

# On Extension of Parameterized Coinduction

Masaki Hara

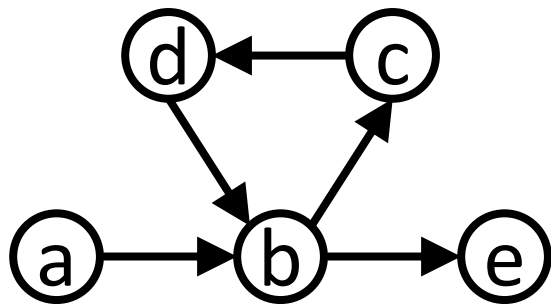
Department of Information Science, Faculty of Science, University of  
Tokyo

Background

# Formal Verification of (Co)Inductive Properties using Proof Assistants

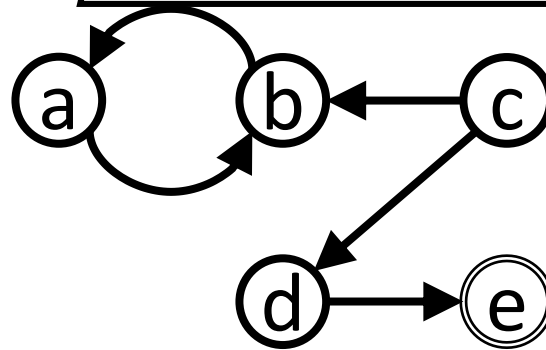
# Background

## Formal Verification of (Co)Inductive Properties using Proof Assistants



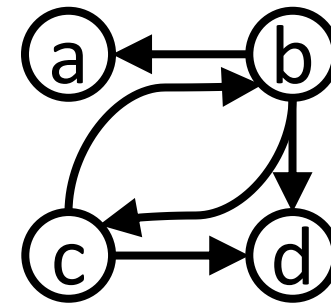
Infinite Path

$$\{a\} \subseteq \nu Z. \diamond Z$$



Reachability

$$\{c\} \subseteq \mu Z. \{e\} \cup \diamond Z$$



Bisimulation

$$\{(b, c)\} \subseteq \nu R. \text{sim}(R)$$

[Hur *et al.*, POPL '13]

Parameterized coinduction  
for coinductive properties

Parameterized induction  
for inductive properties

No-discovery characterization

How convenient is it?  
Mathematical characterization

Our  
contribution

[Hur *et al.*, POPL '13]

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# Complete lattice and monotone function

Def.

$(C, \sqsubseteq)$  : complete lattice *i.e.*

- $\forall A \subseteq C. \forall x \in C. x \sqsubseteq \bigcap A \Leftrightarrow (\forall y \in A. x \sqsubseteq y)$
- $\forall A \subseteq C. \forall x \in C. \bigcup A \sqsubseteq x \Leftrightarrow (\forall y \in A. y \sqsubseteq x)$
- $x \sqcup y = \bigcup \{x, y\}, \perp = \bigcup \emptyset$
- $x \sqcap y = \bigcap \{x, y\}, \top = \bigcap \emptyset$

$f : C \rightarrow C$  : monotone *i.e.*

- $\forall x, y \in C. x \sqsubseteq y \Rightarrow f(x) \sqsubseteq f(y)$

# Tarski coinduction

Def.

$\nu f = \max\{x \in C \mid x = f(x)\}$  greatest fixpoint

Lem.

$$f(\nu f) = \nu f$$

$$\forall x. x \sqsubseteq f(x) \Rightarrow x \sqsubseteq \nu f \quad (\text{Tarski})$$

Coq's built-in coinduction

# Parameterized coinduction

[Hur *et al.*, POPL '13]

Def.

$G_f(x) = \nu y. f(x \sqcup y)$       parameterized greatest fixpoint

Lem.

$\forall x, y \in C. y \sqsubseteq f(x \sqcup G_f(x)) \Rightarrow y \sqsubseteq G_f(x)$       (Unfolding)

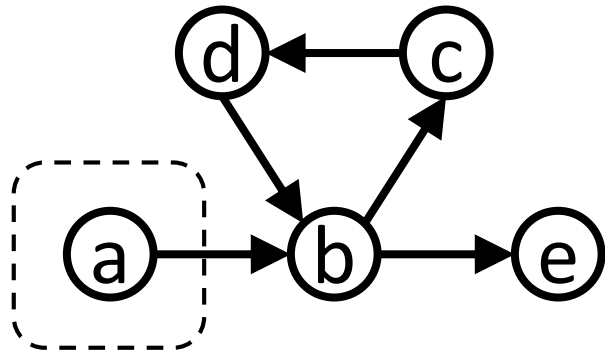
$\forall x, y \in C. y \sqsubseteq G_f(x \sqcup y) \Rightarrow y \sqsubseteq G_f(x)$       (Accumulation)

Implemented on Coq in [Hur *et al.*, POPL '13]



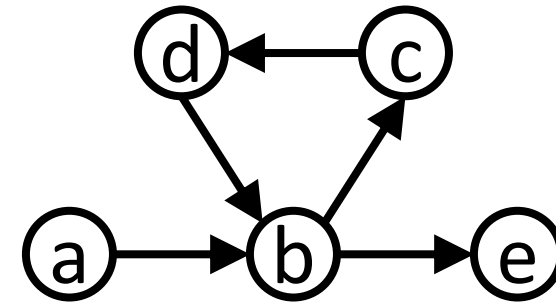
# Example

Tarski



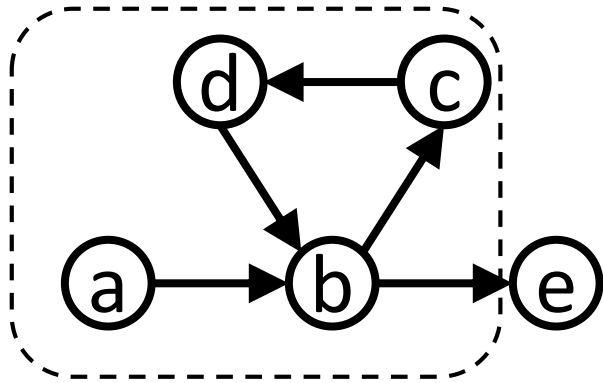
$$\{a\} \subseteq \nu Z. \diamond Z$$

PaCo



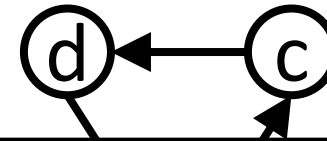
# Example

Tarski



$\{a\} \sqsubseteq vZ. \diamond Z$   
 $\Leftarrow \{a, b, c, d\} \sqsubseteq vZ. \diamond Z$

PaCo

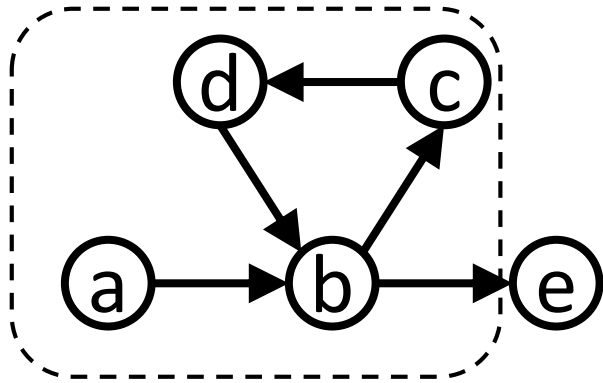


Transitivity

$x \sqsubseteq vf$   
 $\Leftarrow x \sqsubseteq x', x' \sqsubseteq vf$

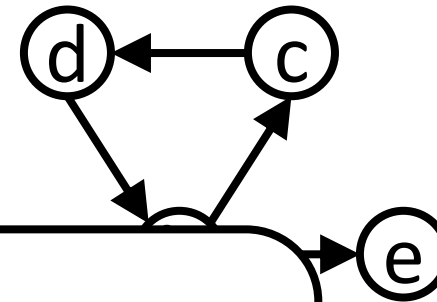
# Example

Tarski



$$\begin{aligned} & \{a\} \subseteq \nu Z. \diamond Z \\ \Leftarrow & \{a, b, c, d\} \subseteq \nu Z. \diamond Z \\ \Leftarrow & \{a, b, c, d\} \subseteq \diamond \{a, b, c, d\} \end{aligned}$$

PaCo

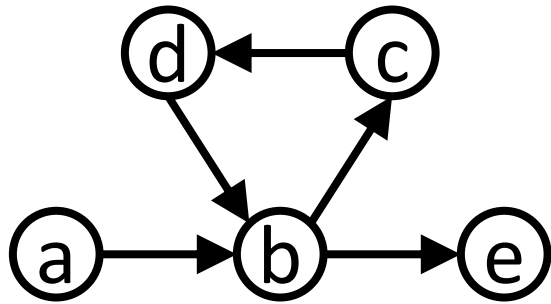


Tarski

$$\begin{aligned} & x \sqsubseteq \nu f \\ \Leftarrow & x \sqsubseteq f(x) \end{aligned}$$

# Example

Tarski



$$\{a\} \subseteq \nu Z. \diamond Z$$

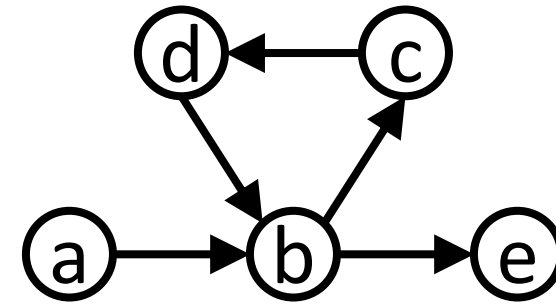
$$\Leftarrow \{a, b, c, d\} \subseteq \nu Z. \diamond Z$$

$$\Leftarrow \{a, b, c, d\} \subseteq \diamond \{a, b, c, d\}$$

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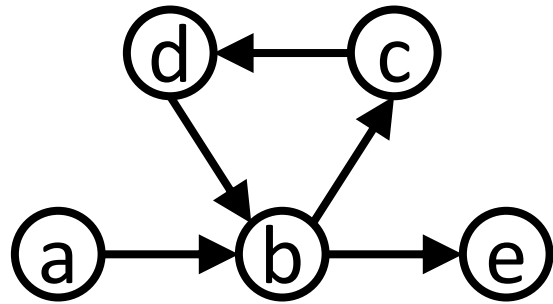
□

PaCo



# Example

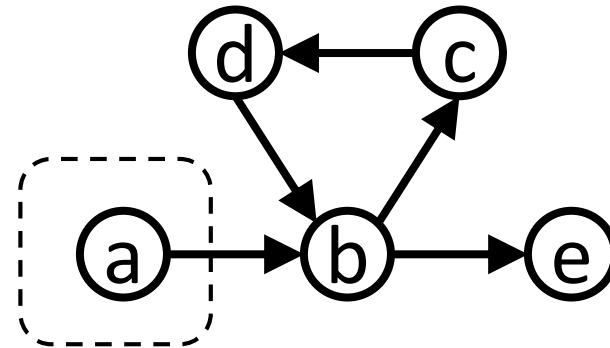
Tarski



$\{a\} \subseteq \nu Z. \diamond Z$   
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□

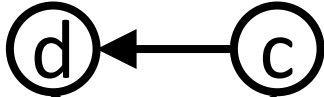
PaCo



$\{a\} \subseteq G_{\diamond}(\emptyset)$

# Example

Tarski



Unfolding

$$y \sqsubseteq G_f(x)$$

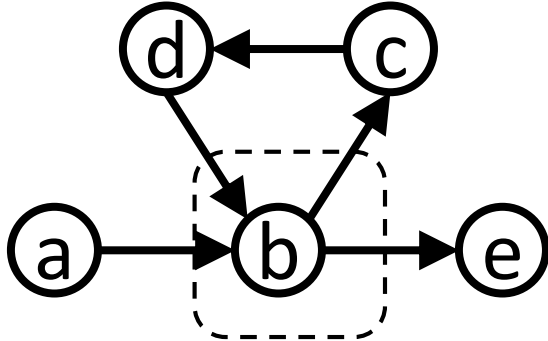
$$\{ \Leftarrow y \sqsubseteq f(x \sqcup G_f(x))$$

$$\Leftarrow \{a, b, c, d\} \sqsubseteq \diamond \{a, b, c, d\}$$

$$\Leftarrow \{a, b, c, d\} \sqsubseteq \{a, b, c, d\}$$

□

PaCo

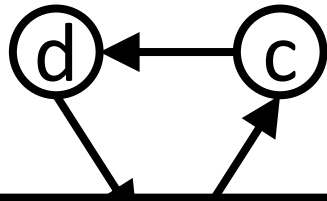


$$\{a\} \sqsubseteq G_\diamond(\emptyset)$$

$$\Leftarrow \{b\} \sqsubseteq G_\diamond(\emptyset)$$

# Example

Tarski



Accumulation

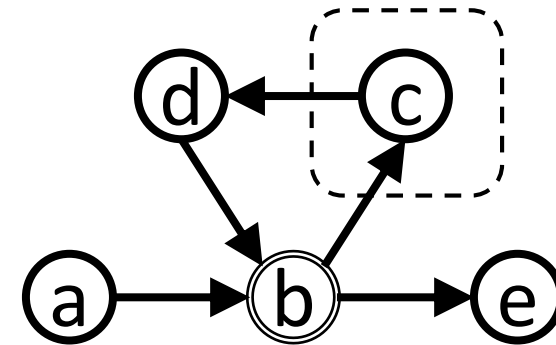
$$y \sqsubseteq G_f(x)$$

$$\Leftarrow y \sqsubseteq G_f(x \sqcup y)$$

$$\Leftarrow \{a, b, c, d\} \sqsubseteq \{a, b, c, d\}$$

□

PaCo



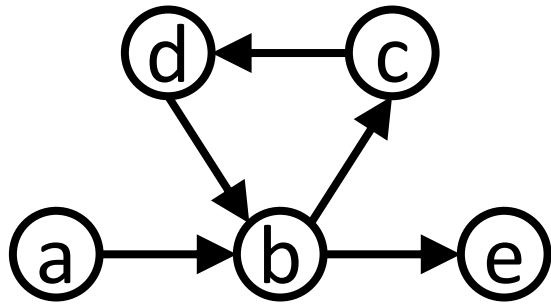
$$\{a\} \sqsubseteq G_\diamond(\emptyset)$$

$$\Leftarrow \{b\} \sqsubseteq G_\diamond(\emptyset)$$

$$\Leftarrow \{c\} \sqsubseteq G_\diamond(\{b\})$$

# Example

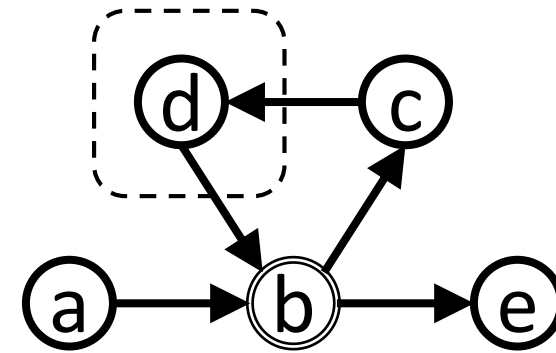
Tarski



$\{a\} \subseteq \nu Z. \diamond Z$   
 $\Leftarrow \{a, b, c, d\} \subseteq \nu Z. \diamond Z$   
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□

PaCo

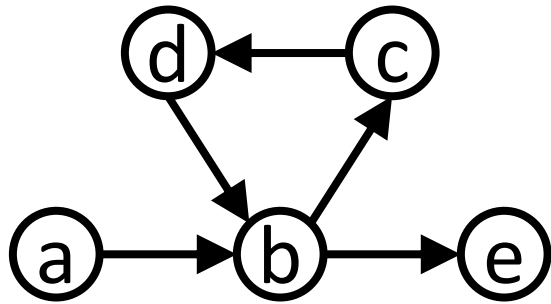


$\{a\} \subseteq G_{\diamond}(\emptyset)$   
 $\Leftarrow \{b\} \subseteq G_{\diamond}(\emptyset)$   
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 $\Leftarrow \{d\} \subseteq G_{\diamond}(\{b\})$



# Example

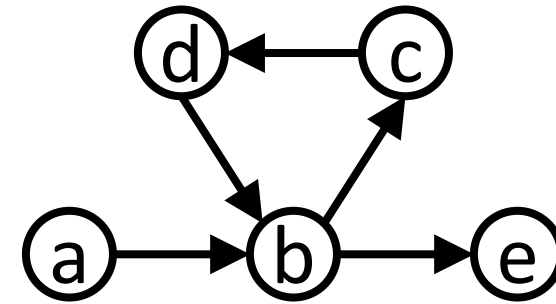
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□

PaCo

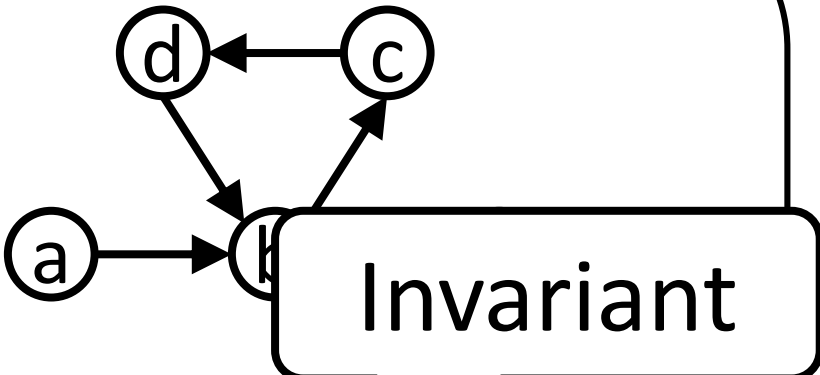


$\{a\} \subseteq G_\diamond(\emptyset)$   
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□

# Example

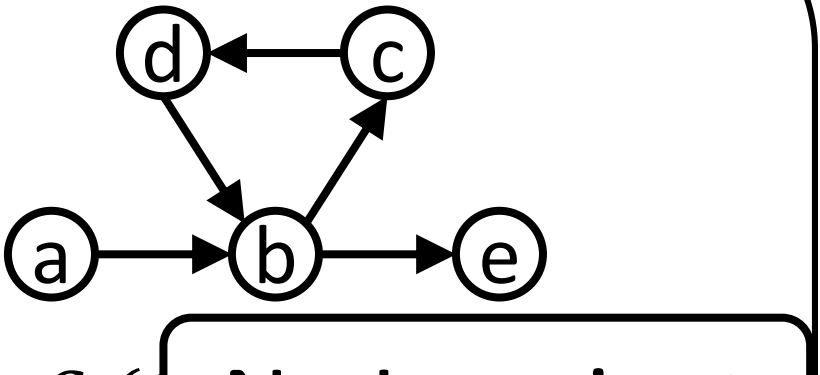
Tarski



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□

PaCo



$\{a\} \subseteq G_\diamond(\emptyset)$   
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 $\Leftarrow \{c\} \subseteq G_\diamond(\{b\})$   
 $\Leftarrow \{d\} \subseteq G_\diamond(\{b\})$   
 $\Leftarrow \{b\} \subseteq \{b\}$

□

# Functional characterization

## Def.

For  $F : C \rightarrow C$  a function,

- $F$  satisfies *Unfolding*, if  $\forall x, y \in C. y \sqsubseteq f(x \sqcup F(x)) \Rightarrow y \sqsubseteq F(x)$
- $F$  satisfies *Accumulation*, if  $\forall x, y \in C. y \sqsubseteq F(x \sqcup y) \Rightarrow y \sqsubseteq F(x)$

## Thm.

For  $F$  satisfying Unfolding and Accumulation,

$$G_f(x) \sqsubseteq F(x).$$

[Hur *et al.*, POPL '13]

Parameterized coinduction  
for coinductive properties

Parameterized induction  
for inductive properties

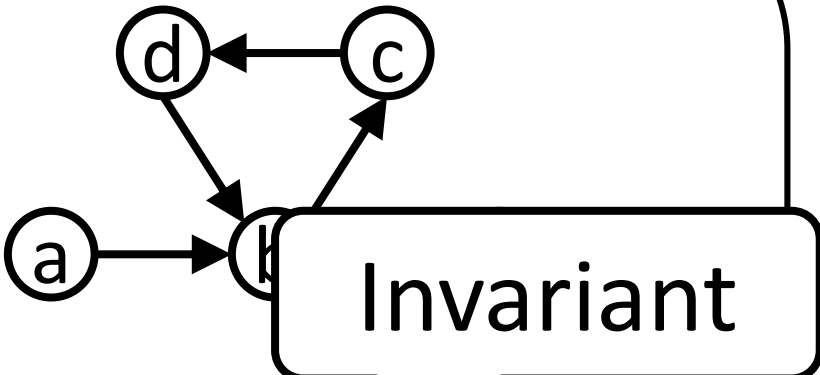
No-discovery characterization

How convenient is it?  
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# Review : comparison of two proofs

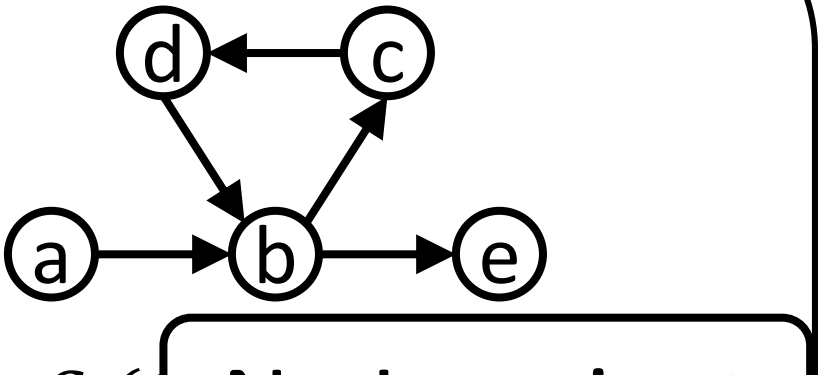
Tarski



$\{a\} \subseteq \nu Z. \diamond Z$   
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□

PaCo



$\{a\} \subseteq G_\diamond(\emptyset)$   
 $\Leftarrow \{b\} \subseteq G_\diamond(\emptyset)$   
 $\Leftarrow \{c\} \subseteq G_\diamond(\{b\})$   
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 $\Leftarrow \{b\} \subseteq \{b\}$

□

# Review : comparison of two proofs

Tarski

PaCo

Question:

1. What is invariant discovery?
2. Doesn't PaCo need invariant discovery?

$\{a\} \subseteq \{a, b, c, d\}$   
 $\Leftarrow \{a, b, c, d\} \subseteq \{a, b, c, d\}$   
 $\Leftarrow \{a, b, c, d\} \subseteq \{a, b, c, d\}$   
 $\Leftarrow \{a, b, c, d\} \subseteq \{a, b, c, d\}$

□

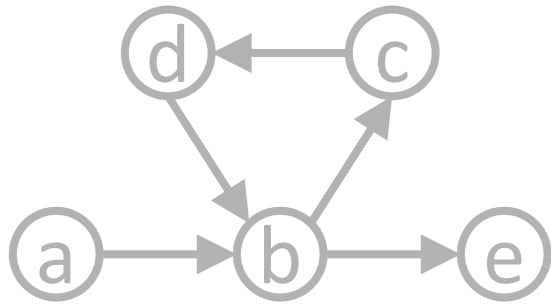
$\Leftarrow \{b\} \subseteq \{b\}$

□

ant

# Invariant discovery : informal definition

Tarski



$$\{a\} \subseteq \forall Z. \diamond Z$$

$$\Leftarrow \{a, b, c, d\} \subseteq \forall Z. \diamond Z$$

$$\Leftarrow \{a, b, c, d\} \subseteq \diamond \{a, b, c, d\}$$

$$\Leftarrow \{a, b, c, d\} \subseteq \{a, b, c, d\}$$

□

Def. (Informal)

Invariant Discovery is a proof like this:

$$x \sqsubseteq \forall f$$

$$\Leftarrow x \sqsubseteq x', x' \sqsubseteq \forall f$$

$$\Leftarrow x \sqsubseteq x', x' \sqsubseteq f(x')$$

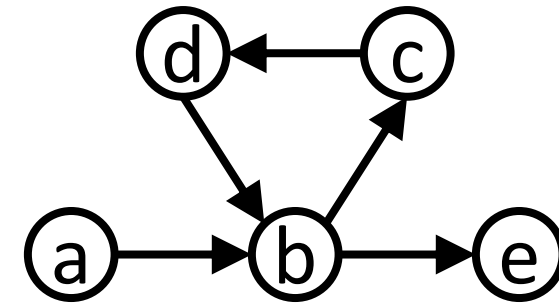
# Invariant discovery : example

|        | <b>With<br/>invariant</b> | <b>Without invariant</b> |          |
|--------|---------------------------|--------------------------|----------|
|        |                           | Finite                   | Infinite |
| Tarski | ✓                         | ✗                        | ✗        |
| PaCo   | ✓                         | ✓                        | ✗        |



# Invariant discovery : example

- Proof with invariant discovery
- $\{a\} \subseteq \forall Z. \diamond Z$ 
  - $\Leftarrow \{a, b, c, d\} \subseteq \forall Z. \diamond Z$
  - $\Leftarrow \{a, b, c, d\} \subseteq \diamond \{a, b, c, d\}$
  - $\Leftarrow \{a, b, c, d\} \subseteq \{a, b, c, d\} \quad \square$



|        | With invariant | Without invariant |          |
|--------|----------------|-------------------|----------|
|        |                | Finite            | Infinite |
| Tarski | ✓              | ✗                 | ✗        |
| PaCo   | ✓              | ✓                 | ✗        |

# Invariant discovery : example

- Proof without invariant discovery : Tarski case

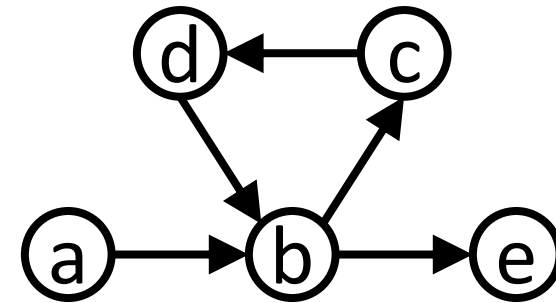
- $\{a\} \subseteq \nu Z. \diamond Z$

- $\Leftarrow \{b\} \subseteq \nu Z. \diamond Z$

- $\Leftarrow \{b\} \subseteq \diamond \{b\}$

- $\Leftrightarrow \{b\} \subseteq \{a, d\}$

Unsuccessful proof



|        | With invariant | Without invariant |          |
|--------|----------------|-------------------|----------|
|        |                | Finite            | Infinite |
| Tarski | ✓              | ✗                 | ✗        |
| PaCo   | ✓              | ✓                 | ✗        |

# Invariant discovery : example

- Proof without invariant discovery : Tarski case

- $\{a\} \subseteq \nu Z. \diamond Z$

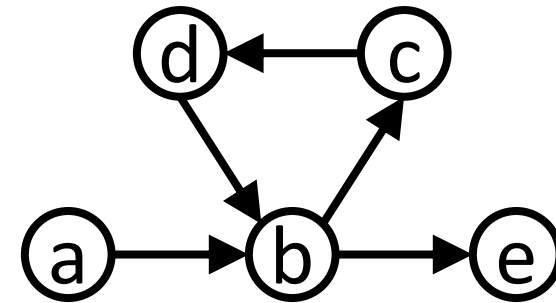
- $\Leftarrow \{b\} \subseteq \nu Z. \diamond Z$

- $\Leftarrow \{c\} \subseteq \nu Z. \diamond Z$

- $\Leftarrow \{d\} \subseteq \nu Z. \diamond Z$

- $\Leftarrow \vdots$

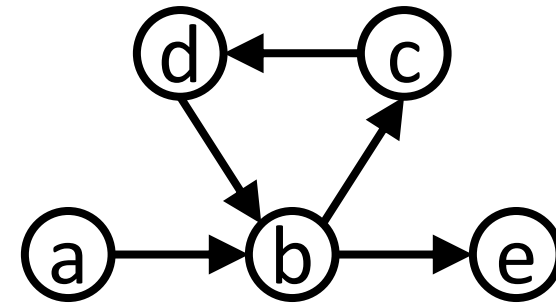
Unsuccessful proof



|        | With invariant | Without invariant |          |
|--------|----------------|-------------------|----------|
|        |                | Finite            | Infinite |
| Tarski | ✓              | ✗                 | ✗        |
| PaCo   | ✓              | ✓                 | ✗        |

# Invariant discovery : example

- Proof without invariant discovery : PaCo case
- $\{a\} \subseteq G_{\diamond}(\emptyset)$ 
  - $\Leftarrow \{b\} \subseteq G_{\diamond}(\emptyset)$
  - $\Leftarrow \{c\} \subseteq G_{\diamond}(\{b\})$
  - $\Leftarrow \{d\} \subseteq G_{\diamond}(\{b\})$
  - $\Leftarrow \{b\} \subseteq \{b\} \quad \square$

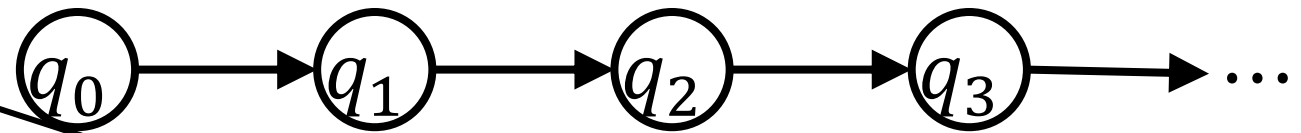


|        | With invariant | Without invariant |          |
|--------|----------------|-------------------|----------|
|        |                | Finite            | Infinite |
| Tarski | ✓              | ✗                 | ✗        |
| PaCo   | ✓              | ✓                 | ✗        |

# Invariant discovery : example

- Proof without invariant discovery : PaCo case

- $\{q_0\} \subseteq G_\diamond(\emptyset)$
- $\Leftarrow \{q_1\} \subseteq G_\diamond(\{q_0\})$
- $\Leftarrow \{q_2\} \subseteq G_\diamond(\{q_0, q_1\})$
- $\Leftarrow \{q_3\} \subseteq G_\diamond(\{q_0, q_1, q_2\})$
- $\Leftarrow \vdots$



Unsuccessful proof

|        | With invariant | Without invariant |          |
|--------|----------------|-------------------|----------|
|        |                | Finite            | Infinite |
| Tarski | ✓              | ✗                 | ✗        |
| PaCo   | ✓              | ✓                 | ✗        |

# Invariant discovery : example

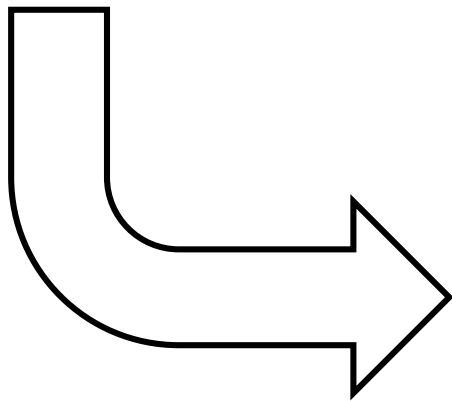
|        | <b>With<br/>invariant</b> | <b>Without invariant</b> |          |
|--------|---------------------------|--------------------------|----------|
|        |                           | Finite                   | Infinite |
| Tarski | ✓                         | ✗                        | ✗        |
| PaCo   | ✓                         | ✓                        | ✗        |

Aim: to make these rigorous

# Invariant discovery : analysis

$$\begin{aligned} &\{a\} \subseteq vZ. \diamond Z \\ \Leftarrow &\{a, b, c, d\} \subseteq vZ. \diamond Z \end{aligned}$$

Invariant discovery  
uses transitivity



Forget transitivity

$$y \sqsubseteq G_f(x) \longrightarrow P(x, y)$$

# Invariant discovery : assumption

Asm.

From now on, we assume that for all  $x$ ,  
 $\lambda y. f(x \sqcup y)$  preserves directed infima.



# Invariant discovery : definition

Def.

For  $P(x, y)$  : predicate,

- Downward closure :

$$\forall y, y' \in C. y \sqsubseteq y', P(x, y') \Rightarrow P(x, y)$$

$$\text{cf. transitivity } y \sqsubseteq y', y' \sqsubseteq G_f(x) \Rightarrow y \sqsubseteq G_f(x)$$

- Join closure :

$$\forall x \in C. \forall Y \subseteq C. (\forall y \in Y. P(x, y)) \Rightarrow P(x, \sqcup Y)$$

# Invariant discovery : definition

Def.

For  $P(x, y)$  : predicate,

- Unfolding : for all  $x, y, z$ ,  $P(x, y)$  holds if
  - $z$  is minimal among  $\{z' \mid y \sqsubseteq f(z')\}$
  - $P(x, z)$ .
- Accumulation :  $\forall x, y. P(x \sqcup y, y) \Rightarrow P(x, y)$
- Tarski :  $\forall x, y. y \sqsubseteq f(x \sqcup y) \Rightarrow P(x, y)$

# Invariant discovery : definition

Thm.

- $y \sqsubseteq \nu f$   
 $\Leftrightarrow \forall P. \text{Downward closure, Join closure, Unfolding, Tarski}$   
 $\Rightarrow P(\perp, y)$
- $y \sqsubseteq G_f(x)$   
 $\Leftrightarrow \forall P. \text{Downward closure, Join closure, Unfolding, Accumulation}$   
 $\Rightarrow P(x, y)$

# Invariant discovery : definition

Def.

- $y \sqsubseteq \nu f$  is *no-discovery Tarski provable*  
 $\Leftrightarrow \forall P. \text{Join closure, Unfolding, Tarski}$   
 $\Rightarrow P(\perp, y)$
- $y \sqsubseteq G_f(x)$  is *no-discovery PaCo provable*  
 $\Leftrightarrow \forall P. \text{Join closure, Unfolding, Accumulation}$   
 $\Rightarrow P(x, y)$

# Invariant discovery : main theorem

## Thm.

- For finite lattices,  $y \sqsubseteq G_f(x)$  is no-discovery PaCo provable, if it holds.
- For infinite lattices,  $y \sqsubseteq G_f(x)$  is not necessarily no-discovery PaCo provable.
- $y \sqsubseteq \nu f$  is not necessarily no-discovery Tarski provable.

# Invariant discovery : main theorem

## Thm.

- For finite lattices,  $y \sqsubseteq G_f(x)$  is no-discovery PaCo provable, if it holds.
- For infinite lattices,  $y \sqsubseteq G_f(x)$  is not necessarily no-discovery PaCo provable.
- $y \sqsubseteq \nu f$  is not necessarily no-discovery Tarski provable.

|        | With invariant | Without invariant |          |
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|        |                | Finite            | Infinite |
| Tarski | ✓              | ✗                 | ✗        |
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[Hur *et al.*, POPL '13]

Parameterized coinduction  
for coinductive properties

Parameterized induction  
for inductive properties

No-discovery characterization

How convenient is it?  
Mathematical characterization

Our  
contribution

# Parameterized induction

Def.

$L_f(x) = \mu y. f(x \sqcup y)$       parameterized least fixpoint

Lem.

$\forall x, y. y \sqsubseteq f(x \sqcup L_f(x)) \Rightarrow y \sqsubseteq L_f(x)$       (Unfolding)

$\forall x, y : \alpha' \rightarrow C$  ( $x$  increasing).

$$\left( \forall \alpha. y(\alpha) \sqsubseteq L_f \left( x(\alpha) \sqcup \bigsqcup_{\beta < \alpha} y(\beta) \right) \right)$$

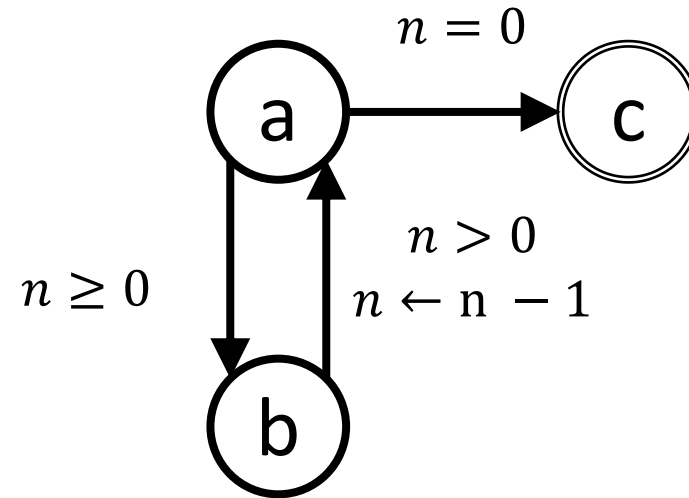
$$\Rightarrow \left( \forall \alpha. y(\alpha) \sqsubseteq L_f(x(\alpha)) \right)$$

(Indexed Accumulation)



# Parameterized induction : pushdown example

- $\forall n. \{a(n)\} \subseteq L_f(\emptyset)$   
 $\Leftarrow \forall n. \{a(n)\} \subseteq L_f(\{a(m) \mid m < n\})$   
 $\Leftarrow \forall n. \{b(n)\} \subseteq L_f(\{a(m) \mid m < n + 1\})$   
 $\Leftarrow \forall n. \{a(n)\} \subseteq \{a(m) \mid m < n + 1\} \quad \square$



$$f(Z) = \{c(n)\} \cup \diamond Z$$

# Conclusion & Future Work

- **No-discovery characterization**
  - Gave mathematical framework to characterize power of parameterized coinduction
  - No-go theorem : perhaps useful for future proof-technique development.
- Future work
  - Syntactic Approach
  - Trying non-Boolean examples

# Conclusion & Future Work

- **Parameterized Induction**
  - Applied PaCo-like strategy to inductive properties.
  - Perhaps useful for pushdown systems
- **Future Work**
  - Implementation to a proof assistant
  - Generalization to mixed  $\mu/\nu$  formulas

[Hur *et al.*, POPL '13]

Parameterized coinduction  
for coinductive properties

Parameterized induction  
for inductive properties

No-discovery characterization

How convenient is it?  
Mathematical characterization

Our  
contribution