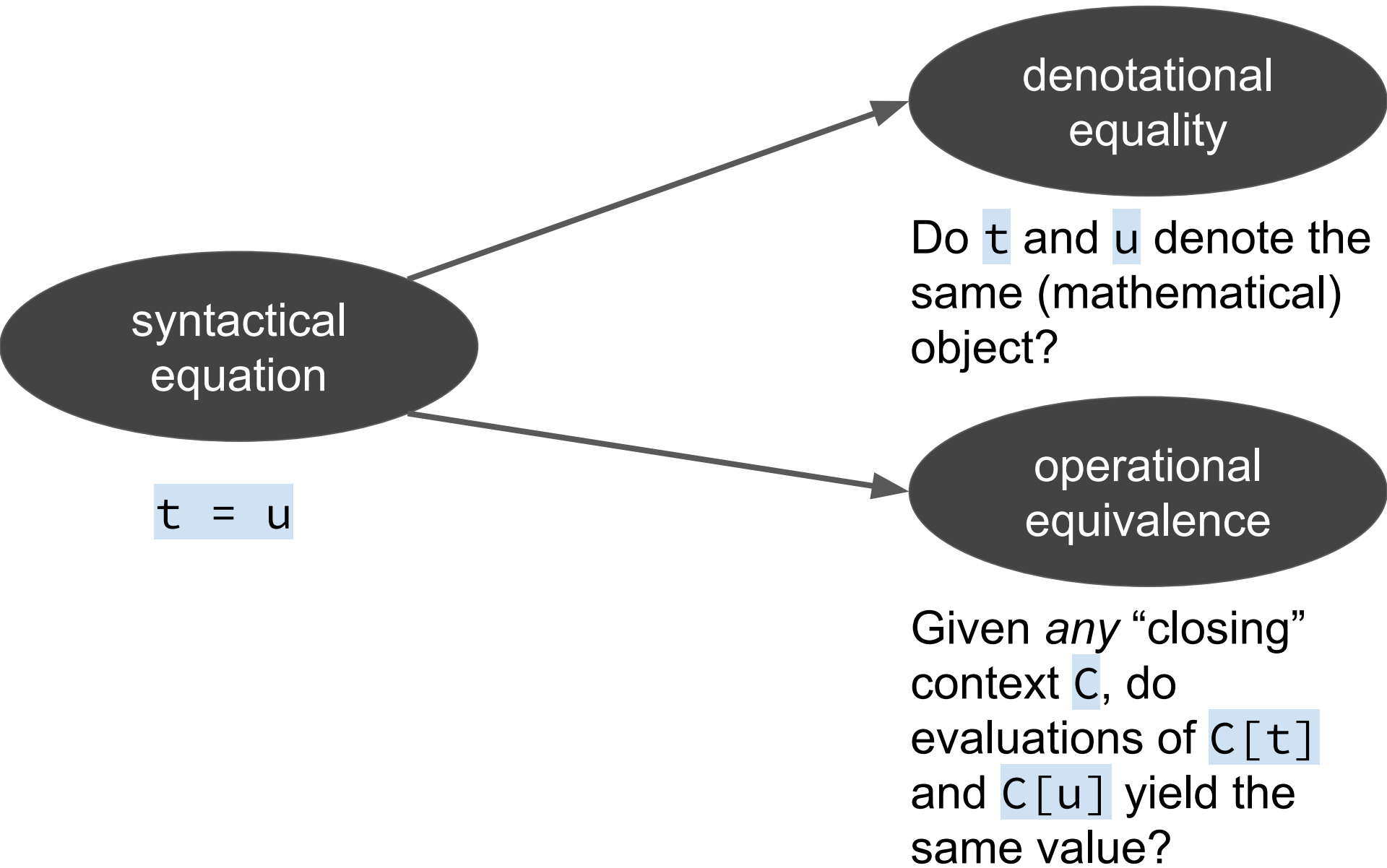
Dan R. Ghica

Todd Waugh Ambridge
(University of Birmingham)

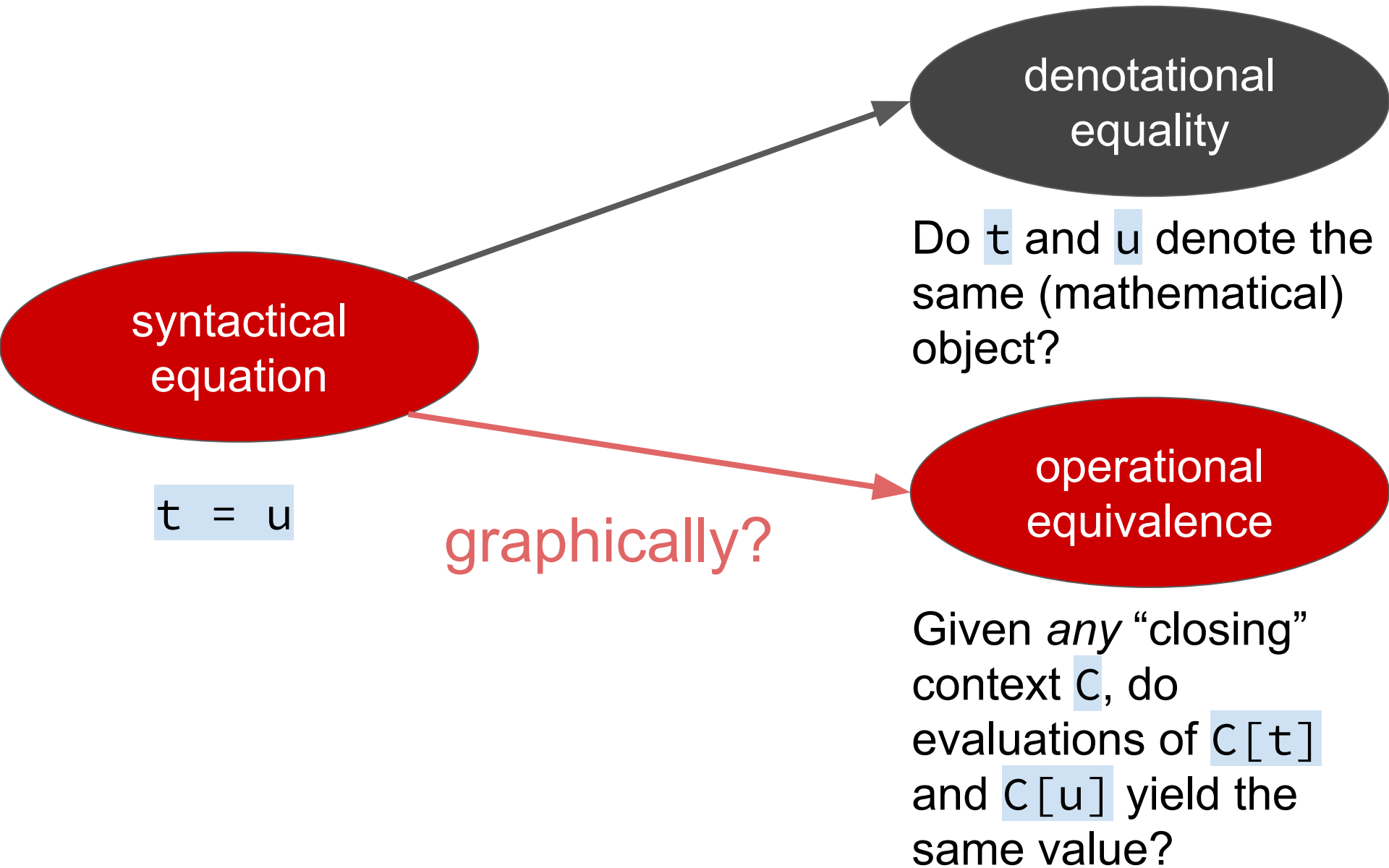
Koko Muroya

(University of Birmingham
& RIMS, Kyoto University)

Equivalence of programs



Equivalence of programs



call-by-value
equational
theory

[Plotkin '75]

contextual
(operational)
equivalence

call-by-value
equational
theory

[Plotkin '75]

contextual
(operational)
equivalence

$t ::= x \mid \lambda x. t \mid t t \mid c \mid f$
 $v ::= x \mid \lambda x. t \mid c \mid f$

$\llbracket \dots \rrbracket : \{ \text{function constants} \} \times \{ \text{basic constants} \}$
 $\rightarrow \{ \text{closed values} \}$

$$\frac{}{\lambda x. M =_v \lambda y. M[y/x]} \alpha$$

$$\frac{}{(\lambda x. t) v =_v t[v/x]} \beta$$

$$\frac{}{f c =_v \llbracket f, c \rrbracket} \delta$$

$$\frac{t =_v u}{c[t] =_v c[u]} \text{Cong}$$

$$\frac{}{t =_v t} \text{Refl}$$

$$\frac{t =_v u}{u =_v t} \text{Symm}$$

$$\frac{t_1 =_v t_2 \quad t_2 =_v t_3}{t_1 =_v t_3} \text{Trans}$$

call-by-value
equational
theory

[Plotkin '75]

contextual
(operational)
equivalence

$t \cong_v u \stackrel{\Delta}{\Leftrightarrow} \forall C \text{ s.t. } C[t] \text{ and } C[u] \text{ are closed,}$
 $\text{Eval}_v(C[t])$ is defined \Leftrightarrow $\text{Eval}_v(C[u])$ is defined
Moreover, $\text{Eval}_v(C[t]) = \text{Eval}_v(C[u])$
if $\text{Eval}_v(C[t])$ or $\text{Eval}_v(C[u])$ is
a basic constant

SECD machine

call-by-value
equational
theory

soundness
[Plotkin '75]

contextual
(operational)
equivalence

$t ::= x \mid \lambda x. t \mid t t \mid c \mid f$
 $v ::= x \mid \lambda x. t \mid c \mid f$

$\frac{}{\lambda x. M =_v \lambda y. M[y/x]} \alpha$ $\frac{}{(\lambda x. t) v =_v t[v/x]} \beta$ $\frac{}{f c =_v [f, c]} \delta$
 $\frac{t =_v u}{c[t] =_v c[u]} \text{Cong}$ $\frac{}{t =_v t} \text{Refl}$ $\frac{t =_v u}{u =_v t} \text{Symm}$ $\frac{t_1 =_v t_2 \quad t_2 =_v t_3}{t_1 =_v t_3} \text{Trans}$

$t \cong_v u \iff \forall c \text{ s.t. } c[t] \text{ and } c[u] \text{ are closed,}$
 $\text{Eval}_v(c[t]) \text{ is defined} \iff \text{Eval}_v(c[u]) \text{ is defined}$
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SECD
machine

call-by-value
equational
theory

graphically

contextual
(operational)
equivalence

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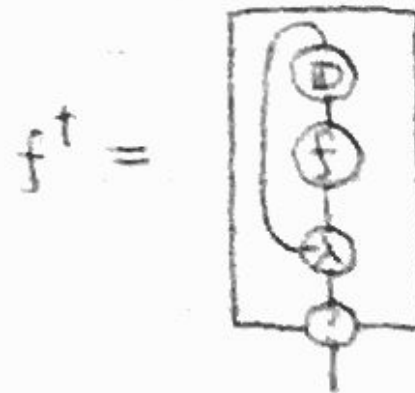
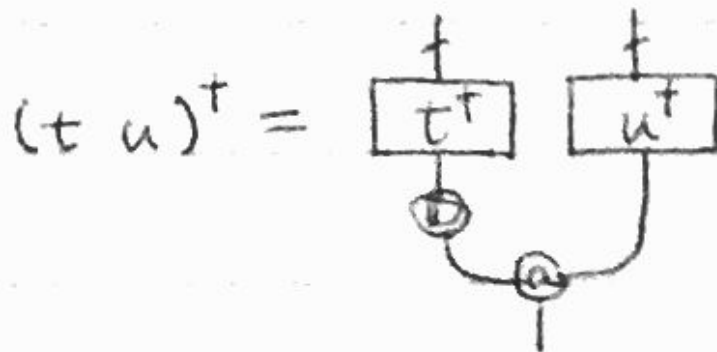
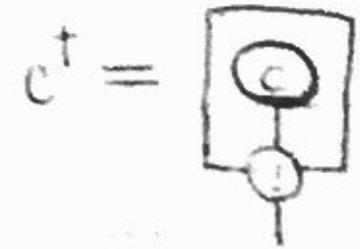
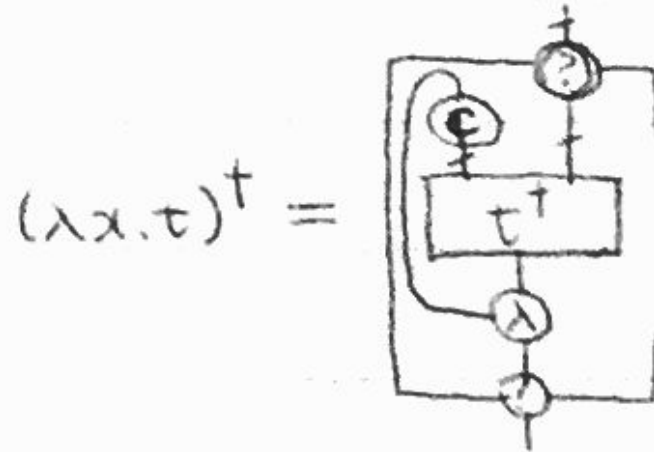
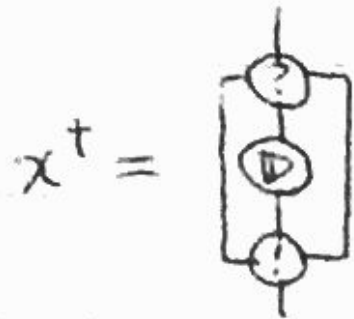
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graph-rewriting
machine

call-by-value
graph-equational
theory

graphically

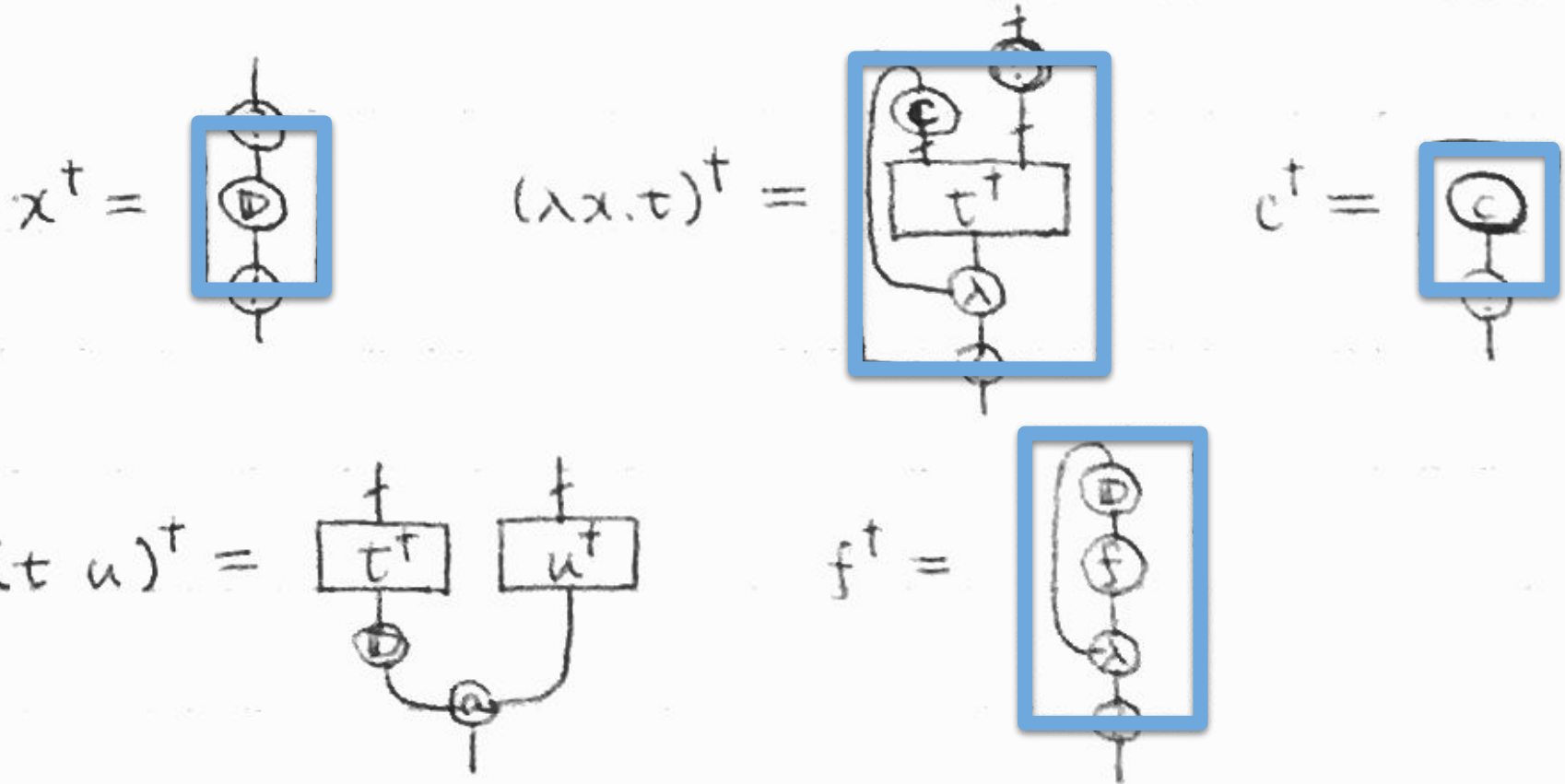
contextual
(operational)
equivalence



call-by-value
graph-equational
theory

graphically

contextual
(operational)
equivalence

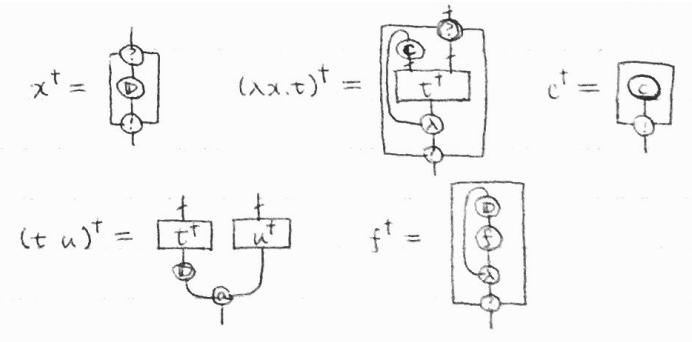


all and only values are duplicable

call-by-value
graph-equational
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(operational)
equivalence



$$\frac{}{\lambda x. M =_v \lambda y. M[y/x]} \alpha$$

$$\frac{}{(\lambda x.t) v =_v t[v/x]} \beta$$

$$\frac{}{f c =_v [[f, c]]} \delta$$

$$\frac{t =_v u}{c[t] =_v c[u]} \text{Cong}$$

$$\frac{}{t =_v t} \text{Refl}$$

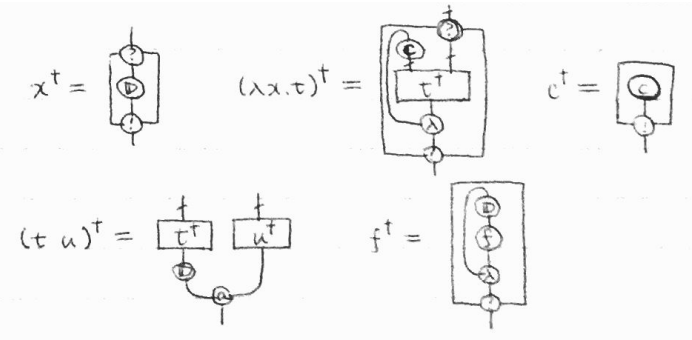
$$\frac{t =_v u \quad u =_v t}{t =_v t} \text{Symm}$$

$$\frac{t_1 =_v t_2 \quad t_2 =_v t_3}{t_1 =_v t_3} \text{Trans}$$

call-by-value
graph-equational
theory

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contextual
(operational)
equivalence



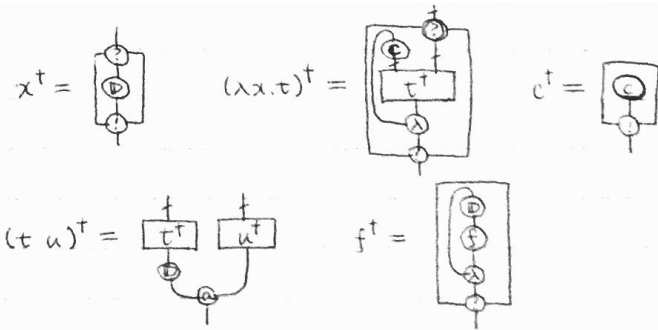
alpha-law: trivial
beta-law: refined
(cf. explicit substitution)

$$\begin{array}{c}
 \frac{}{\lambda x. M =_v \lambda y. M[y/x]} \alpha \quad \frac{}{(\lambda x. t) v =_v t[v/x]} \beta \quad \frac{}{f c =_v [[f, c]]} \delta \\
 \frac{t =_v u}{c[t] =_v c[u]} \text{Cong} \quad \frac{}{t =_v t} \text{Refl} \quad \frac{t =_v u \quad u =_v t}{t =_v t} \text{Symm} \quad \frac{t_1 =_v t_2 \quad t_2 =_v t_3}{t_1 =_v t_3} \text{Trans}
 \end{array}$$

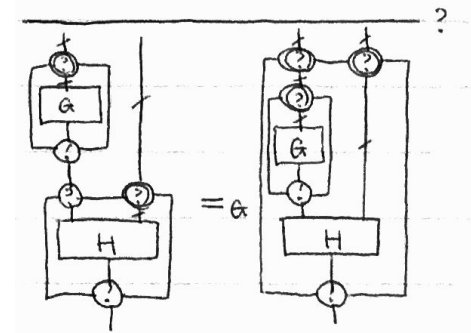
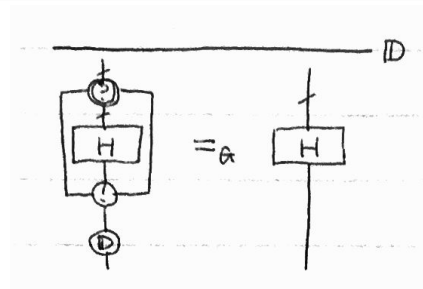
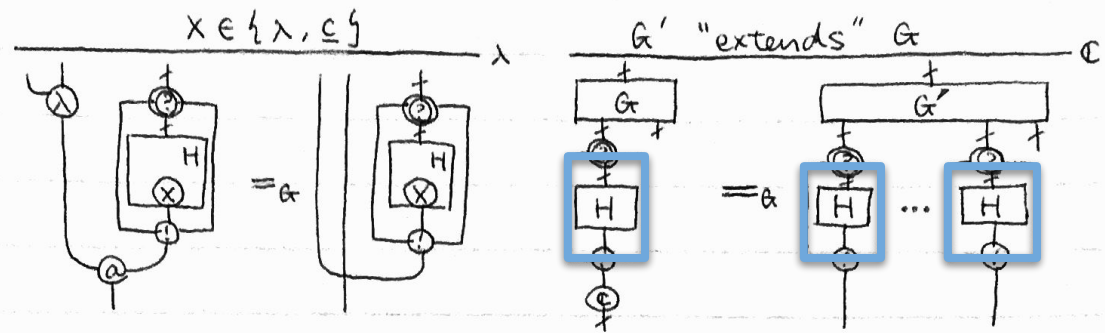
call-by-value
graph-equational
theory

graphically

contextual
(operational)
equivalence



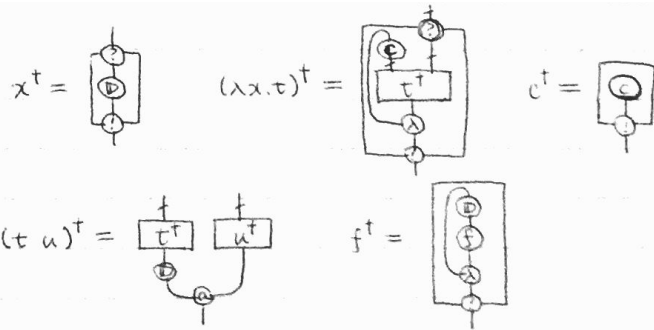
alpha-law: trivial
beta-law: refined
(cf. explicit substitution)



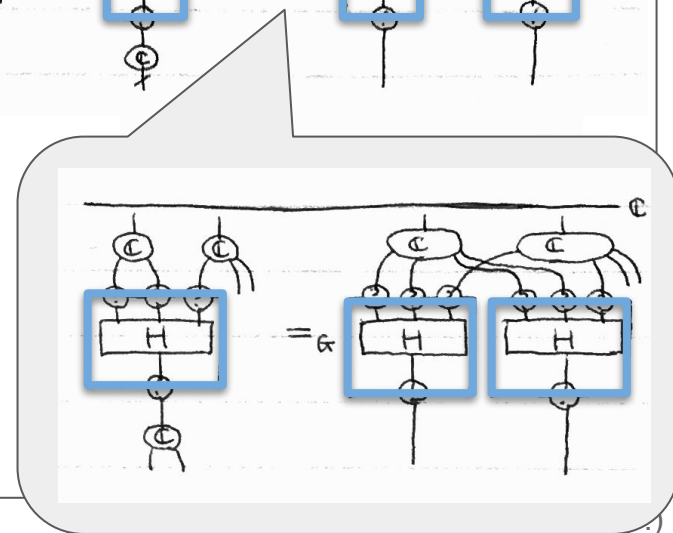
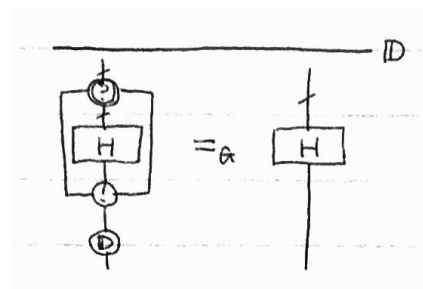
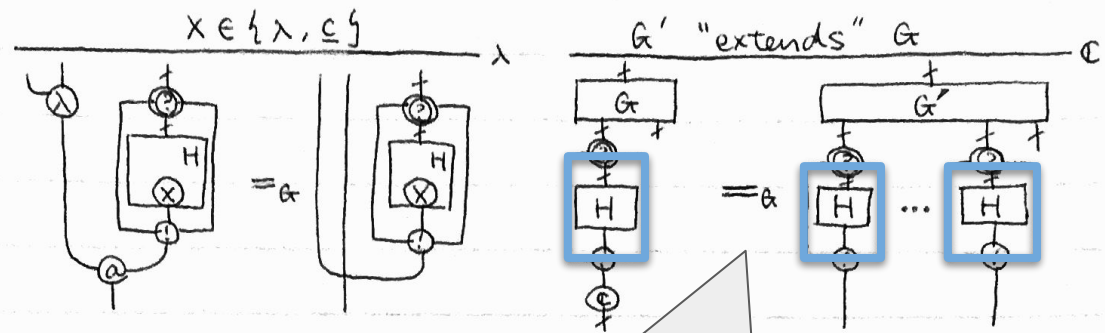
call-by-value
graph-equational
theory

graphically

contextual
(operational)
equivalence



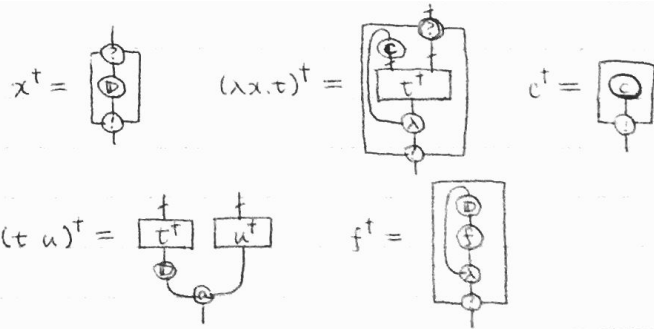
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call-by-value
graph-equational
theory

graphically

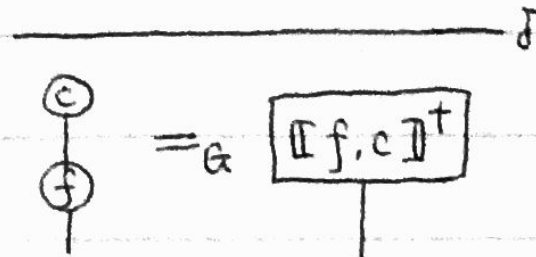
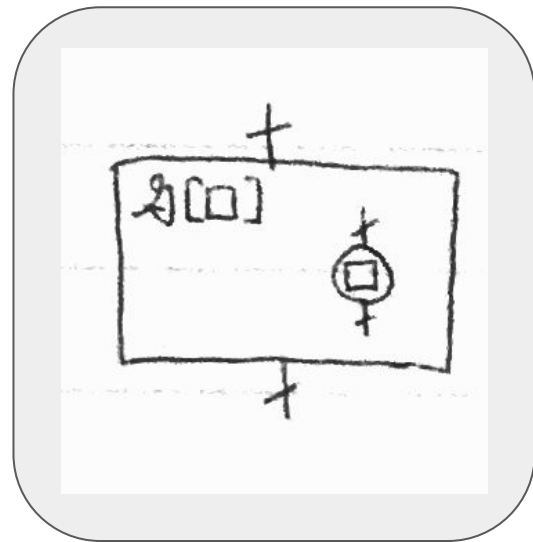
contextual
(operational)
equivalence



alpha-law: trivial
beta-law: refined
(cf. explicit substitution)

$$\frac{}{G =_{\alpha} G} \text{Refl} \quad \frac{G =_{\alpha} H}{H =_{\alpha} G} \text{Symm} \quad \frac{G_1 =_{\alpha} G_2 \quad G_2 =_{\alpha} G_3}{G_1 =_{\alpha} G_3} \text{Trans}$$

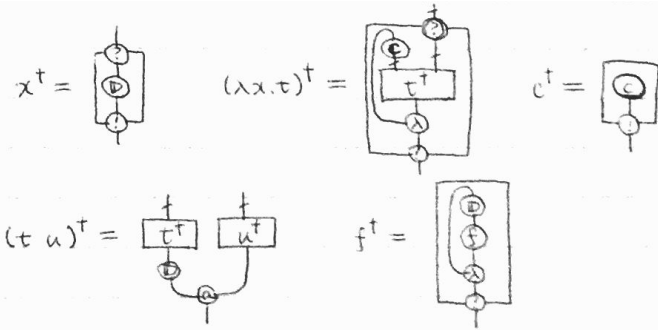
$$\frac{G =_{\alpha} H \quad \mathcal{A}[\square] \text{ "matches" } G \text{ and } H}{\mathcal{A}[G] =_{\alpha} \mathcal{A}[H]} \text{Cong}$$



call-by-value
graph-equational
theory

graphically

contextual
(operational)
equivalence



alpha-law: trivial
beta-law: refined (cf. explicit substitution)

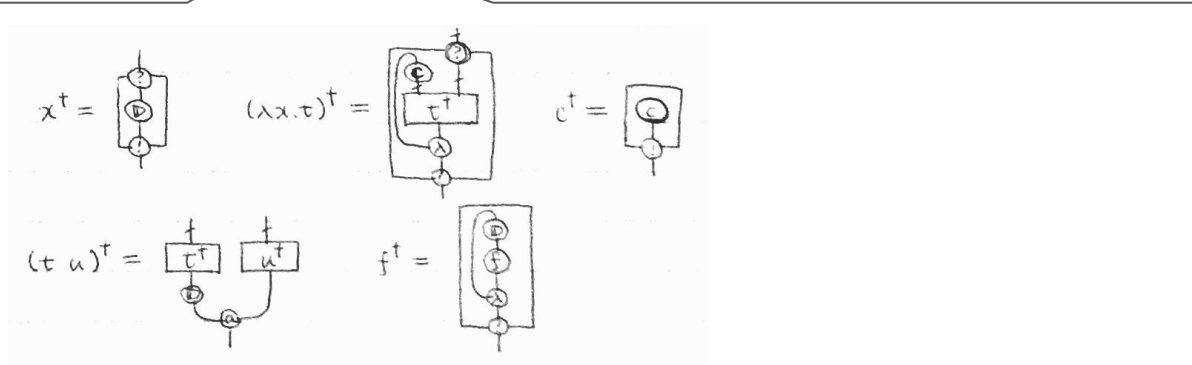
$t \cong_v u \iff \forall C \text{ s.t. } C[t] \text{ and } C[u] \text{ are closed,}$
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 Moreover, $\text{Eval}_v(C[t]) = \text{Eval}_v(C[u])$
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 a basic constant

SECD
machine

call-by-value
graph-equational
theory

graphically

graph-contextual
(operational)
equivalence



alpha-law: trivial

beta-law: refined (cf. explicit substitution)

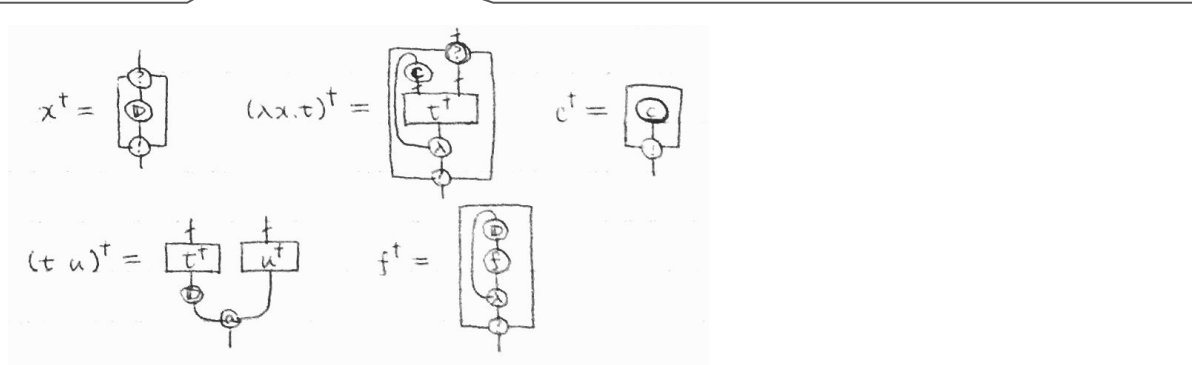
$G \cong_{\alpha} H \iff \forall \mathcal{A}[\square] \text{ s.t. } \boxed{\mathcal{A}[G]} \text{ and } \boxed{\mathcal{A}[H]}$
 $\underline{\text{Eval}_{\alpha}(\mathcal{A}[G])}$ is defined $\iff \text{Eval}_{\alpha}(\mathcal{A}[H])$ is defined
 Moreover, if defined, $\text{Eval}_{\alpha}(\mathcal{A}[G]) = \text{Eval}_{\alpha}(\mathcal{A}[H])$

graph-rewriting
machine

call-by-value
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theory

graphically

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equivalence



alpha-law: trivial

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 Moreover, if defined, $\text{Eval}_{\alpha}(\mathcal{A}[G]) = \text{Eval}_{\alpha}(\mathcal{A}[H])$

dGol
machine

SECD machine

$\langle \square, \phi, t, \square \rangle$



- stack of closures
- environment
- control string
- dump

t : program

dGol machine

$\langle \boxed{t^\dagger}, \square, \star:\square, \star:\square \rangle$



- graph
- evaluation control (“*token*”)
- rewriting flag
- computation stack
- box stack

SECD machine

dGol machine

t : program

$\langle \square, \phi, t, \square \rangle$

$\langle \boxed{t^\dagger}, \square, \star:\square, \star:\square \rangle$

\Downarrow
⋮

\Downarrow
⋮

$\langle \langle u, E \rangle, \phi, \square, \square \rangle$

$\langle \boxed{H}, \square, \kappa:\square, !:\square \rangle$



SECD machine

dGol machine

t : program

$\langle \square, \phi, t, \square \rangle$

$\langle \boxed{t^\dagger}, \square, \star:\square, \star:\square \rangle$

\Downarrow
⋮

\Downarrow
⋮

$\langle \langle u, E \rangle, \phi, \square, \square \rangle$

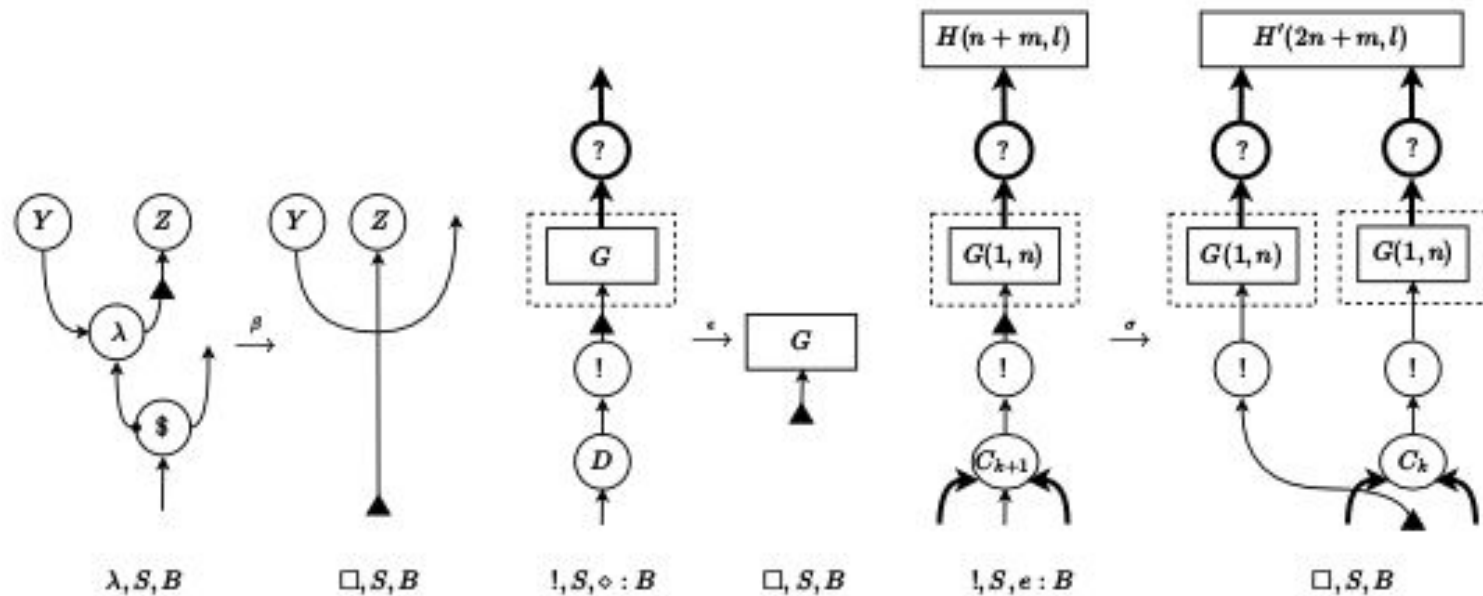
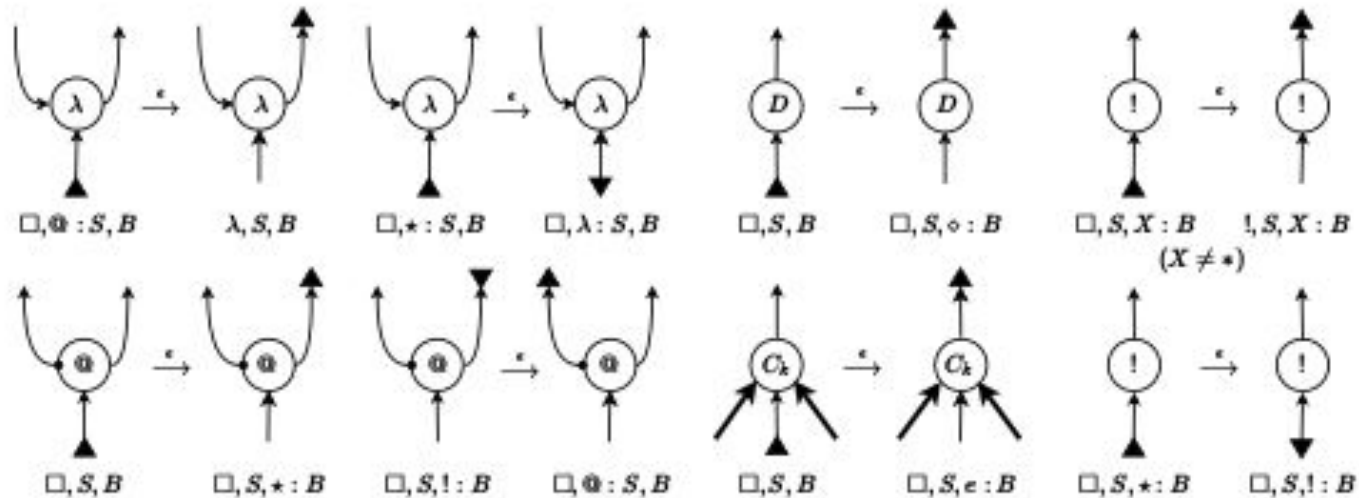
$\langle \boxed{H}, \square, \kappa:\square, !:\square \rangle$

$\kappa ::= \lambda \mid c$

$\text{Eval}_v(t) := \text{Subst}(u, E)$

$\text{Eval}_g(t) := \kappa$

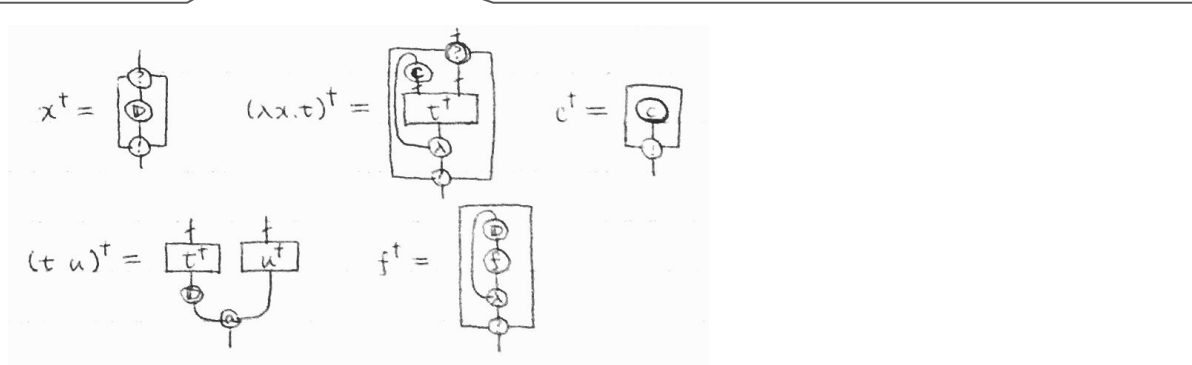
dGol-machine transitions



call-by-value
graph-equational
theory

graphically

graph-contextual
(operational)
equivalence



alpha-law: trivial

beta-law: refined (cf. explicit substitution)

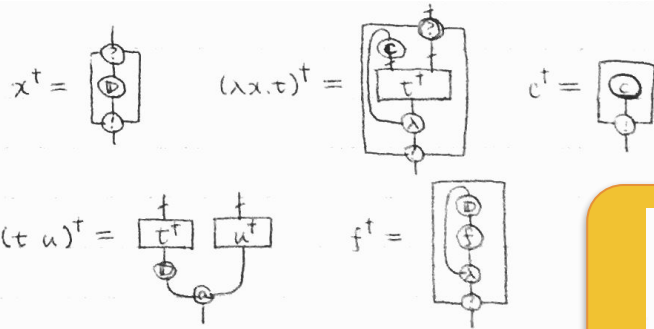
$G \cong_{\alpha} H \iff \forall \mathcal{A}[\square] \text{ s.t. } \boxed{\mathcal{A}[G]} \text{ and } \boxed{\mathcal{A}[H]}$
 $\underline{\text{Eval}_{\alpha}(\mathcal{A}[G])}$ is defined \iff $\text{Eval}_{\alpha}(\mathcal{A}[H])$ is defined
 Moreover, if defined, $\text{Eval}_{\alpha}(\mathcal{A}[G]) = \text{Eval}_{\alpha}(\mathcal{A}[H])$

dGol
machine

call-by-value
graph-equational
theory

soundness
graphically

graph-contextual
(operational)
equivalence



$$G =_{\alpha} H \implies G \cong_{\alpha} H$$

alpha-law: trivial
beta-law: refined (cf. explicit substitution)

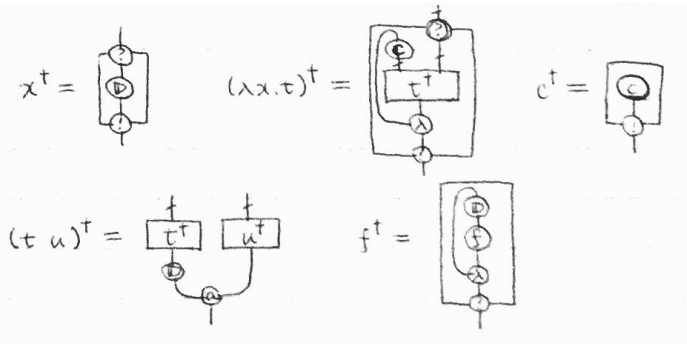
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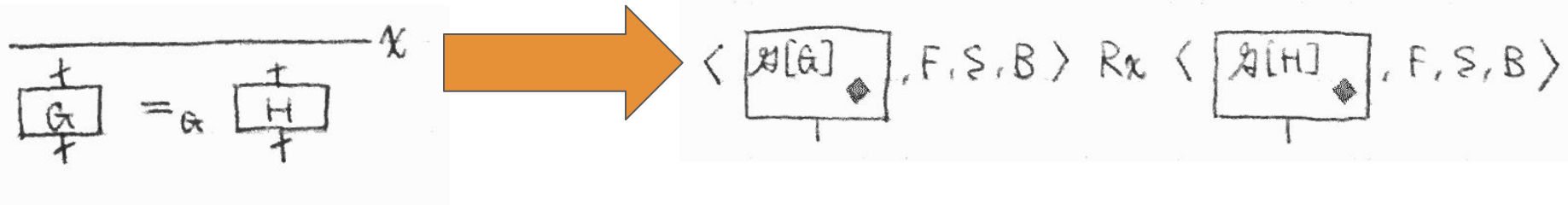
graph-contextual
(operational)
equivalence



alpha-law: trivial

beta-law: refined (cf. explicit substitution)

- lift an axiom to a binary relation on (dGol-machine) states

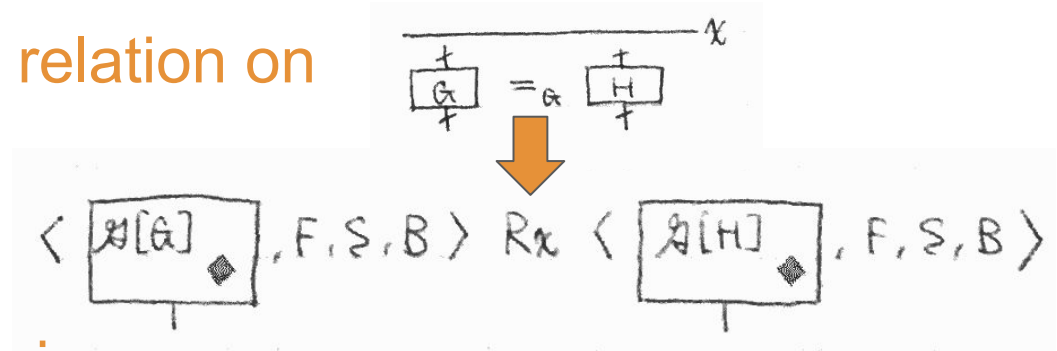


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equivalence

- lift an axiom to a binary relation on (dGol-machine) states



- show the binary relation is a “*U-simulation*”

R is a *U-simulation* \Leftrightarrow (1) if $\sigma_1 R \sigma_2$ and $\sigma_1 \rightarrow \sigma_1'$,

(i) $\exists \sigma_2', \sigma_2 \rightarrow \sigma_2'$ and $\sigma_1' R^* \sigma_2'$
OR
(ii) $\exists \sigma_1'', \sigma_1 \rightarrow \sigma_1' \rightarrow^* \sigma_1''$ and $\sigma_1'' R^* \sigma_2$

(2) if $\sigma_1 R \sigma_2$ and $\sigma_1 \nrightarrow$,

(i) $\sigma_2 \nrightarrow$
AND
(ii) σ_1 is final $\Leftrightarrow \sigma_2$ is final

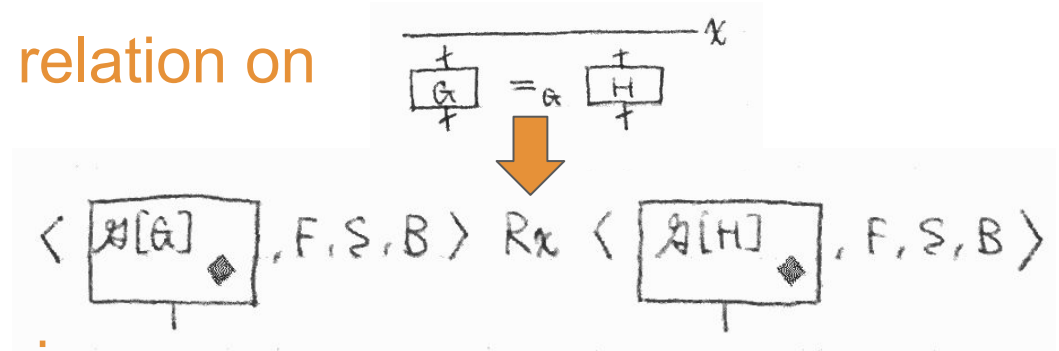
Prop. R_x is a *U-simulation* $\Rightarrow G \cong_\alpha H$

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- lift an axiom to a binary relation on (dGol-machine) states



- show the binary relation is a “*U-simulation*”

simulation

R is a U-simulation \Leftrightarrow (1) if $\sigma_1 R \sigma_2$ and $\sigma_1 \rightarrow \sigma_1'$,

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(ii) $\exists \sigma_1'', \sigma_1 \rightarrow \sigma_1' \rightarrow^* \sigma_1''$ and $\sigma_1'' R^* \sigma_2$

(2) if $\sigma_1 R \sigma_2$ and $\sigma_1 \nrightarrow$,

(i) $\sigma_2 \nrightarrow$

AND

(ii) σ_1 is final $\Leftrightarrow \sigma_2$ is final

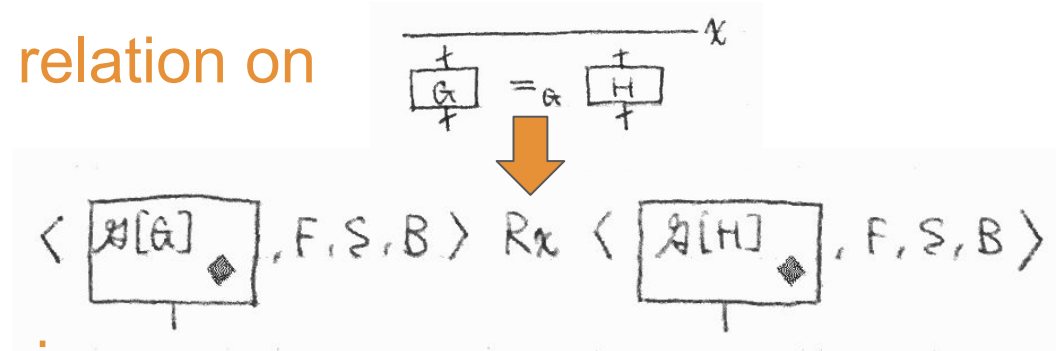
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- lift an axiom to a binary relation on (dGol-machine) states



- show the binary relation is a “*U-simulation*”

simulation

...until the difference is reduced

R is a U-simulation \Leftrightarrow (1) if $\sigma_1 R \sigma_2$ and $\sigma_1 \rightarrow \sigma_1'$,

(i) $\exists \sigma_2'$. $\sigma_2 \rightarrow \sigma_2'$ and $\sigma_1' R^* \sigma_2'$

(ii) $\exists \sigma_1''$. $\sigma_1 \rightarrow \sigma_1' \rightarrow^* \sigma_1''$ and $\sigma_1'' R^* \sigma_2$

(2) if $\sigma_1 R \sigma_2$ and $\sigma_1 \nrightarrow$,

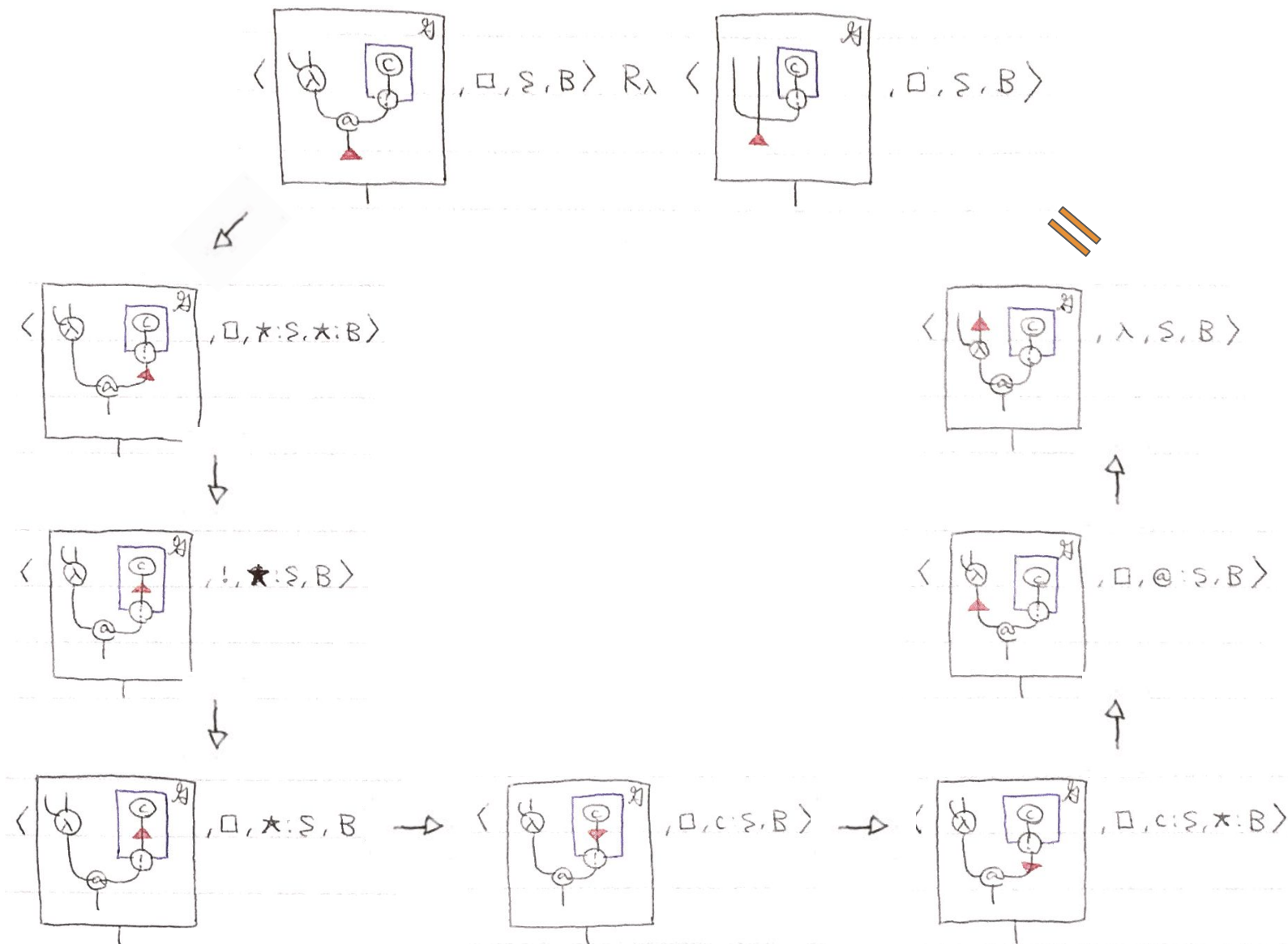
(i) $\sigma_2 \nrightarrow$

AND

(ii) σ_1 is final $\Leftrightarrow \sigma_2$ is final

Prop. R_x is a U-simulation $\Rightarrow G \cong_{\alpha} H$

“Until the difference is reduced”

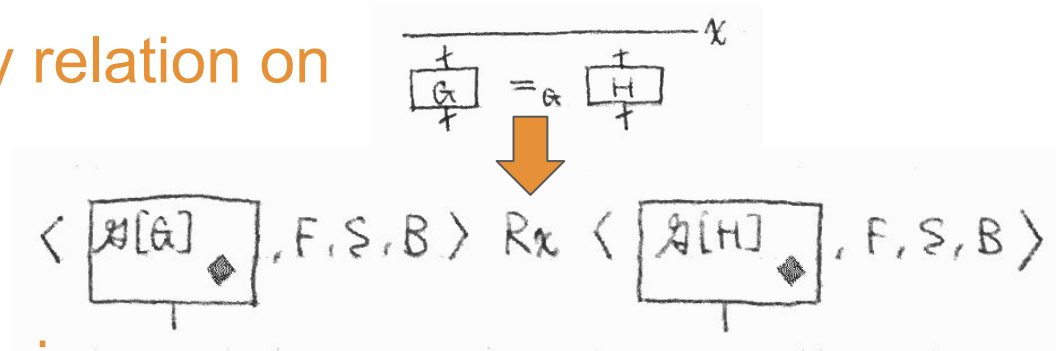


call-by-value
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- lift an **axiom** to a binary relation on (dGol-machine) states



- show the binary relation is a "*U-simulation*"

simulation

...until the **difference** is reduced

R is a U-simulation \Leftrightarrow (1) if $\sigma_1 R \sigma_2$ and $\sigma_1 \rightarrow \sigma_1'$,

(i) $\exists \sigma_2'$. $\sigma_2 \rightarrow \sigma_2'$ and $\sigma_1' R^* \sigma_2'$

(ii) $\exists \sigma_1''$. $\sigma_1 \rightarrow \sigma_1' \rightarrow^* \sigma_1''$ and $\sigma_1'' R^* \sigma_2$

(2) if $\sigma_1 R \sigma_2$ and $\sigma_1 \nrightarrow$,

(i) $\sigma_2 \nrightarrow$

AND

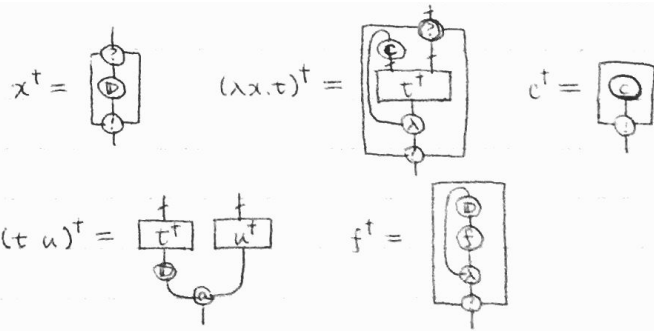
(ii) σ_1 is final $\Leftrightarrow \sigma_2$ is final

Prop. R_α is a U-simulation $\Rightarrow G \cong_\alpha H$

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$$G \stackrel{\alpha}{=} H \implies G \cong_{\alpha} H$$

modular proof using *U-simulations*

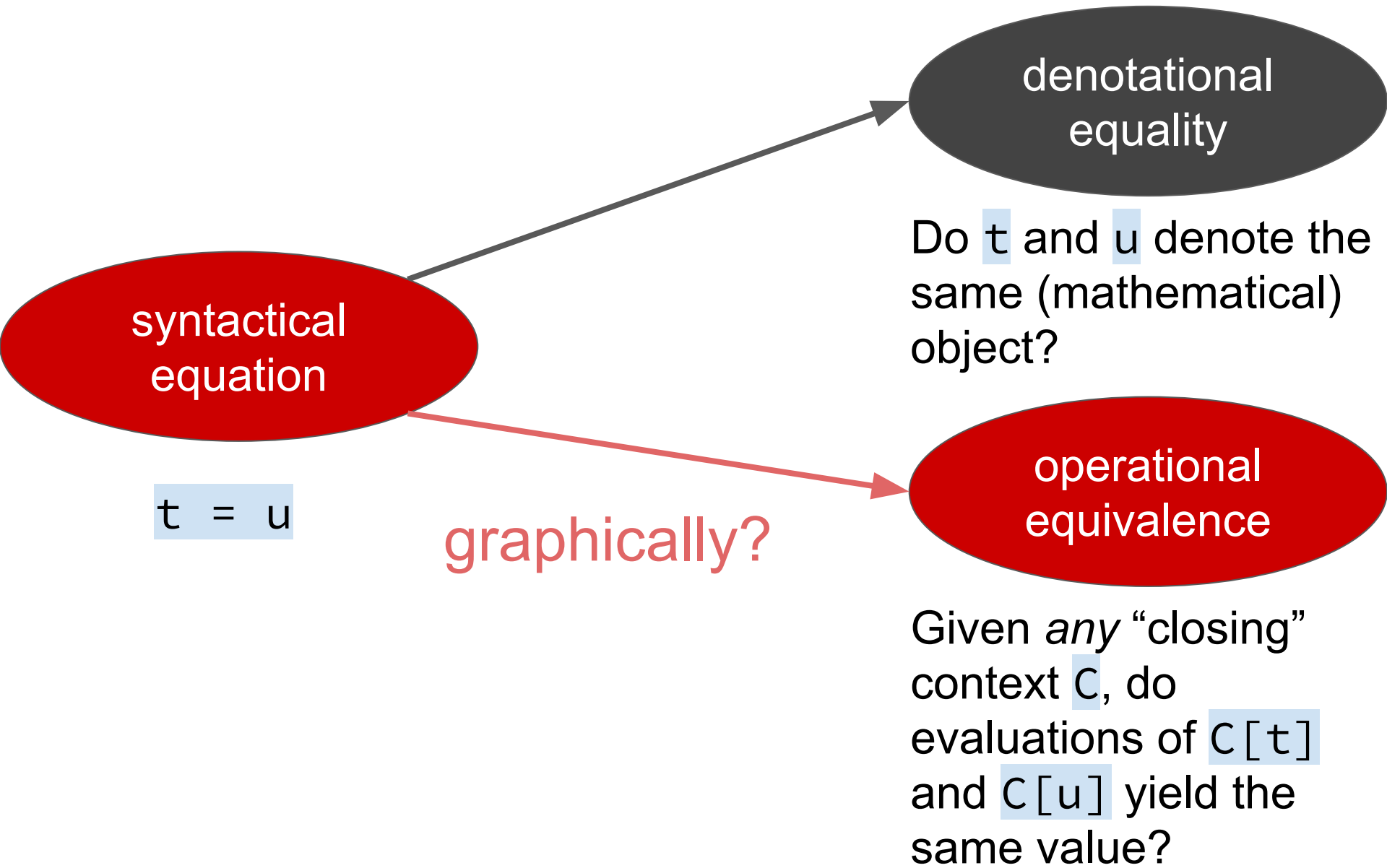
alpha-law: trivial

beta-law: refined (cf. explicit substitution)

$G \cong_{\alpha} H \iff \forall \mathcal{A}[\square] \text{ s.t. } \boxed{\mathcal{A}[G]} \text{ and } \boxed{\mathcal{A}[H]}$
 $\underline{\text{Eval}_{\alpha}(\mathcal{A}[G])}$ is defined $\iff \text{Eval}_{\alpha}(\mathcal{A}[H])$ is defined
 Moreover, if defined, $\text{Eval}_{\alpha}(\mathcal{A}[G]) = \text{Eval}_{\alpha}(\mathcal{A}[H])$

dGol
machine

Equivalence of programs



Equivalence of programs

syntactical
equation

$t = u$

graphically?

$G =_a H \implies G \cong_a H$

modular proof of soundness
using *U-simulations*

denotational
equality

Do t and u denote the
same (mathematical)
object?

operational
equivalence

Given *any* “closing”
context C , do
evaluations of $C[t]$
and $C[u]$ yield the
same value?

so what?

Equivalence of programs

syntactical
equation

$t = u$

graphically?

operational
equivalence

$G =_a H \implies G \cong_a H$

modular proof of soundness
using *U-simulations*

Given *any* “closing”
context C , do
evaluations of $C[t]$
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same value?

Equivalence of programs

syntactical
equation

$$t = u$$

graphically?

$$G =_a H \implies G \cong_a H$$

modular proof of soundness
using *U-simulations*

*related proof techniques:
logical relations
applicative bisimulations
environmental bisimulations...*

operational
equivalence

Given *any* “closing”
context C , do
evaluations of $C[t]$
and $C[u]$ yield the
same value?

Equivalence of programs

semantical criteria of primitive operations (function constants) to preserve beta-law?

syntactical equation

$t = u$

graphically?

operational equivalence

$G =_a H \implies G \cong_a H$

modular proof of soundness
using *U-simulations*

Given *any* “closing” context C , do evaluations of $C[t]$ and $C[u]$ yield the same value?

Equivalence of programs

syntactical
equation

$$t = u$$

graphically?

cost-sensitive equivalence?
(cf. [Schmidt-Schauss & Dallmeyer,
WPTE '17])

operational
equivalence

$$G =_a H \implies G \cong_a H$$

modular proof of soundness
using *U-simulations*

Given *any* “closing”
context C , do
evaluations of $C[t]$
and $C[u]$ yield the
same value?