

Categories of Filters as Fibered Completions

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Butz '04, “Saturated models of intuitionistic theories”

- Filter logics

- $\mathbb{B} \hookrightarrow \mathbf{FB}$

- satisfies a saturation principle

Models with saturation principles

- **ultrafilter** construction (classical)

$$\begin{array}{ccc} \mathbf{Set} & \xrightarrow{\Pi_{\mathcal{U}}} & \mathbf{Set} \\ S & \longmapsto & \Pi_{\mathcal{U}} S \end{array}$$

- **filter** construction [Pitts '83][Palmgren '97] (intuitionistic)

$$\begin{array}{ccccc} \mathbb{B} & \hookrightarrow & \mathbf{FB} & \hookrightarrow & \mathbf{Sh}(\mathbf{FB}) \\ B & \longmapsto & & \longmapsto & \Pi_{(-)} B \end{array}$$

- [Butz '04]

$$\mathbb{B} \hookrightarrow \mathbf{FB}$$

Blass '74, “Two closed categories of filters”

- **Filt**(\mathbb{B}), **F \mathbb{B}**

- **Filt**(\mathbb{B}), **FB**

Question

Why **FB** has good properties?

Why not **Filt**(\mathbb{B})?

Answer

categorical models \subseteq fibrational models

\mathbb{B}

\mathbb{E}
 \downarrow
 \mathbb{B}

$\mathbb{B} \hookrightarrow \mathbf{FB}$

[Butz '04]

$\mathbf{Sub}(\mathbb{B})$

\downarrow
 \mathbb{B}

\hookrightarrow

$\mathbf{Filt}(\mathbb{B})$

\downarrow
 \mathbb{B}

- $\mathbf{FB} \cong \mathbf{Filt}(\mathbb{B})[W^{-1}]$ (localization)

Overview

0. Introduction

1. Categories of filters [Koubek & Reiterman '70][Blass '74]

2. Categorical logic for filters [Butz '04]

3. Categorical models vs. fibrational models

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Filters of a semilattice

- L : (bounded) (meet-)semilattice

Definition

\mathcal{F} : **filter** of L

$\stackrel{\text{def.}}{\iff} \mathcal{F} \subseteq L$: upward closed subset s.t.

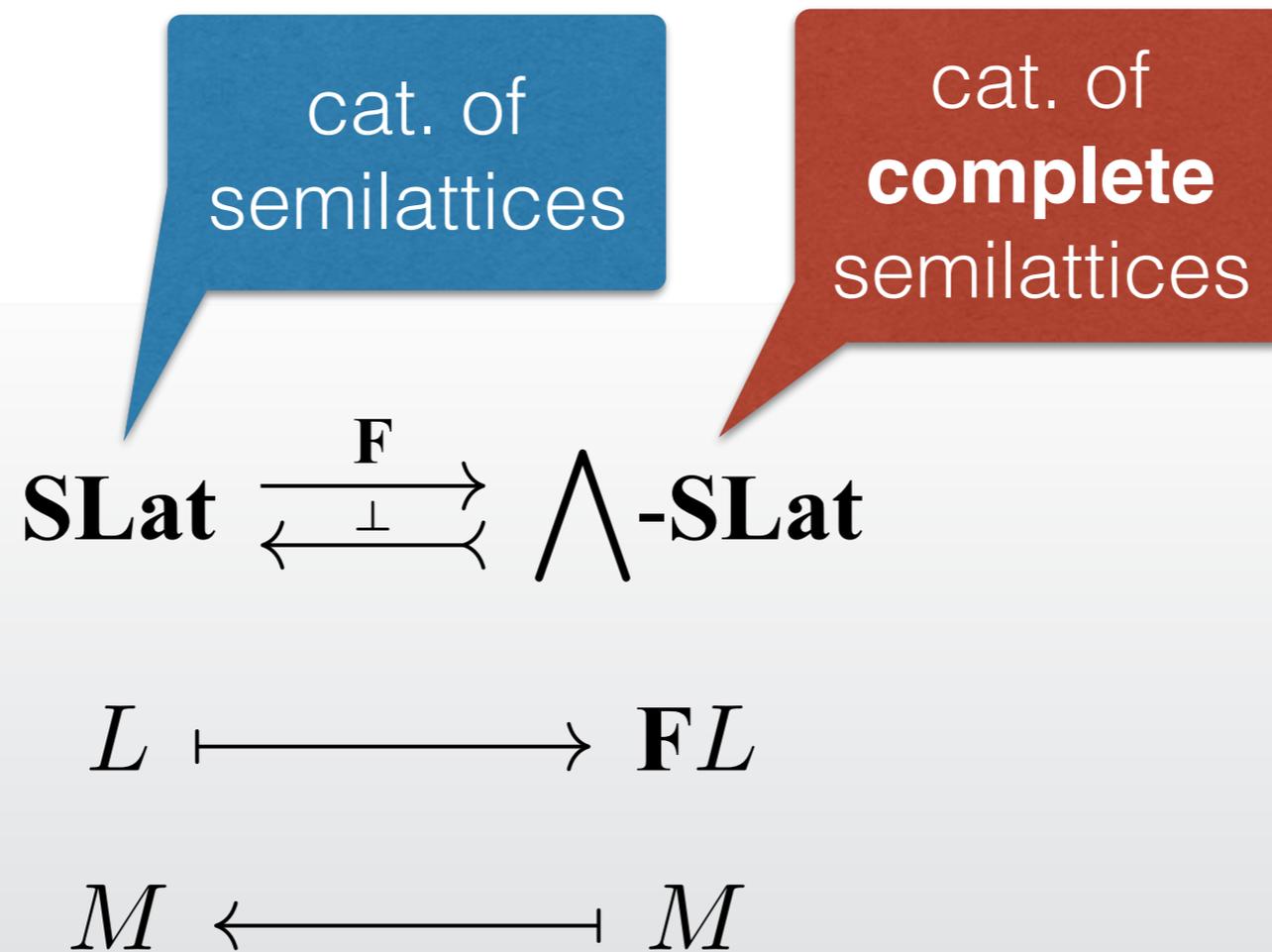
- $$\left\{ \begin{array}{l} \bullet \top \in \mathcal{F} \\ \bullet x \wedge y \in \mathcal{F} \text{ if } x \in \mathcal{F} \text{ and } y \in \mathcal{F} \end{array} \right.$$

$\mathcal{F} \leq \mathcal{G} \stackrel{\text{def.}}{\iff} \mathcal{F} \supseteq \mathcal{G}$

Definition

$$\mathbf{FL} := \{ \mathcal{F} : \text{filter of } L \}$$
$$\cong \mathbf{SLat}(L, 2)^{\text{op}}$$

Theorem



Filters on an object

- \mathbb{B} : category with pullbacks

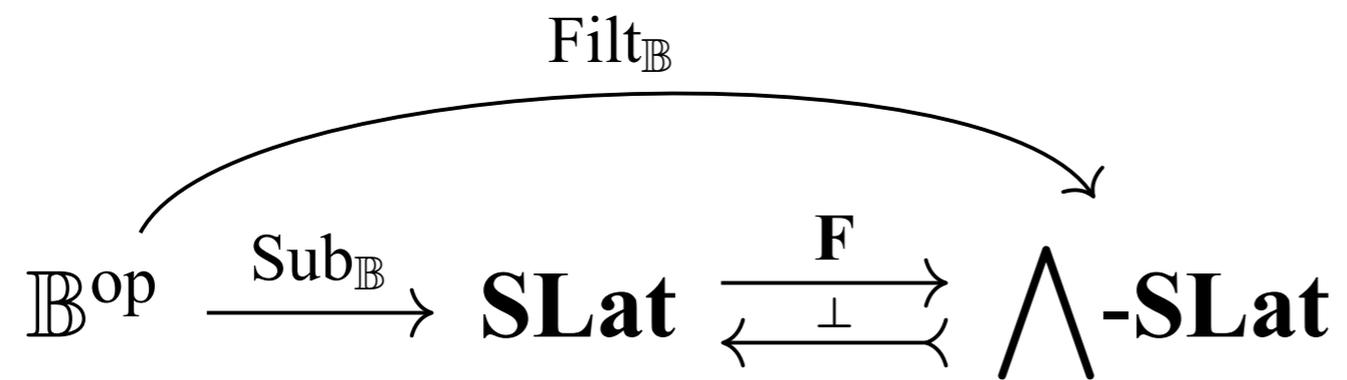
Definition

\mathcal{F} : **filter** on $I \in \mathbb{B}$

$\overset{\text{def.}}{\iff} \mathcal{F}$: filter of $\text{Sub}_{\mathbb{B}}(I)$

Definition

$$\begin{aligned} \mathbf{Filt}_{\mathbb{B}}(I) &:= \{ \mathcal{F} : \text{filter on } I \} \\ &= \mathbf{F}(\mathbf{Sub}_{\mathbb{B}}(I)) \end{aligned}$$



Two categories of filters

[Blass, '74]

- The category of **concrete** filters $\mathbf{Filt}(\mathbb{B})$
- The category of **abstract** filters \mathbf{FB}

Cat. of concrete filters

Filt(\mathbb{B})

- object (I, \mathcal{F}) ($I \in \mathbb{B}$, \mathcal{F} : filter on I)
- morphism

$$\frac{u: (I, \mathcal{F}) \rightarrow (J, \mathcal{G}) \quad \text{in } \mathbf{Filt}(\mathbb{B})}{\begin{array}{c} u: I \rightarrow J \quad \text{in } \mathbb{B} \\ \forall Y \in \mathcal{G}. u^{-1}Y \in \mathcal{F} \end{array}}$$

Filt(Set)

Set

[Blass '74]

these two filters are not isomorphic. Heuristically, an object of \mathcal{G} is thought of as an “abstract” filter, where the abstraction consists of ignoring the universe, whereas an object in \mathcal{F} is a “concrete” filter. (Compare the fact that \mathcal{F} is almost trivially a concrete category — it has the faithful functor \mathbf{U} to \mathcal{S} — with the more difficult proof in [7] that \mathcal{G} is concrete — the forgetful functor in [7] is far more complex than \mathbf{U} .) This heuristic idea can be made precise by noticing that from any object F

Lemma

Filt(\mathbb{B})

\downarrow
 \mathbb{B}

is the Grothendieck construction

from the functor $\mathbf{Filt}_{\mathbb{B}} : \mathbb{B}^{\text{op}} \rightarrow \mathbf{\wedge}\text{-SLat}$.

Cat. of **abstract** filters

FB

- object (I, \mathcal{F}) ($I \in \mathbb{B}$, \mathcal{F} : filter on I)
- morphism $[v]: (I, \mathcal{F}) \rightarrow (J, \mathcal{G})$ in **FB**

is defined as

$$\mathcal{F} \ni X \xrightarrow{v} J \quad \text{in } \mathbb{B}$$

$$\forall Y \in \mathcal{G}. v^{-1}Y \in \mathcal{F} \quad (\text{under } v^{-1}Y \subseteq X \subseteq I)$$

under

$$[v] = [v'] \stackrel{\text{def.}}{\iff} v|_{X''} = v'|_{X''} \quad (X'' \subseteq X \cap X')$$

F(Set)

[Blass '74]

It appears that most uses of filters in mathematics do not depend on the availability of an ambient set; in other words the essential properties of filters are invariant under \mathcal{G} -isomorphism. For example, if F is a filter on A and f is a function from A into a topological space, then all questions about limits or adherent points of f with respect to F depend only on the F -germ of f (in fact most such questions depend only on $f(F)$). For another example, if $[f]_F: F \rightarrow G$ is a \mathcal{G} -isomorphism and $(S_a \mid a \in A)$ is a family of structures indexed by $A = \mathbf{U}G$, then the reduced product (see [4]) $\prod_{a \in A} S_a/G$ is isomorphic to $\prod_{b \in B} S_{f(b)}/F$, where $B = \mathbf{U}F$. (If f is partial, some of the factors $S_{f(b)}$ are undefined, but they do not affect the reduced product anyway; almost all the factors are defined, and that is all we need.) Furthermore,

of f with respect to F depend only on the F -germ of f (in fact most such questions depend only on $f(F)$). For another example, if $[f]_F: F \rightarrow G$ is a \mathcal{G} -isomorphism and $(S_a \mid a \in A)$ is a family of structures indexed by $A = \mathbf{U}G$, then the reduced product (see [4]) $\prod_{a \in A} S_a/G$ is isomorphic to $\prod_{b \in B} S_{f(b)}/F$, where $B = \mathbf{U}F$. (If f is partial, some of the factors $S_{f(b)}$ are undefined, but they do not affect the reduced product anyway; almost all the factors are defined, and that is all we need.) Furthermore,

Definition

$$\prod_{\mathcal{F}} = \operatorname{Colim}_{X \in \mathcal{F}} \mathbb{B}(X, -) \quad : \mathbb{B} \rightarrow \mathbf{Set}$$

is called the **reduced product**

Lemma

[K. ?]

$$\prod_{(-)}: \mathbf{F}\mathbb{B} \rightarrow (\mathbf{Set}^{\mathbb{B}})^{\operatorname{op}}: \text{fully faithful}$$

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First-order logic and its fragments

terms $t ::= x \mid f(t_1, \dots, t_{|f|})$

formulas $\varphi ::= R(t_1, \dots, t_{|R|}) \mid t_1 = t_2$

“left exact logic”

regular logic

coherent logic

$\mid \top \mid \varphi_1 \wedge \varphi_2$

$\mid \exists x. \varphi$

$\mid \perp \mid \varphi_1 \vee \varphi_2$

$\mid \varphi_1 \Rightarrow \varphi_2 \mid \forall x. \varphi$

Categorical models

- \mathbb{B} : category with finite products
- interpretation in \mathbb{B}
 - types $[[\sigma]] \in \mathbb{B}$
 - function symbols $[[f]] \in \mathbb{B}([[\sigma]]_1 \times \dots \times [[\sigma]]_{|f|})$
 - relation symbols $[[R]] \in \text{Sub}_{\mathbb{B}}([[\sigma]]_1 \times \dots \times [[\sigma]]_{|R|})$

- \mathbb{B} : left exact category \Rightarrow \mathbb{B} models left exact logics
(has finite limits)
- \mathbb{B} : regular category \Rightarrow \mathbb{B} models regular logics
(lex. & has p.b.-stable coequalizers of kernel pairs)
- \mathbb{B} : coherent category \Rightarrow \mathbb{B} models coherent logics
(reg. & has p.b.-stable finite unions)
- \mathbb{B} : Heyting category \Rightarrow \mathbb{B} models first-order logics

Filter logics [Butz '04]

- \mathbf{FB} models filter logics

$$t ::= x \mid f(t_1, \dots, t_{|f|})$$

$$\varphi ::= R(t_1, \dots, t_{|R|}) \mid t_1 = t_2$$

$$\mid \top \mid \varphi_1 \wedge \varphi_2 \mid \bigwedge_{\varphi \in \Phi} \varphi$$

“left exact **filter** logic”

regular **filter** logic

coherent **filter** logic

$$\mid \exists x. \varphi$$

$$\mid \perp \mid \varphi_1 \vee \varphi_2$$

$$\mid \varphi_1 \Rightarrow \varphi_2 \mid \forall x. \varphi$$

Filter logics [Butz '04]

$$\frac{}{\bigwedge_{\varphi \in \Phi} \varphi \vdash \varphi_0}$$

$$\frac{\psi \vdash \varphi \text{ for each } \varphi \in \Phi}{\psi \vdash \bigwedge_{\varphi \in \Phi} \varphi}$$

$$\frac{\psi \vdash \exists x. \varphi_1 \wedge \dots \wedge \varphi_n \text{ for each } \{\varphi_1, \dots, \varphi_n\} \subseteq_{\text{fin.}} \Phi}{\psi \vdash \exists x. \bigwedge_{\varphi \in \Phi} \varphi}$$

saturation

$$\frac{}{\bigwedge_{\varphi \in \Phi} (\psi \vee \varphi) \vdash \psi \vee \bigwedge_{\varphi \in \Phi} \varphi}$$

Characterization of \mathbf{FB}

Lemma

[Blass '74]

$\text{Sub}_{\mathbf{FB}}(I, \mathcal{F}) \cong \{ (I, \mathcal{G}) \mid \mathcal{G} \leq \mathcal{F} \}$
: complete (meet-)semilattice

Definition

[Butz '04]

\mathbb{A} : **filtered meet lex category**

$\overset{\text{def.}}{\iff} \mathbb{A}$: lex category,

$\text{Sub}_{\mathbb{A}}: \mathbb{A}^{\text{op}} \rightarrow \mathbf{\wedge}\text{-SLat}$

Definition

\mathbb{A} : **filtered meet lex category**

$\overset{\text{def.}}{\iff} \mathbb{A}$: lex category,

$\text{Sub}_{\mathbb{A}}: \mathbb{A}^{\text{op}} \rightarrow \bigwedge\text{-SLat}$

Theorem

$\mathbf{Lex} \overset{\mathbf{F}}{\underset{\perp}{\iff}} \bigwedge^{\text{filt}} \mathbf{-Lex}$

$\mathbb{B} \longmapsto \mathbf{FB}$

$\mathbb{A} \longleftarrow \mathbf{A}$

Lex: category of
lex categories

$\bigwedge^{\text{filt}} \mathbf{-Lex}$: category of
filtered meet
lex categories

Filtered meet vs. arbitrary meet

arbitrary meets = finite meets + filtered meets

$$\bigwedge_{x \in X} x = \bigwedge_{\substack{\{x_1, \dots, x_n\} \subseteq X \\ \text{fin.}}} (x_1 \wedge \dots \wedge x_n)$$

$$\exists x. \varphi(x) \wedge \psi(x) \vdash (\exists x. \varphi(x)) \wedge (\exists x. \psi(x))$$

✗ arbitrary meets = ✗ finite meets + ✓ filtered meets

$$\bigwedge_{x \in X} x = \bigwedge_{\substack{\{x_1, \dots, x_n\} \subseteq X \\ \text{fin.}}} (x_1 \wedge \dots \wedge x_n)$$

Definition

a **filtered meet regular** (resp. **coherent**) **category**
 is a regular (resp. coherent) category
 with filtered meets s.t.
 \exists (and \vee) distributes over filtered meets

Theorem

Lex $\xrightleftharpoons[\perp]{\mathbf{F}}$ \bigwedge^{filt} **-Lex** restricts to

Reg $\xrightleftharpoons[\perp]{\mathbf{F}}$ \bigwedge^{filt} **-Reg** and **Coh** $\xrightleftharpoons[\perp]{\mathbf{F}}$ \bigwedge^{filt} **-Coh**

Filter logics [Butz '04]

$$\frac{}{\bigwedge_{\varphi \in \Phi} \varphi \vdash \varphi_0}$$

$$\frac{\psi \vdash \varphi \text{ for each } \varphi \in \Phi}{\psi \vdash \bigwedge_{\varphi \in \Phi} \varphi}$$

$$\psi \vdash \exists x. \varphi_1 \wedge \cdots \wedge \varphi_n \text{ for each } \{\varphi_1, \dots, \varphi_n\} \subseteq_{\text{fin.}} \Phi$$

$$\psi \vdash \exists x. \bigwedge_{\varphi \in \Phi} \varphi$$

$$\frac{}{\bigwedge_{\varphi \in \Phi} (\psi \vee \varphi) \vdash \psi \vee \bigwedge_{\varphi \in \Phi} \varphi}$$

distributive laws

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Fibrational models

- $\begin{array}{c} \mathbb{E} \\ \downarrow p \\ \mathbb{B} \end{array}$: fibration, \mathbb{B} has finite products

- $\llbracket R \rrbracket \in \mathbb{E}_{\llbracket \sigma \rrbracket_1} \times \dots \times \mathbb{E}_{\llbracket \sigma \rrbracket_{|R|}}$

- Generalization of categorical model \mathbb{B}
(subobject model)

Sub(\mathbb{B})

\downarrow
 \mathbb{B}

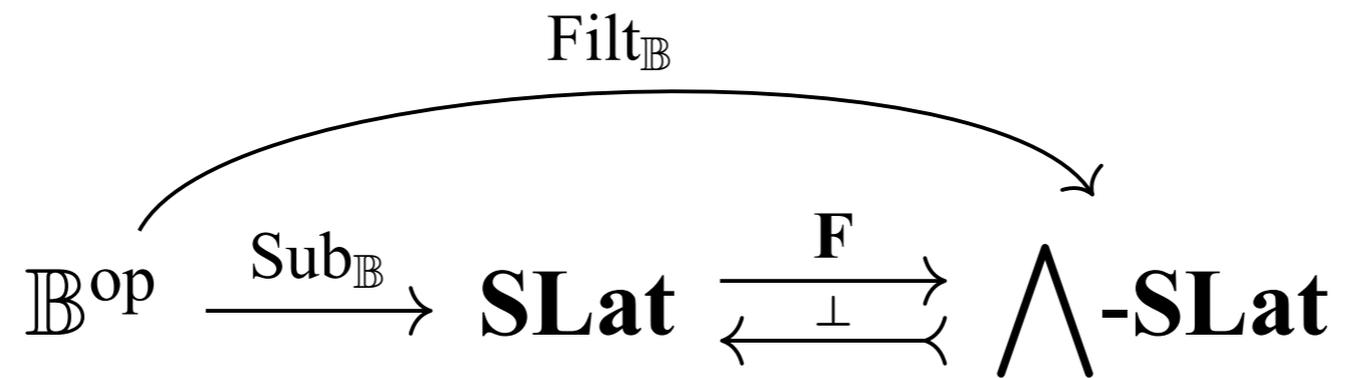
for \mathbb{B} : lex category

$$\llbracket R \rrbracket \in (\mathbf{Sub}(\mathbb{B}))_{\llbracket \sigma_1 \rrbracket \times \dots \times \llbracket \sigma_{|R|} \rrbracket} = \mathbf{Sub}_{\mathbb{B}}(\llbracket \sigma_1 \rrbracket \times \dots \times \llbracket \sigma_{|R|} \rrbracket)$$

Fibered completion

- $\mathbf{Filt}(\mathbb{B})$
 \downarrow
 \mathbb{B}
- is the fibered completion of
- $\mathbf{Sub}(\mathbb{B})$
 \downarrow
 \mathbb{B}

recall



Given

\mathbb{B} : categorical model of
a (lex/regular/coherent) logic

[Butz '04]

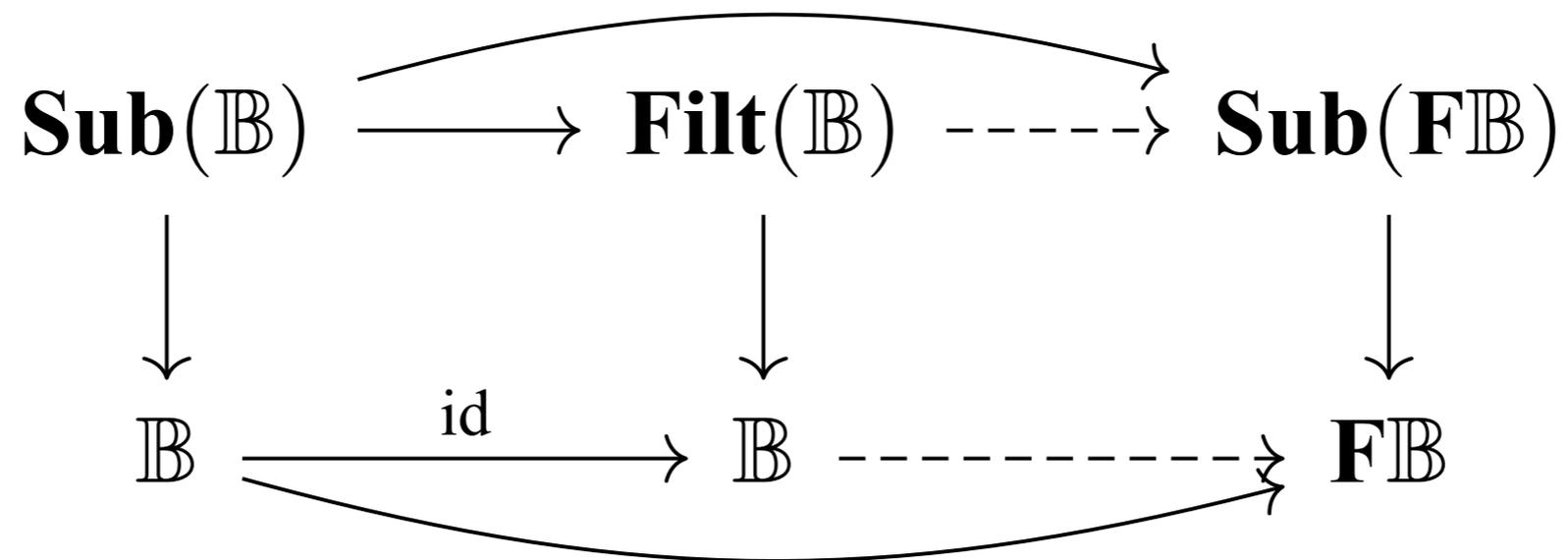
- $\mathbf{F}\mathbb{B}$ is the “free” (categorical) model of its filter logic

[K.]

- $\mathbf{Filt}(\mathbb{B})$
↓
 \mathbb{B} is the “free” fibrational model its filter logic

Fibrations vs. categories

(General preicates vs. subobjects)



Coproducts over monomorphisms

$$\begin{array}{ccc}
 X & \xrightarrow{\cong} & (\exists m)X \\
 \downarrow & & \downarrow \\
 I & \xrightarrow{m} & J
 \end{array}$$

$\mathbf{Sub}(\mathbb{B})$
 \downarrow
 \mathbb{B} has

$\exists m \dashv m^*$ for each monomorphism m in \mathbb{B} satisfying the Beck-Chevalley condition and the Frobenius reciprocity

$\exists m$: **coproduct**

Coproducts over monomorphisms

[K.]

Lemma

$$\left(\begin{array}{c} \mathbf{Sub}(\mathbb{B}) \\ \downarrow \\ \mathbb{B} \end{array} \right) \xrightarrow{\left(\begin{array}{c} K \\ H \end{array} \right)} \left(\begin{array}{c} \mathbf{Sub}(\mathbb{A}) \\ \downarrow \\ \mathbb{A} \end{array} \right)$$

: morphism of fibrations
preserving $(1, \times, \top, \wedge)$

$$K = \mathbf{Sub}(H) \Leftrightarrow \left(\begin{array}{c} K \\ H \end{array} \right) \text{ preserves } \exists m \text{ for } m: \text{ mono}$$

Left exact fibrations

[K.]

Definition

A **left exact fibration**

is a fibered poset $\begin{array}{c} \mathbb{E} \\ \downarrow p \\ \mathbb{B} \end{array}$ s.t.

- \mathbb{B} has finite limits
- p has fibered finite meets
- p has coproducts over monomorphisms satisfying Frobenius

Theorem

$$\begin{array}{ccc}
 & \xleftarrow{\mathbf{L}} & \\
 \mathbf{Lex} & \xleftrightarrow{\perp} & \mathbf{LexFib} \\
 & \xleftarrow{\mathbf{R}} & \\
 \mathbb{E}[W^{-1}] & \xleftarrow{\mathbf{L}} & \begin{array}{c} \mathbb{E} \\ \downarrow \\ \mathbb{B} \end{array}
 \end{array}$$

$$\begin{array}{ccc}
 & & \mathbf{Sub}(\mathbb{A}) \\
 \mathbb{A} & \xrightarrow{\quad} & \downarrow \\
 & & \mathbb{A} \\
 & & \downarrow \\
 \mathbb{B} & \xleftarrow{\mathbf{R}} & \begin{array}{c} \mathbb{E} \\ \downarrow \\ \mathbb{B} \end{array}
 \end{array}$$

where $W = \{(X \rightarrow (\exists m) X \mid m: I \twoheadrightarrow J, X \in \mathbb{E}_I)\}$

Localization of a category

- $Q_W: \mathbb{E} \rightarrow \mathbb{E}[W^{-1}]$ is universal among
 $F: \mathbb{E} \rightarrow \mathbb{D}$ s.t. $F(w):$ isom. for $w \in W$

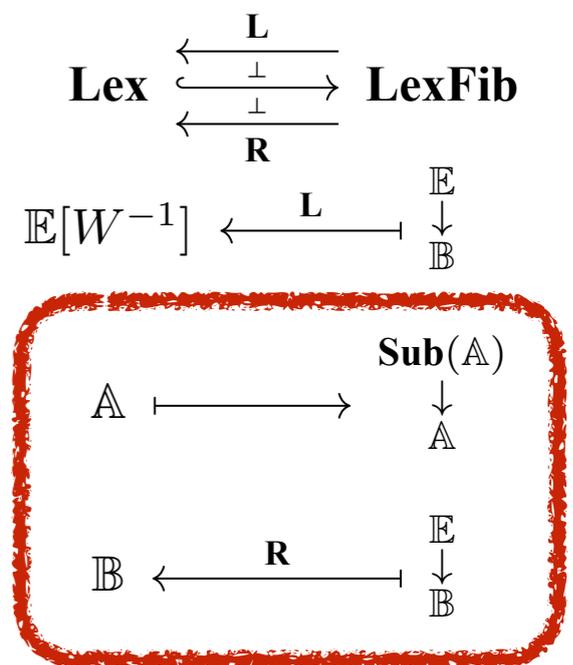
Proof of the theorem (1)

$$H: \mathbb{A} \rightarrow \mathbb{B}: \text{lex}$$

$$\begin{pmatrix} K \\ H \end{pmatrix} : \begin{pmatrix} \mathbf{Sub}(\mathbb{A}) \\ \downarrow \\ \mathbb{A} \end{pmatrix} \rightarrow \begin{pmatrix} \mathbb{E} \\ \downarrow \\ \mathbb{B} \end{pmatrix} : \text{mor. of lex fibrations}$$

$$K(A') := (\exists i) \top_{A'} \text{ for } A' \in \mathbf{Sub}_{\mathbb{A}}(A)$$

where $i: A' \twoheadrightarrow A$



Proof of the theorem (2)

$$\begin{pmatrix} K \\ H \end{pmatrix} : \begin{pmatrix} \mathbb{E} \\ \downarrow \\ \mathbb{B} \end{pmatrix} \rightarrow \begin{pmatrix} \mathbf{Sub}(\mathbb{A}) \\ \downarrow \text{cod} \\ \mathbb{A} \end{pmatrix} : \text{mor. of lex fibrations}$$

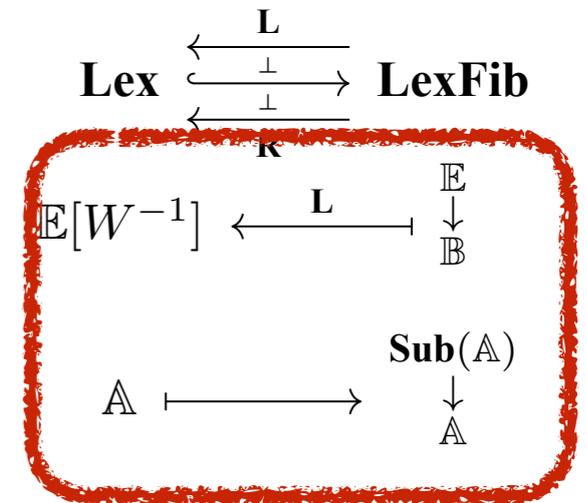
$$H : \mathbb{E}[W^{-1}] \rightarrow \mathbb{A} : \text{lex}$$

where $W = \{(X \rightarrow (\exists m)X \mid m : I \rightarrow J, X \in \mathbb{E}_I)\}$

$$M' := (\mathbb{E} \xrightarrow{K} \mathbf{Sub}(\mathbb{A}) \xrightarrow{\text{dom}} \mathbb{A})$$

M' induces M by the universality

- For $w \in W$, M'_w is isom. (because K_w is opCartesian lifting, too)



Proof of the theorem (3)

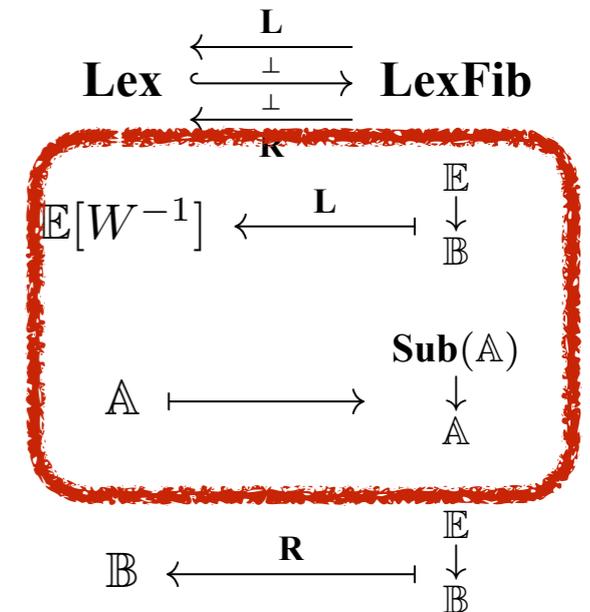
$$H : \mathbb{E}[W^{-1}] \rightarrow \mathbb{A} : \text{lex} \quad \text{where } W = \{(X \rightarrow (\exists m)X \mid m : I \twoheadrightarrow J, X \in \mathbb{E}_I)\}$$

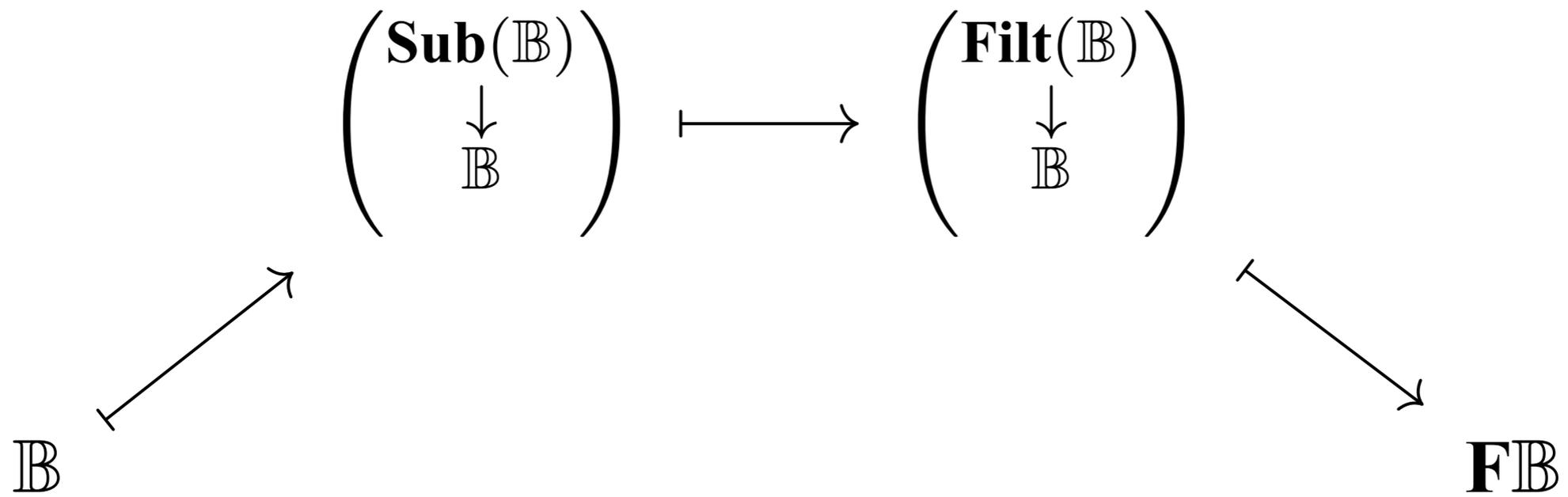
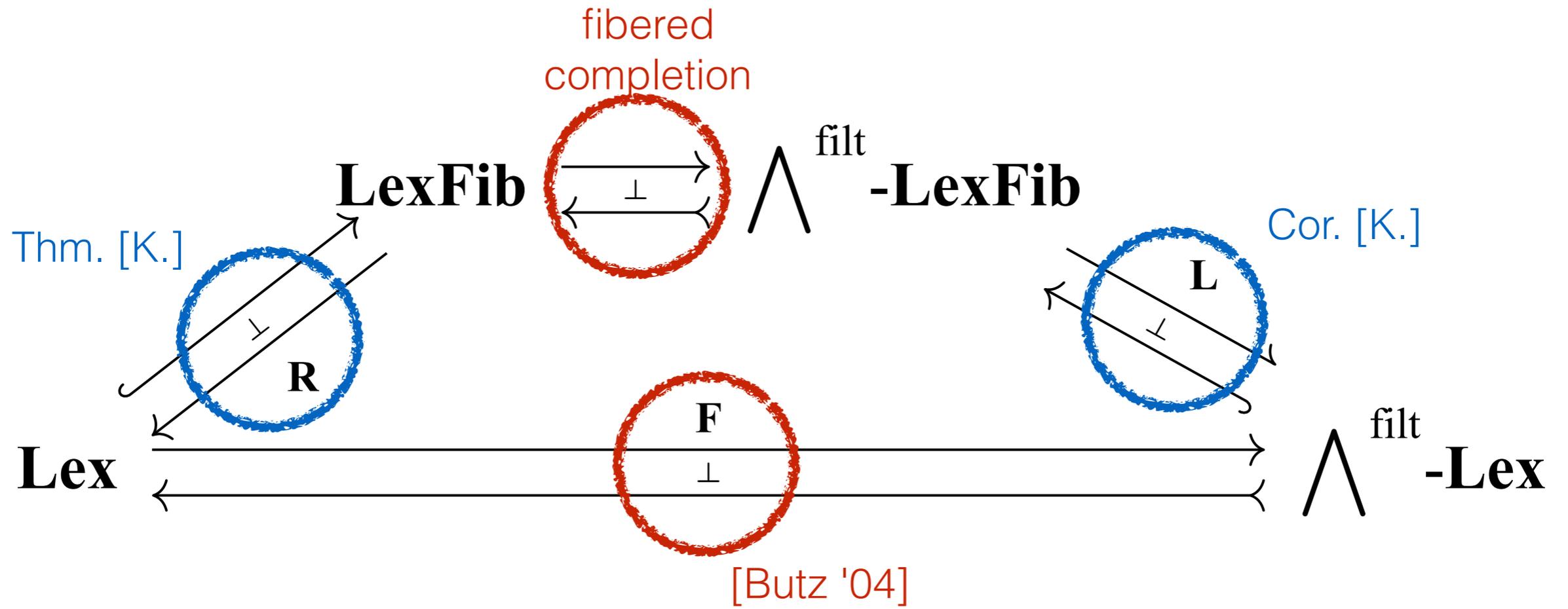
$$\left(\begin{array}{c} K \\ H \end{array} \right) : \left(\begin{array}{c} \mathbb{E} \\ \downarrow \\ \mathbb{B} \end{array} \right) \rightarrow \left(\begin{array}{c} \mathbf{Sub}(\mathbb{A}) \\ \downarrow \\ \mathbb{A} \end{array} \right) : \text{mor. of lex fibrations}$$

$$M' := (\mathbb{E} \xrightarrow{Q^W} \mathbb{E}[W^{-1}] \xrightarrow{M} \mathbb{A})$$

$$H(I) := M'(\top_I) \text{ for } I \in \mathbb{B}$$

$$K(X) := M'X \in \mathbf{Sub}_{\mathbb{B}}(H(I)) \text{ for } X \in \mathbb{E}_I$$





Technical condition (*)

Lemma

[K.]

$$\mathbb{E}_I \hookrightarrow \mathbf{Sub}_{\mathbb{E}[W-1]}(\top_I)$$

Condition (*)

The embedding is an isomorphism

Lemma

[Blass '74]

$$\mathbf{Sub}_{\mathbf{FB}}(I, \mathcal{F}) \cong \{ (I, \mathcal{G}) \mid \mathcal{G} \leq \mathcal{F} \}$$

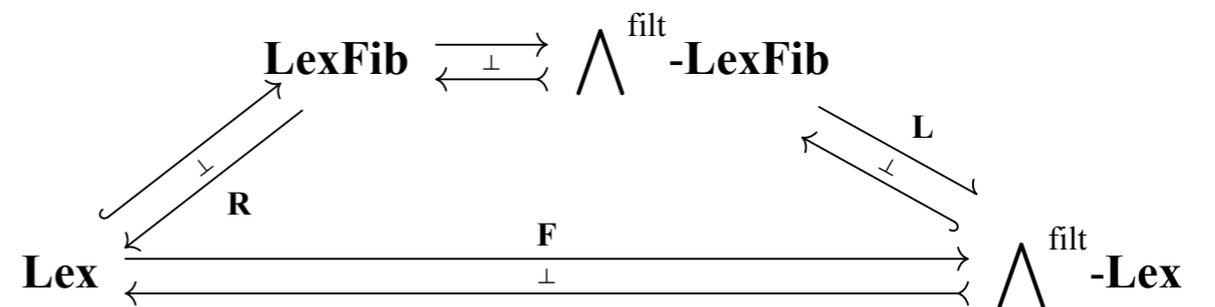
: complete (meet-)semilattice

Theorem

$$\mathbf{Lex} \begin{array}{c} \xleftarrow{\mathbf{L}} \\ \xrightarrow{\perp} \end{array} \mathbf{LexFib} \quad \text{restricts to}$$

$$\bigwedge^{\text{filt}} \mathbf{-Lex} \begin{array}{c} \xleftarrow{\mathbf{L}} \\ \xrightarrow{\perp} \end{array} \bigwedge^{\text{filt}} \mathbf{-LexFib}$$

defined on filtered meet lex fibrations satisfying (*)



- Define **regular fibrations** and **coherent fibrations** as usual (e.g. [Jacobs '99]) but we require base categories \mathbb{B} have finite limits (not only finite products)

Theorem

[K.]

L restricts to $[\bigwedge^{\text{filt}} -](\mathbf{Reg/Coh})\mathbf{Fib} \rightarrow [\bigwedge^{\text{filt}} -](\mathbf{Reg/Coh})$

defined on fibrations satisfying (*)

R restricts to $(\mathbf{Reg/Coh})\mathbf{Fib} \rightarrow (\mathbf{Reg/Coh})$

defined on fibrations satisfying (*)

$$\begin{array}{ccc}
 & \mathbf{LexFib} & \xrightleftharpoons[\perp]{} \bigwedge^{\text{filt}}\mathbf{-LexFib} \\
 \swarrow \perp & & \searrow \perp \\
 \mathbf{Lex} & \xrightleftharpoons[\perp]{\mathbf{F}} & \bigwedge^{\text{filt}}\mathbf{-Lex}
 \end{array}$$

Related work (1)

- $\mathbf{FRel}(p)$: category of functional relations
 $\mathbf{FRel}: \mathbf{RegFib} \rightarrow \mathbf{Reg}$
 - objects $X \in \mathbb{E}$ i.e. a pair $(I \in \mathbb{B}, X \in \mathbb{E}_I)$
 - morphisms $R \in \mathbb{E}_{I \times J}$ s.t. “functional relation”
- The tripos-topos construction [Pitts '81]
 - objects $(I \in \mathbb{B}, X \in \mathbb{E}_{I \times I}: \text{“partial equivalence”})$

Related work (2)

- Logical characterization of subobject fibrations

(e.g. [Jacobs '99])

- Eq-fibration with strong equality
- has full subset types
- has unique-choice

Summary

categorical models $\begin{array}{c} \xleftarrow{\mathbf{L}} \\ \xrightarrow{\perp} \\ \xleftarrow{\mathbf{R}} \end{array}$ fibrational models

$$\mathbb{B} \hookrightarrow \mathbf{FIB}$$

[Butz '04]

$$\begin{array}{ccc} \mathbf{Sub}(\mathbb{B}) & \hookrightarrow & \mathbf{Filt}(\mathbb{B}) \\ \downarrow & & \downarrow \\ \mathbb{B} & & \mathbb{B} \end{array}$$

$\mathbf{FIB} \cong \mathbf{L} \left(\begin{array}{c} \mathbf{Filt}(\mathbb{B}) \\ \downarrow \\ \mathbb{B} \end{array} \right)$, which is a localization of $\mathbf{Filt}(\mathbb{B})$